

ANNUAL HIGHLIGHTS

For details, please refer to the Annual Report 2022 at www.hksi.org.

“With the HKSI Institute’s strong lineage and heritage, we are confident that we will continue to play a key role in supporting the initiatives to maintain Hong Kong’s position as a leading international financial centre.”

Mr Colin Shaftesley, Chairman, HKSI Institute



RECOGNISING MEMBERS’ CONTRIBUTIONS

Signature Autumn Dinner was held on 14 September 2021, attended by more than 130 members and guests.



Induction of Mr Charles Li as Honorary Fellow



Induction of 2021 Senior Fellows
From left to right: Mr Charles Li, Miss Ruth Kung (Chief Executive of HKSI Institute), Mr Wang Zhongze, Ms Miranda Tang, Mr Bonn Liu, Ms Lau Ka-shi, Ms Katherine Ng (then Chairman of HKSI Institute) and Mr David Chau



EXPANDING NETWORK

Greater Bay Area (GBA) Initiatives

- Appointment of Ms Emily He, Head of Shenzhen Office, to establish connection with regulators such as the Qianhai Financial Supervisory Authority and key stakeholders.
- China Committee established to drive the Institute’s GBA initiatives.



ENGAGING COMMUNITY TO GROOM YOUNG TALENT

Case Competition

(previously the Scholarship Programme) attracted close to **200** applications, enabling the undergraduates enjoy the privileges as HKSI Institute Student Members, including discounted rate to join our professional development programmes. The Environmental, Social and Governance (ESG)-themed competition provided the finalists with a unique opportunity to be coached by one of the **18** project sponsors, to learn about how companies implement their ESG strategy.

Acknowledgements:

Lead Sponsor

Grant Thornton Hong Kong Limited

Cash Prize Sponsors

Masan Capital
I-Access Group
Hong Kong Financial Asset Exchange Group

Learning Partner

Fitch Learning

Project Sponsors

BDO	Huobi Technology
BC Group	Holdings Limited
BlackRock	KPMG
Citi	Link REIT
Deloitte	PwC
DWS	SPD Bank
EY	The Bank of East Asia
Haitong International	UBS
HashKey Group	Value Partners Group
HKEX	

“ Amid the shadow of the COVID-19 pandemic, we remain committed in serving the industry and to deliver the same strong level of service to our stakeholders. ”

Miss Ruth Kung, Chief Executive, HKSI Institute



EXAMINATIONS

In supporting practitioners and new entrants' demand for the Licensing Examination for Securities and Futures Intermediaries (LE), LE is now offered in **Chengdu** and **Macau**.

Additionally, the LE Takeover Code Advisers Examinations (Paper 17) and a three-module eCourse were successfully launched in advance of the expected delivery date – a testament on the HKSI Institute's commitment to accelerate time to market.

1,022 examination sessions

32,920 enrolments

> **90%** are from LE



CONTINUOUS PROFESSIONAL TRAINING (CPT)



Mr Christopher Hui, Secretary for Financial Services and the Treasury flanked by Ms Katherine Ng (right) and moderator Ms Lau Ka-shi, Education & Development Committee Member (left)



Mr Ashley Alder, Chief Executive Officer of the SFC (right) with moderator Mr Colin Shaftesley

> **130** public seminars and courses. Topics include **regulatory & compliance, ESG, Fintech, virtual assets, capital markets, market updates**, and more

Inclusive of

7 signature series featuring regulators and government officials, from the Financial Services and the Treasury Bureau, Securities and Futures Commission, Hong Kong Monetary Authority, Mandatory Provident Fund Schemes Authority and Financial Services Development Council

> **340** eCourses. Subscription by corporate clients **↑20%**



提升資產財富管理業人才培訓先導計劃
Pilot Programme to Enhance Talent Training for the Asset and Wealth Management Sector

- **Financial Incentive Scheme (FIS)** saw a remarkable increase in the number of applications received and reimbursements made. During this period, HKSI Institute offered additional **12** new, advanced level asset and wealth management seminars.
- **Summer Internship Programme (SIP)** continued to provide undergraduates with valuable work opportunities to gain early exposure to the different functional roles in the asset and wealth management sector. The hands-on experience is crucial for students to develop their skills and make informed career choices.

9,500 enrolments of public CPT courses and professional qualification programmes, with high course quality rating of 4.4 out of the 5-point scale.