

2021

SPECIMEN

PROXY FORM FOR 24th ANNUAL GENERAL MEETING

(Please complete the form using BLOCK CAPITALS)

I, CHEUNG HOK MAN (Full Legal Name)
of 5/F, CONNAUGHT ROAD CENTRAL, HONG KONG (Address)
Membership No. **SF / FM / M / C** 20014 being a/an **Senior Fellow**
/ Fellow Member / Ordinary Member / authorised representative of the Corporate Member of the
Hong Kong Securities and Investment Institute ("HKSI Institute") entitled to attend and vote at general
meetings, HEREBY APPOINT the **Chairman of the Meeting**¹, or _____
(Full Name) of _____
(Address) (Hong Kong Identity Card No. _____²) or failing him/her,

(Full Name)
of _____ (Address)
(Hong Kong Identity Card No. _____²) as my proxy to attend the 24th Annual
General Meeting of the HKSI Institute (or at any adjournment thereof) to be held at the HKSI Institute,
Room 510, 5/F, Wing On Centre, 111 Connaught Road Central, Hong Kong on Thursday, 9 December
2021 at 5:30pm for the purpose of considering and, if thought fit, passing the resolutions referred to in the
notice convening the meeting and at such meeting (or at any adjournment thereof) to vote for me and on
my behalf in respect of the resolutions set out at back page, and, if no such indication is given, as my
proxy thinks fit.

¹ If any proxy other than the Chairman of the Meeting is preferred, please strike out the words "Chairman of the Meeting" and insert the name and address of the proxy desired in the space provided. Any Senior Fellow / Fellow / Ordinary Member / Authorised Representative of the Corporate Member who is entitled to attend and vote at the meeting may appoint a proxy to attend and on a poll to vote for and on his/her behalf. A proxy needs not be a member of the HKSI Institute but must attend the meeting in person to represent you. Any alteration made to this proxy form must be initialled by the person who signs it. Any alteration other than the deletion of the words "Chairman of the Meeting" without the undersigned's initial will render the proxy form invalid and the Company Secretary of the HKSI Institute will not contact any person for clarification.

² Please include the Hong Kong Identity Card number of your appointed proxy, which is used for identification purpose only.

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If you wish to vote for the resolution, tick (✓) in the box marked "For". If you wish to vote against the resolution, tick (✓) in the box marked "Against". **Failure to tick (✓) in either box will entitle your proxy to cast your vote at his/her discretion.**

RESOLUTION 1:	For	
To elect SIX (6) Directors to the Board of Directors ("Directors") of the HKSI Institute (Vote for maximum of six candidates):		
1. Mr LAU Man Ching representing Fund Management Industry sector		
2. Ms CHAN Ching Chung representing Securities Industry sector	✓	
3. Ms LEE Hong Yee representing Others sector	✓	
4. Mr WONG Chi Man representing Futures Industry sector		
5. Ms HE Hoi Fung representing Fund Management Industry sector	✓	
6. Mr SZE Ming Ming representing Others sector		
7. Ms CHEUNG Mei Lee representing Academia sector	✓	
8. Mr Zhang Chi Fung, Joseph representing Securities sector	✓	
If less than SIX (6) boxes are selected, your proxy may elect the remaining number of candidate(s) as he/she thinks fit. <u>If you do not want your proxy to elect the remaining number of candidates for you, please state the number of candidates you have selected in the adjacent box.</u>	<div style="border: 1px solid black; width: 100px; height: 100px; margin: 0 auto;"></div>	
<u>If you selected more than SIX (6) boxes, all the votes for this resolution will not be counted.</u>		
RESOLUTION 2:	For	Against
To receive and adopt the Report of the Directors and the Audited Accounts of the HKSI Institute for the year ended 31 March 2021.	✓	
RESOLUTION 3:	For	Against
To re-appoint KPMG as the Honorary Auditor.	✓	

Signature: _____ *CHEUNG HM* _____

Signed this 30 day of November, 2021