

11 October 2022

Dear Member

**HKSI Institute's Board Director Nomination and Election
Nomination Period between 11 October and 9 November 2022, 6:00pm**

We write to inform you that there will be THREE Board Director vacancies to be filled by election at the 25th Annual General Meeting ("AGM 2022") on Thursday, 15 December 2022.

Pursuant to Article 21.1 of the Articles of Association of the Hong Kong Securities and Investment Institute ("AA"), three Directors below shall retire from office at the AGM 2022. According to Article 21.10, the three Directors have served the Board for 6 years and are not eligible for re-election:

<u>Name</u>	<u>Business Sector Represented</u>
Mr David Chau	Corporate Finance Group
Mr Christopher Lee	Academia
Mr Samuel Tsang	Securities Industry

Pursuant to Article 18.1, at least one of the vacancies shall be filled from the Academia.

As stipulated in Article 21.3(b) of the AA, all Senior Fellows, Fellows and Ordinary Members are eligible to run for Board Directorship. To qualify as a candidate for election, interested Members have to be proposed by at least twenty-five (25) qualified Voting Members (Senior Fellows, Fellow Members, Ordinary Members or Authorised Representatives of Corporate Members).

For members who are keen to run for Board Directorship, please visit our website, **www.hksi.org**, under the section "**About Us>Governance>General Meeting**" for the Nomination Guidelines and Form. The nomination deadline for candidacy for election at the AGM 2022 is **Wednesday, 9 November 2022, 6:00pm**.

Voting Members have the option to nominate their preferred Member(s) as Board Director(s) candidate via our dedicated electronic platform ("ePlatform") and/or via paper mode. A separate email with a password will be sent to Voting Members' preferred email address on 11 October 2022 for your access to the ePlatform.

Should you have further enquiries, please email us at agm@hksi.org.

The formal notice of the AGM 2022 will be sent to you in due course.

Yours faithfully

Board Chairman