

24TH ANNUAL GENERAL MEETING (AGM)

AN EASY GUIDE TO BOARD NOMINATION



- Key Dates
- Board Nomination
 - Eligibility Criteria
 - Procedure
 - Valid and Invalid Nomination
 - ePlatform Access
 - ePlatform Interface
- Contact Details

Interested to run as Board Director Candidate

Submit the required information to the Company Secretary if you would like to receive your nomination through the ePlatform. Please allow at least 1 working day to post your details on the ePlatform.

Board Nomination Period

**6 October
(9:00am)**

Board Nomination starts

**29 October
(6:00pm)**

Board Nomination ends

6 October

Voting Members will receive:

- **Letter and Email** on Board Director Nomination and Election
- **Email with membership number and password** to access ePlatform for Board Nomination

AGM Resolutions Voting Period

**mid
November**
AGM notice

**7 December
(6:30pm)**

Deadline to submit votes via **ePlatform** and paper **proxy form**

**9 December
(3:30pm - 5:30pm)**
eVoting Kiosk

**9 December
(5:30pm)**
AGM
with live broadcasting

To run as Board Director Candidate

- **Who are eligible?**
 - Senior Fellows (SFHKSI);
 - Fellows (FHKSI); and
 - Ordinary Members (MHKSI)
- **How to qualify as a Candidate for election?**
 - must be nominated by at least **25 Voting Members**

To nominate Member as Board Director Candidate

- **Who are qualified as the Voting Members?**
 - SFHKSI;
 - FHKSI;
 - MHKSI; and
 - Authorised Representatives of Corporate Members

To run as Board Director Candidate

You must submit the Nomination Form, consisting of the below to HKSI Institute **by 6:00pm 29 October 2021:**

- Personal Information
- Personal Statement
- Declarations
- Nomination Record Sheets
 - Through ePlatform; and/or
 - Through paper mode

Please refer and download the Nomination Guidelines [here](#).

To nominate Member as Board Director Candidate

You can nominate via ePlatform and/or paper mode **on or before 6:00pm 29 October 2021:**

- ePlatform
 - Log in using the membership number and password which will be emailed to the Voting Members on 6 October 2021
 - Nominate your preferred Member(s) (only Member(s) who opted to receive nominations through the ePlatform will be listed)
- Paper mode
 - Sign the Candidates' Nominations Record Sheets

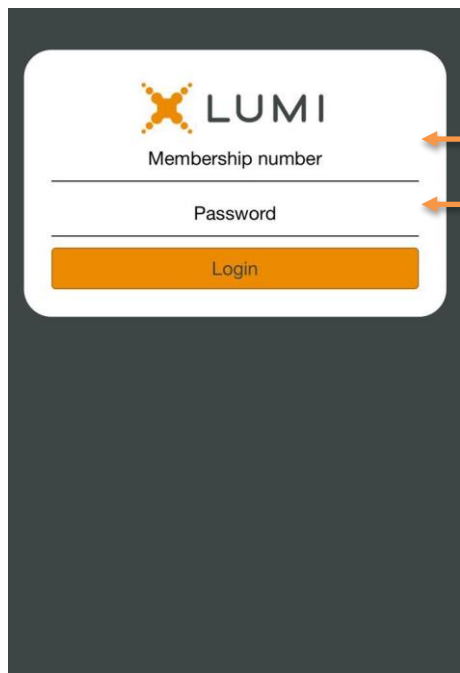
Any Voting Member can propose only **ONE candidate from each business sector**

If the Voting Member proposes	Results
Same candidate via both ePlatform and paper mode	Only 1 nomination will be counted
More than one candidate from one single business sector	Invalid nominations

Note:

For the purpose of counting the number of nominations, proposals submitted via ePlatform and paper mode will **both** be counted.

- On 6 October 2021, Voting Members will receive the ePlatform link, membership number and password via email, for them to nominate their preferred Member(s) as Board Director Candidate(s)
- The ePlatform will open from **6 October – 29 October 2021** during the Board Nomination period.



Membership number:

e.g. M01234, FM05535, SF08765

Password: as per provided to you via our 6 October 2021 email to your registered email account

Information page

- General information on Board nomination and candidates' information



The screenshot shows the 'VOTING' tab selected in the menu bar. The page title is 'Board Director Nomination'. The nomination period is '6 October 2021 – 29 October 2021, 6:00pm'. The text states: 'Six Board Director vacancies are to be filled by election at the 24th Annual General Meeting on Thursday, 9 December 2021. Full details here.' Below this, the section 'To nominate Member(s) as Board Director Candidate(s)' includes three bullet points: 'Click on the voting tab in the menu bar which will lead you to the nomination page.', 'You can change your candidate(s) preference anytime and your last proposal at the close of the nomination period will be counted.', and 'Check in to this ePlatform from time to time during this nomination period as there may be new'.



Nomination page



The screenshot shows the 'VOTING' tab selected in the menu bar. The page title is 'Corporate Finance Group 企業融資業'. The text states: 'You have voted on 1 of 3 items'. Below this, the section 'Candidate 1' is highlighted in orange and has 'Vote received' next to it, circled in red. Below this, the section 'Candidate 2' is visible. Below the candidates, there is a 'CANCEL' button. Below this, the section 'Futures Industry 期貨業' is visible, with the text 'Select a choice to send.' Below this, the sections 'Candidate 1' and 'Candidate 2' are visible. Below the candidates, there is a 'CANCEL' button. Below this, the section 'Investment Analysts Group 投資分析業' is visible, with the text 'Select a choice to send.' Below this, the sections 'Candidate 1' and 'Candidate 2' are visible. Below the candidates, there is a 'CANCEL' button.

*Proposal/
Nomination
submitted
successfully*

Note: Above screen captures of ePlatform interface are for illustration only.

- For enquiries on AGM matters (including Board Nomination), contact
Hotline: 3120 6100
Email: agm@hksi.org

- For enquiries on membership renewal matters, contact
Telephone: 3120 6171
Email: member@hksi.org