

6 October 2020

Dear Member

**HKSI Institute's Board Director Nomination and Election
Nomination Period between 9:00am 6 October and 6:30pm 4 November 2020**

We write to inform you that there will be five Board Director vacancies to be filled by election at the 23rd Annual General Meeting ("2020 AGM") on Thursday, 10 December 2020.

Pursuant to Article 21.1 of the Articles of Association of the Hong Kong Securities and Investment Institute ("AA"), five Directors below shall retire from office at the 2020 AGM. Among them three Directors have served the Board for 6 years and are not eligible for re-election, while the other two Directors have served the Board for their first 3-year and are eligible for re-election according to Article 21.10:

<u>Name</u>	<u>Business Sector Represented</u>	<u>Eligible for Re-election</u>
Miss Angelina KWAN	Corporate Finance Group	Yes
Mr Victor LEE	Futures Industry	No
Mr Graham COTTINGHAM	Investment Analysts Group	Yes
Mr Louis MAK	Investment Analysts Group	No
Mr Paul DAY	Securities Industry	No

Pursuant to Article 18.1, at least one of the vacancies shall be filled from the Investment Analysts Group.

As stipulated in Article 21.3(b) of the AA, all Senior Fellows, Fellows and Ordinary Members are eligible to run for Board Directorship. To qualify as a candidate for election, interested Members have to be proposed by at least twenty-five (25) qualified Voting Members (Senior Fellows, Fellows, Ordinary Members or Authorised Representatives of Corporate Members).

For members who are keen to run for Board Directorship, please visit our website, **www.hksi.org**, under the section "**About us>Governance>General Meeting**" for the Nomination Guidelines and Form. The nomination deadline for candidacy for election at the 2020 AGM is **Wednesday, 4 November 2020, 6:30pm**.

This year, Voting Members have the option to nominate their preferred Member(s) as Board Director(s) candidate via our dedicated electronic platform ("ePlatform") and/or via paper mode. A separate email with a password has been sent to Voting Members' preferred email address on 6 October 2020 for your access to the ePlatform.

Should you have further enquiries, please email us at agm@hksi.org.

The formal notice of the 2020 AGM will be sent to you in due course.

Yours faithfully

Company Secretary