

2 October 2026

Dear Member

Board Director Election at HKSI Institute AGM 2026

We write to inform you that there will be **THREE (3) Board Director vacancies** to be filled by election at the Hong Kong Securities and Investment Institute (“HKSI Institute”) 29th Annual General Meeting (“AGM 2026”) on 17 December 2026.

Pursuant to Articles 21.1 and 21.10 of the Articles of Association of the HKSI Institute, three Directors shall retire from office at the AGM 2026, including one Director who is not eligible for re-election after six years’ service on the Board. Accordingly, pursuant to Article 18.1, at least one vacancy must be filled by a representative from the Fund Management Industry.

<u>Name</u>	<u>Business Sector Represented</u>	<u>Eligible for Re-election</u>
Mr CHAU Shing Yim, David	Corporate Finance Group	Yes
Dr Helen LEUNG	Investment Analysts Group	Yes
Mr LIEM Chi Kit, Kevin	Fund Management Industry	No

Becoming a Board Director Election Candidate

All Senior Fellows, Fellows and Ordinary Members are eligible to be candidates for Board Directorship. Members intending to stand for election must submit the following **completed and signed** documents by the specified deadlines:

- **Application Form** – by 15 October 2026, 6:00pm
- **Nomination Letters from ONE (1) Board Director and TWO (2) qualified Voting Members** – by 11 November 2026, 6:00pm

Guidelines and Procedures, as well as the Application Form and Nomination Letters, are available on our website at www.hksi.org under “**About Us> Governance> General Meeting**”, along with a [video guide](#) outlining the application and nomination procedures.

For any enquiries, please email us at agm@hksi.org.

Yours sincerely

Ruth Kung

Chief Executive

Hong Kong Securities and Investment Institute