

HKSI Institute Membership Application Form

學會會員申請表格

Please return the completed form with payment and supporting document(s) to the HKSI Institute. The information contained in this form will be kept strictly confidential.
 請將表格填妥並連同費用及證明文件交回學會。申請表內的所有資料均絕對保密。

Application for 申請成為 Ordinary member 會員 (MHKSI) Associate member 副會員 (AHKSI) Student member 學生會員

1. Personal information 個人資料

Name 全名 _____ (中文: _____)
 Title 稱謂 _____ Given name 名字 _____ Last name 姓氏 _____

HKID/Passport no. 香港身份證/護照號碼 _____ Date of birth 出生日期 _____ Sex 性別: Male 男 Female 女
 dd/mm/yyyy

Home address 住址 _____ Office address 公司地址 _____

Preferred correspondence address 通訊地址 Home 住宅 Office 公司
 Home tel 住宅電話 _____ Company name 公司名稱 _____

Email 電郵 _____ Position 職位 _____

Mobile 流動電話 _____ Office tel. 公司電話 _____ Office fax 公司傳真 _____

2. Professional / Educational qualification 專業資格/學歷 (please attach photocopy of supporting document(s) 請附上證明文件副本)

Month 月 / Year 年	Qualification 資格	Issuing organisation 頒發機構

3. Work experience 工作經驗

Business sector 行業 *

- Banking 銀行 Brokerage 經紀 Investment consultancy 投資顧問
 Investment & fund management 投資及基金管理 Professional services 專業服務 Others 其他 _____

Employment history 工作資料 (If a detailed CV is attached, you may skip this section 如已附上詳盡履歷，可略過此欄)

Month 月 / Year 年	Company / Organisation 公司/機構	Job Title 職位

* Please advise licensing details 請提供牌照的細節：

I am licensed by: 本人持有的牌照為：

SFC 證監會： _____

HKMA 金管局： _____

IA 保監局： _____

MPFA 積金局： _____

Others 其他： _____

I am not licensed by financial services regulators. 本人沒有持有金融服務監管機構發出的牌照。

4. Subscription fee and payment information 會費及繳款資料

Subscription fee 會費 (HK\$ 港幣)

	Joining fee 入會費	Annual fee 年費	Total amount 總額
Ordinary membership 會員	\$600	\$1,200	HK\$港幣_____
Associate membership 副會員	\$300	\$600	HK\$港幣_____
Student membership 學生會員	N/A	\$300	HK\$港幣_____

Payment method 繳付方法

Cash 現金

Cheque (payable to "Hong Kong Securities and Investment Institute" (支票 (抬頭請付「香港證券及投資學會」))

Credit card 信用卡 American Express Card 美國運通卡 Master Card 萬事達卡 VISA Card VISA 卡

Please debit the above amount from my credit card 請從本人信用卡扣除以上款項

Name of Cardholder 持卡人姓名	Expiry Date 到期日
Credit Card Number 信用卡號碼	
Signature 簽署	Date 日期

Waived

5. Applicant's declaration 申請人聲明* (This declaration must be signed by applicant personally 本聲明必須由申請人親身簽署)

I hereby apply for an Individual Membership with the HKSI Institute and declare that the information I have supplied is complete and correct, and the HKSI Institute is authorised to check and verify the information supplied pertaining to my application. In consideration of my being admitted to membership I agree that I will be bound by the Code of Ethics, HKSI Institute Membership Rules, and such rules as may, from time to time, be approved and published by the Board of the HKSI Institute. 本人現申請加入成為香港證券及投資學會的會員。茲聲明本人所提供的資料均屬完備及正確，並授權學會查證及核實所提供的資料。本人承諾如獲准加入學會，將會遵守學會的會員守則及會規，以及其後由學會董事局公佈的會規更新事項。

Please tick if you have been disciplined in any way - i.e. cautioned, fined, suspended or awarded any kind of penalty or punishment by any authority - in the last five (5) years. If YES, please provide full details on a separate piece of paper. 如你在過去 5 年內曾遭受任何形式的紀律處分，例如受到警告、罰款、暫時吊銷牌照或受到任何機構的任何形式的懲罰或處分，請在方格上加上✓並另紙書寫詳情。

Please tick if you do not wish to receive marketing materials from the HKSI Institute. 如你不欲收取學會宣傳資訊，請在方格上加上✓。

* The HKSI Institute must be notified of any other information which is relevant to this application. If any of the information contained in this form changes prior to a decision to admit the applicant being published, then the HKSI Institute must be informed immediately. The applicant is required to notify the HKSI Institute immediately of any subsequent changes to the information provided if such changes are related in any way to the applicant's professional conduct or reputation. Withholding information from, misleading, or attempting to mislead the HKSI Institute on any point will be deemed an act of misconduct. 如有任何有關本項申請的其他資料，必須知會學會。如果學會在公佈接納申請人成為會員之前，本申請表格所載資料有任何改變，申請人必須即時通知學會。若申請人認為其先前提供的資料有任何改變，而有關改變涉及申請人的專業操守或聲譽，須即時知會學會。如刻意隱瞞資料，或就任何事宜誤導或嘗試誤導學會，將被視為嚴重的失當行為。

Applicant signature & date 申請人簽署及日期

Check before submitting the application 請檢查是否齊備所需資料及證明文件

- | | |
|---|--|
| <input type="checkbox"/> Completed application form 填妥的申請表格 | <input type="checkbox"/> Copy of curriculum vitae (if any) 履歷副本 (如有) |
| <input type="checkbox"/> Payment 費用 | <input type="checkbox"/> Business card (if any) 工作名片 (如有) |
| <input type="checkbox"/> Photocopies of academic/professional qualification 學歷/專業資格副本 | |

For office use only 學會專用

Application date 申請日期	Application no. 申請編號	Membership no. 會員編號	Remark 備註