

CANDIDATE REGISTRATION FORM

PLEASE READ THE TERMS & CONDITIONS OVERLEAF BEFORE COMPLETING THE REGISTRATION FORM.

Part A: Personal Particulars				
Surname in English			First Name in English	
Date of Birth	DD	MM	YY	Candidate Number (if applicable)
Sex	Male <input type="checkbox"/>	Female <input type="checkbox"/>	Title	Mr <input type="checkbox"/> Mrs <input type="checkbox"/> Ms <input type="checkbox"/> Miss <input type="checkbox"/> Dr <input type="checkbox"/>
HK Identity Card/Passport Number				(For examination purpose only)
Correspondence Address				
Daytime Contact Telephone Number			Mobile	
Email Address				(This field is mandatory)
Name of Company			Position Held	
Education Qualifications: Postgraduate or above <input type="checkbox"/> University <input type="checkbox"/> Tertiary <input type="checkbox"/> Secondary <input type="checkbox"/>				
Part B: Examination Booking				
Examination Unit	Fee (HKD)	Examination Date*		
		1st Choice Date (dd/mm/yy) & Session (am/pm)	2nd Choice Date (dd/mm/yy) & Session (am/pm)	
Asset Servicing	\$2,750			
Client Money and Assets	\$2,750			
Collective Investment Schemes Administration	\$2,750			
Combating Financial Crime	\$2,750			
Derivatives	\$3,375			
Global Financial Compliance	\$3,750			
Global Securities Operations	\$2,750			
International Introduction to Securities & Investment	\$2,750			
Operational Risk	\$2,750			
Platforms, Wealth Management and Service Providers	\$2,750			
Risk in Financial Services	\$3,750			
Technology in Investment Management	\$2,750			
UK Financial Regulation	\$3,375			
Note – A) three IOC units are required to achieve the full IOC				

*Note – CISI's examination booking system is 'real-time' so whilst we try to accommodate candidates' preferred dates and times these cannot be guaranteed until booking is confirmed.

Part C: Payment (Non-refundable)

☐ Cheque (Cheque No. : _____) ☐ EPS ☐ Union Pay *
(Please make payable to "Hong Kong Securities and Investment Institute")

☐ Amex Card

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For official use only

Approval Code

☐ VISA / Master

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*Union Pay Card
(*ONLY accepted payment at the HKSI Institute's Counter)

Cardholder Signature: _____
(This signature must correspond with the signature on the credit card)

*Cardholder's Name: _____ Expiry Date: _____ (MM/YY)

*Cardholder MUST BE the candidate; otherwise HKSI Institute will reject the application

TERMS & CONDITIONS

Prior to booking and paying for an examination, candidates are required to read the policies on exam bookings

1. Upon successful enrolment, candidates will receive the followings from CISI:
 - a) An Examination Confirmation Email
 - b) A softcopy and a hardcopy of the Workbook
 - c) An eLearning Order Confirmation Email
2. Registration will only be confirmed upon receipt of payment. Any registration forms without appropriate payments will be rejected. Application indicating payment by cheque will not be processed without attachment of a cheque.
3. Refund is not considered.
4. Please note examination dates cannot be guaranteed as bookings are subject to availability.
5. Prices indicated are effective from September 2017 and subject to revision.
6. Study materials such as the workbook and eLearning tools are subject to expiry. Upon expiry, it can be re-purchased at the full cost.
7. HKSI Institute & CISI does not bear any responsibility for cancellation or termination of examinations conducted at the test centre. However, candidates will be provided with replacement of the next best alternative exam date(s) chosen by the candidate.

Applicant's Declaration

I hereby declare that the information I provided in this application form is complete and correct. I have read the terms and conditions above and agree to abide by the terms and conditions mentioned.

Signature of Applicant: _____

Date: _____

How to Contact Us

HKSI Institute

Address: 17/F, Cambridge House, Taikoo Place, 979 King's Road, Quarry Bay, Hong Kong

Email: exam@hksi.org

Website: www.hksi.org

Opening Hours: 9:00am – 5:30pm (Mon- Fri)

CISI (UK)

Address: 8 Eastcheap, London, EC3M 1AE

Tel: +44 (0)20 7645 0600 Fax: +44 (0)20 7645 0601 E-mail: clientservices@cisi.org

Website: <http://www.cisi.org/cisiweb2/cisi-website/study-with-us/operations/ioc>