

Important Notes

Voting Members can vote on the 25th Annual General Meeting (AGM 2022) resolutions through one of the following methods:

1. By **proxy** by completing and returning the attached Proxy Form to the HKSI Institute on or before 13 December 2022, 6:30pm; OR
2. via the **AGM 2022 ePlatform** on or before 13 December 2022, 6:30pm; OR
3. via the **eKiosk** at the HKSI Institute on 15 December 2022, from 3:30pm – 5:30pm
4. **vote in person at the AGM 2022** on 15 December 2022 at 5:30pm (prior registration of attendance is required due to venue capacity).

Please be reminded that Voting Members should **only choose ONE voting method** and only the **LATEST submitted vote by any means**, will be counted.

Please note that a **Valid Proxy Form** must be:

- completed with your name, address and membership number;
- signed by you or your attorney duly authorised in writing; AND
- deposited together with the original or notarially certified copy of power of attorney and board resolution in respect of the appointment of an authorised representative of Corporate Member under which it is signed, at the registered office of the HKSI Institute at 17/F Cambridge House, Taikoo Place, 979 King's Road, Quarry Bay, Hong Kong on or before Tuesday, 13 December 2022, 6:30pm.

2022

Please print out, complete and submit the following page 3 and 4

2022

PROXY FORM FOR 25TH ANNUAL GENERAL MEETING

(Please complete the form using BLOCK CAPITALS)

I, _____ (Full Legal Name)
of _____ (Address)
Membership No. **SF / FM / M / C** _____ being a/an **Senior Fellow /
Fellow Member / Ordinary Member / authorised representative of the Corporate Member** of the Hong
Kong Securities and Investment Institute ("HKSI Institute") entitled to attend and vote at general meetings,
HEREBY APPOINT the **Chairman of the Meeting¹**, or _____
(Full Name) of _____
(Address) (Hong Kong Identity Card No. _____²) **or failing him/her**,
_____ (Full Name)
of _____ (Address)
(Hong Kong Identity Card No. _____²) as my proxy to attend the 25th Annual
General Meeting of the HKSI Institute (or at any adjournment thereof) to be held at the HKSI Institute, 17/F
Cambridge House, Taikoo Place, 979 King's Road, Quarry Bay, Hong Kong on Thursday, 15 December
2022 at 5:30pm for the purpose of considering and, if thought fit, passing the resolutions referred to in the
notice convening the meeting and at such meeting (or at any adjournment thereof) to vote for me and on
my behalf in respect of the resolutions set out at back page, and, if no such indication is given, as my
proxy thinks fit.

¹ If any proxy other than the Chairman of the Meeting is preferred, please strike out the words "Chairman of the Meeting" and insert the name and address of the proxy desired in the space provided. Any Senior Fellow/Fellow Member/Ordinary Member/Authorised Representative of the Corporate Member who is entitled to attend and vote at the meeting may appoint a proxy to attend and on a poll to vote for and on his/her behalf. A proxy needs not be a member of the HKSI Institute but must attend the meeting in person to represent you. Any alteration made to this proxy form must be initialled by the person who signs it. Any alteration other than the deletion of the words "Chairman of the Meeting" without the undersigned's initial will render the proxy form invalid and the Company Secretary of the HKSI Institute will not contact any person for clarification.

² Please include the Hong Kong Identity Card number of your appointed proxy, which is used for identification purpose only.

2022

If you wish to vote for the resolution, tick (✓) in the box marked "For". If you wish to vote against the resolution, tick (✓) in the box marked "Against". **Failure to tick (✓) in either box will entitle your proxy to cast your vote at his/her discretion.**

	For	Against
RESOLUTION 1:		
To appoint Mr CHAN Chi Chuen representing Corporate Finance Group as Director of the HKSI Institute.		
RESOLUTION 2:		
To appoint Mr LO Ken Bon representing Investment Analysts Group as Director of the HKSI Institute.		
RESOLUTION 3:		
To appoint Mr YIM Hong Cheuk, Foster representing Academia as Director of the HKSI Institute.		
RESOLUTION 4:		
To receive and adopt the Report of the Directors and the Audited Accounts of the HKSI Institute for the year ended 31 March 2022.		
RESOLUTION 5:		
To re-appoint KPMG as the Honorary Auditor.		

Signature: _____

Signed this _____ day of _____, 2022