
Application Form for Board Election

董事局成員選舉參選表格

Part A: Personal Information 個人資料

Part B: Personal Statement 個人陳述

Part C: Declarations and Consent 聲明及同意書

Please submit the completed and duly signed form by **15 October 2026, 6:00pm**, either

- Email: agm@hksi.org

Or

- In person or by post:
HKSI Institute
17/F Cambridge House, Taikoo Place,
979 King's Road, Quarry Bay, Hong Kong

If submitting in person or by post, please also email an electronic copy of Part B to agm@hksi.org.

請於 **2026 年 10 月 15 日下午 6 時正**或之前透過以下途徑遞交參選表格：

- 電郵發送至 agm@hksi.org

或

- 親身或郵寄遞交至學會辦事處
香港鰂魚涌英皇道979號太古坊康橋大廈17樓香港證券及投資學會

若親身或郵寄遞交，請將 B 部分的電子版電郵發送至 agm@hksi.org。

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Part A: Personal Information A 部分：個人資料

Full Name in English and Chinese: (Surname, First Name) (As stated on your Hong Kong Identity Card/ Passport) 英文及中文姓名 (姓 · 名) (必須與香港身份證/護照上相同)	English Name: 中文姓名:
Membership No. 會員編號: (Please check the HKSI Institute Online Portal 請查閱學會電子服務網站)	
Business sector 界別:	Please select ONE from the below by placing a “✓” in the box: 請選擇以下一項並在適當的方格內填上剔號(✓) ☐ Academia學術界 ☐ Corporate Finance Group企業融資業 ☐ Fund Management Industry基金管理業 ☐ Futures Industry期貨業 ☐ Investment Analysts Group投資分析業 ☐ Securities Industry證券業
Please briefly explain the reasons why you would like to represent this business sector 請說明出選這界別的原因:	

Part B: Personal Statement B 部分：個人陳述

The Personal Statement section must be submitted in **both English and Chinese, each as a separate Word document. Use a font size of 10pts or above and ensure each version does not exceed one A4 page.** You may include the following information in the Personal Statement:

- (i) Basic personal information (name, photograph and your HKSI Institute's membership number);
- (ii) Indicate business sector represented and provide reasons why you would like to represent this business sector;
- (iii) Area(s) you plan to contribute to if elected;
- (iv) Employment position(s) and company(ies) in recent TEN years;
- (v) Years of membership and participation in Board, Committee(s), Sub-Committee(s), Group(s) and other volunteering and supporting capacities in the HKSI Institute in the past TWO years;
- (vi) Academic and professional qualification(s).

Prospective Candidate will be personally responsible for the content of the personal statement, which **WILL NOT** be vetted by HKSI Institute. Prospective Candidate is required to declare the accuracy and correctness by signing the Declarations and Consent.

有意參選會員須分別提交**中文及英文版本個人陳述的Word檔電子版**。各版本的内容以一頁**A4紙**為限，字體大小為**10點或以上**。有意參選會員可在個人陳述中包括以下資料：

- i) 個人基本資料 (姓名、照片及學會會員編號) ;
- ii) 指明所代表的界別，並說明出選這界別的原因；
- iii) 如您能成功當選，您計劃在哪些領域作出貢獻；
- iv) 最近 10 年出任的公司及職位；
- v) 學會會員的年資，以及在過去 2 年參與董事局、委員會、小組委員會、工作小組，以及其他義務及支持學會工作的經驗；
- vi) 學歷及專業資格。

有意參選會員必須對個人陳述的内容負責並在聲明及同意書上簽署確認内容正確無誤。學會將**不作審核**。

Part B: Personal Statement (English) – Download the Word template [here](#)

B部分：個人陳述（中文） - 按[此](#)下載Word範本

Part C: Declarations and Consent *(Complete and sign either the English or Chinese version)*

Please tick (✓) in the box for confirmation

I, _____ **(Legal Name of the Candidate)**, have read the “Guidelines and Procedures for Application and Nomination of Candidates for Board Election at the 29th Annual General Meeting” and declare that:

- ☐ All particulars pertaining to me provided in the Personal Statement are true, complete and accurate, and that I accept sole responsibility for the truthfulness, accuracy and completeness of the particulars.
- ☐ I have not made any statements or omissions which would render such particulars untrue or misleading. I understand that providing information which is false or misleading may result in disqualification from the HKSI Institute Board Election and disciplinary proceedings by the HKSI Institute. In such cases, the HKSI Institute may also report the matter to the relevant authority.

Declaration of Convictions for Offences

1. Have you been convicted of any offence (excluding any minor offences (Note 1)(Note 2)) or been subject to any disciplinary investigation(s) or disciplinary action (for instance being cautioned, fined, suspended) by any law enforcement authority or regulatory body in Hong Kong or any place outside Hong Kong?

- ☐ Yes, I have. *(Please provide full details on a separate sheet of paper.)*
- ☐ No, I have not.

2. Are there any criminal or disciplinary or regulatory investigations and / or proceedings pending against you in Hong Kong or any place outside Hong Kong?

- ☐ Yes *(Please provide full details on a separate sheet of paper.)*
- ☐ No

Consent

- ☐ I irrevocably give consent to the HKSI Institute to provide my information to the HKSI Institute’s Board and Secretariat, agents or other third parties to process this nomination, including for verification purposes if required.

Signature: _____ Date: _____

Note 1: A criminal offence whether it is an indictable offence or a summary offence, including offences spent under s.2 Rehabilitation of Offenders Ord. (Cap.297) (or equivalent legislation outside of Hong Kong), excluding s.4B(1) of the Summary Offences Ord. (Cap. 228) and s. 38 of the Road Traffic Ord. (Cap.374)

Note 2: Offences punishable by a maximum fixed penalty under the Fixed Penalty (Traffic Contraventions) Ord. (Cap. 237), the Fixed Penalty (Criminal Proceedings) Ord. (Cap.240) or the Fixed Penalty (Public Cleanliness Offences) Ord. (Cap. 570), whether committed Hong Kong or elsewhere.

Application Period: 2 October 2026 to 15 October 2026, 6:00 pm

參選申請期：2026年10月2日至2026年10月15日下午6時正

C 部分：聲明及同意書（請填妥及簽署中文或英文版本）

請於方格內填上剔號(✓)以作確認。

本人，_____（申請人姓名），已閱讀「第29屆
周年大會董事局成員選舉之參選及提名指引及程序」，並聲明：

- ☐ 在個人陳述中提供的一切資料均屬真實、完整及準確，並對資料的真實性、準確性及完整性承擔責任。
- ☐ 沒有作出或遺漏任何失實或具誤導性的陳述或資料。本人明白提供虛假或具誤導性的資料，可能會導致學會取消本人參選董事局成員的資格及被學會紀律處分。學會或向有關機構呈報相關事宜。

刑事定罪紀錄聲明

3. 您曾否在香港或香港以外的其他地方被定罪(輕微罪行除外(註一)(註二))或被香港或其他地方的任何執法或監管機構進行任何形式的紀律調查或處分(例如受到警告、罰款、暫時吊銷牌照)？

- ☐ 有。(請於附頁提供詳情。)
- ☐ 沒有。

4. 您目前在香港或香港以外的其他地方，是否涉及任何刑事、紀律或被監管機構調查及/或正在進行的法律程序？

- ☐ 有。(請於附頁提供詳情。)
- ☐ 沒有。

同意聲明

- ☐ 本人同意學會將本人提供的個人資料轉交學會董事局及秘書處、學會代理或其他第三方以處理本人的提名，包括可能須要之核實用途。

簽名：_____

日期：_____

註一：包括根據《罪犯自新條例》（香港法例第297章）第2條（或香港以外的相關法例）已喪失時效的罪行，但不包括《簡易程序治罪條例》（香港法例第228章）第4B（1）條及《道路交通條例》（香港法例第374章）第38條的罪行。

註二：

根據香港法律第 237 章《定額罰款（交通違例事項）條例》，第 240 章《定額罰款（刑事訴訟）條例》或第 570 章《定額罰款（公眾地方潔淨及阻礙）條例》，不論是否在香港或其他地方犯罪。

Application Period: 2 October 2026 to 15 October 2026, 6:00 pm

參選申請期：2026年10月2日至2026年10月15日下午6時正