

24th ANNUAL GENERAL MEETING (AGM)

AN EASY GUIDE TO AGM VOTING



- **Key Dates**
- **AGM Voting**
 - Voting Members
 - Voting Methods
 - 2021 AGM ePlatform (“ePlatform”)
 - Proxy
- **Contact Details**

12 November



Voting Members receive:

- Letter on AGM Notice and paper proxy form (dated 12 November)
- Email sent with membership number (username) and password to access ePlatform for voting (same log in information to Board Nomination)

AGM Resolutions Voting Period

**7 December
(6:30pm)**



9 December

Deadline to submit votes via **ePlatform** and paper **proxy form**

- **3:30 - 5:30pm**
eKiosk
- **5:30pm**
AGM with live stream broadcast

Notes:

1. Registration to attend AGM in person starts on 29 November 2021 and ends on 3 December 2021.
2. All Members will receive email with log in details to view the AGM online on 6 December 2021.

Who can vote?

Voting Members

- Senior Fellows (SFHKSI);
- Fellows (FHKSI);
- Ordinary Members (MHKSI); and
- Authorised Representatives of Corporate Members

IMPORTANT

- **Board Candidate nomination vote ≠ Board Candidate election vote.**
- **Voting Members should only choose ONE voting method and only the LATEST submitted vote by any means will be counted.**

Between 12 November and 6:30pm, 7 December 2021

1. ePlatform

Membership number (username) and password to access the ePlatform have been emailed to Voting Members earlier and again on 12 November (*same login information used for Board Nomination*).

2. Proxy

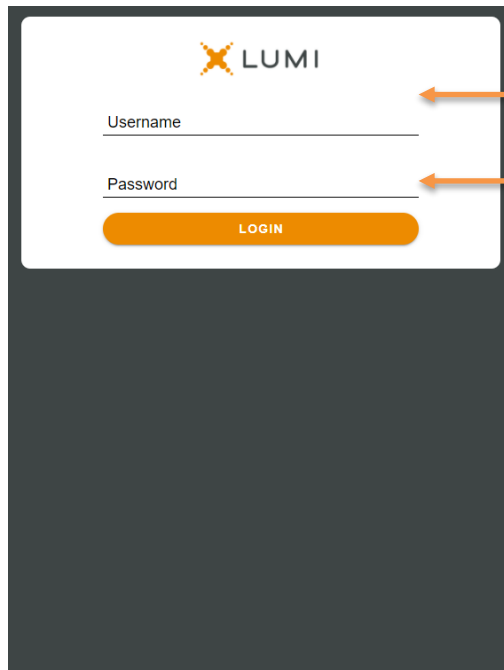
Paper proxy form must be completed, signed and delivered to the HKSI Institute.

3:30pm – 5:30pm on 9 December 2021

3. eKiosk

If Voting Members did not vote earlier through ePlatform or Proxy, they can vote in person at the HKSI Institute.

- An email from agm@hksi.org which contains the **ePlatform link, membership number (username) and password** has been sent to you on 12 November 2021.



The image shows a login interface for 'LUMI'. At the top left is the 'LUMI' logo, which consists of an orange 'X' followed by the text 'LUMI'. Below the logo are two input fields: 'Username' and 'Password'. The 'Username' field is indicated by an orange arrow pointing to the text 'Membership number (Username): e.g. M20014, FM20014, SF20014, C01234'. The 'Password' field is indicated by an orange arrow pointing to the text 'Password: as per provided to you earlier or refer to our email dated 12 November 2021'. Below the input fields is an orange rounded rectangular button with the text 'LOGIN' in white capital letters.

Membership number (Username):
e.g. M20014, FM20014, SF20014, C01234

Password: as per provided to you earlier or refer to our email dated 12 November 2021

Information page

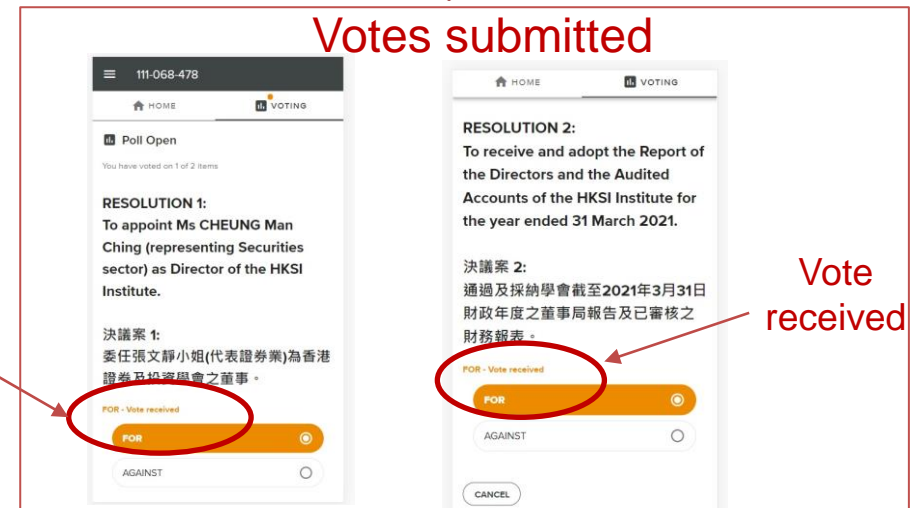
- General information on AGM date, voting channels, profiles of Board candidates, and the proposed resolutions to be considered.



Voting page



Votes submitted



Note: Above screen captures of ePlatform interface are for illustration only.

- A Voting Member wishing to vote by proxy must
 - complete and sign the proxy form which was enclosed with the AGM Notice dated 12 November 2021; and
 - **deliver the original signed form**, in person or by mail using the enclosed business reply envelope, to the HKSI Institute **on or before 6:30pm, Tuesday, 7 December 2021.**
- The AGM Notice and Proxy Form can be downloaded [here](#).



2021

PROXY FORM FOR 24th ANNUAL GENERAL MEETING
(Please complete the form using BLOCK CAPITALS)

I, _____ (Full Legal Name)
of _____ (Address)
Membership No. SF / FM / M / C _____ being a/an Senior Fellow /
Fellow Member / Ordinary Member / authorised representative of the Corporate Member of the Hong
Kong Securities and Investment Institute ("HKSI Institute") entitled to attend and vote at general meetings,
HEREBY APPOINT the Chairman of the Meeting¹, or _____
(Full Name) of _____
(Address) (Hong Kong Identity Card No. _____²) or failing him/her,

(Full Name)
of _____ (Address)
(Hong Kong Identity Card No. _____²) as my proxy to attend the 24th Annual
General Meeting of the HKSI Institute (or at any adjournment thereof) to be held at the HKSI Institute,
Room 510, 5/F, Wing On Centre, 111 Connaught Road Central, Hong Kong on Thursday, 9 December
2021 at 5:30pm for the purpose of considering and, if thought fit, passing the resolutions referred to in the
notice convening the meeting and at such meeting (or at any adjournment thereof) to vote for me and on
my behalf in respect of the resolutions set out at back page, and, if no such indication is given, as my
proxy thinks fit.

¹ If any proxy other than the Chairman of the Meeting is preferred, please strike out the words "Chairman of the Meeting" and insert the name and address of the proxy desired in the space provided. Any Senior Fellow / Fellow / Ordinary Member / Authorised Representative of the Corporate Member who is entitled to attend and vote at the meeting may appoint a proxy to attend and on a poll to vote for and on his/her behalf. A proxy needs not be a member of the HKSI Institute but must attend the meeting in person to represent you. Any alteration made to this proxy form must be initialled by the person who signs it. Any alteration other than the deletion of the words "Chairman of the Meeting" without the undersigned's initial will render the proxy form invalid and the Company Secretary of the HKSI Institute will not contact any person for clarification.

² Please include the Hong Kong Identity Card number of your appointed proxy, which is used for identification purpose only.

Contact Details



- For enquiries on AGM matters, contact
Hotline: 3120 6100
Email: agm@hksi.org

- For enquiries on membership renewal matters, contact
Telephone: 3120 6171
Email: member@hksi.org