

Continuous Professional Training Courses / eCourses / eSeminars / Events

ENROLMENT FORM (Individual)

持續專業培訓課程 / 網上課程 / 網上研討會 / 活動報名表格 (個人)

Please read the "Notes" before completing the Enrolment Form and put a "✓" in the appropriate "□". Your personal record in the database of the HKSI Institute will be created / updated based on the information provided in this form.

在填表前請先閱讀表格之“申請須知”，並在適當“□”內填上“✓”號。本會將按照本表格內所提供的資料更新您在本會數據庫內的個人紀錄。

Commencing 1 April 2018, the HKSI Institute will charge an administration fee of HK\$100 per enrolment form.

2018年4月1日起，本會將就每張報名表收取行政費用港幣100元。

PART 1: Personal Particulars 第一部份：個人資料

Part 1A (All fields with * are compulsory)

第一(甲)部份 (所有附*號的為必須填寫欄目)

HKSI Institute Membership 本會會員

* Are you a HKSI Institute Member and/or a staff member of HKSI Institute Corporate Member ?

你是否本會會員及/或團體會員之員工?

No 否 Yes 是 Individual Membership No. 會員編號: _____

Corporate Membership No. 團體會員編號: _____

HKSI Institute Corporate
Member's Company Stamp
團體會員公司印章

Personal Information 個人資料

* Salutation 稱謂 Mr 先生 Ms 女士 Miss 小姐 Mrs 女士 Dr 博士 Sir 爵士 Prof 教授

Gender 性別 Male 男 Female 女

(Name as stated on your HK Identity Card / Passport 姓名必須與香港身份證 / 護照上相同)

* Surname (English) 姓氏 (英文)

* Given Name (English) 名字 (英文)

Surname (Chinese) 姓氏 (中文)

Given Name (Chinese) 名字 (中文)

* HK Identity Card/Passport Number 香港身份證/護照號碼 HK Identity Card/Passport Number is required for claiming CPD/CPT hours 須提供香港身份證/護照號碼以申請CPD/CPT時數

(Please put a "✓" in the "□" next to your preferred phone number, email address and correspondence address

請在你的首選電話號碼、電郵地址及通訊地址旁的“□”加上“✓”)

* Phone Number 電話號碼 (Please fill in at least one 請填寫最少一項)

Office 公司

Residential 住宅

Mobile 流動

* Email Address 電郵地址 (Please fill in at least one 請填寫最少一項)

Confirmation notice will be sent via e-mail 學會將透過電郵發出確認通知

Office 公司

Personal 個人

* Correspondence Address 通訊地址 (Please fill in at least one 請填寫最少一項)

Office 公司

Residential 住宅

* Current Employment 目前職業

Company Name 工作機構

Department 部門

Job Title 職位

Position Level 職級 Above manager level 經理以上 Manager level 經理 Below manager level 經理以下 Student 學生 Presently not employed / retired 目前未受聘 / 已退休

Regulatory License 監管機構牌照	
Organisation 機構	<input type="checkbox"/> Securities and Futures Commission (SFC) 證券及期貨事務監察委員會 <input type="checkbox"/> Hong Kong Monetary Authority (HKMA) 香港金融管理局 <input type="checkbox"/> I have no license 本人並無持有任何牌照
Role 職能	<input type="checkbox"/> Responsible Officer 負責人員 <input type="checkbox"/> Representative 代表 <input type="checkbox"/> Executive Officer 主管人員 <input type="checkbox"/> Non-Executive Officer 非主管人員
Regulated Activity / Type 受規管活動 <small>e.g. Type 1 – Dealing in Securities 第1類 - 證券交易</small>	
Date From 由	Date To 至

PART 2: Course / eCourse / eSeminar / Event Application 第二部份：申請課程/網上課程/網上研討會/活動

Code 編號	Title 名稱	Target Release Date 日期 (DD / MM / YY)	Fee 費用 (HK\$)
Trust Training Certificate (TTC) Part A			
1. TEPFR20010701	Unit 1: Introduction to Trusts	5 October 2020	\$1,050
2. TEPFR20010801	Unit 2: Types of Trusts and When Appropriate	12 October 2020	\$1,050
3. TEBFR20000301	Unit 3: Administration and Management of Trusts	19 October 2020	\$1,400
4. TEPWM20005601	Unit 4: Other Estate Planning Vehicles	26 October 2020	\$1,050
5. TEPWM20005701	Unit 5: Trusts compared with Other Estate Planning Vehicles	2 November 2020	\$1,050
6. TERCR20011701	Unit 6: Trusts and Compliance	9 November 2020	\$1,750
7. TERCR20011801	Unit 7: Trust Jurisdiction Comparison	16 November 2020	\$1,750
Administration fee 行政費用港幣			\$100.00
			Waived for TTC Full Course Enrolment
Total 合共 HK\$			\$9,100

PART 3: Payment Method 第三部份：付款方式

Cheque 支票 (Cheque No. 支票號碼: _____)
 EPS 易辦事
 Union Pay 銀聯卡^
 PayWave
 Apple Pay
(Please make cheque payable to "Hong Kong Securities and Investment Institute" 支票抬頭請付予 "香港證券及投資學會")

Amex Card
 [][][][] - [][][][][][][] - [][][][][]
 For internal use only 內部專用

VISA / Master Card
 [][][][] - [][][][] - [][][][][] - [][][][][]
 Approval Code [][][][][]

^ Union Pay Card
(^ Payment is accepted at the HKSI Institute's Counter only / 銀聯卡服務只限本學會櫃檯付款)

Cardholder Signature 持卡人簽署: _____
(This signature must correspond with the signature on the credit card 此簽署必須與信用卡相同)

Cardholder's Name 持卡人姓名: _____
 Expiry Date 有效日期: _____ (MM/YY)
(# Cardholder MUST BE the participant; otherwise, the HKSI Institute will reject the application 持卡人必須為申請者本人, 否則本會將不接受申請)

STATEMENT ON COLLECTION OF PERSONAL DATA 收集個人資料聲明

- You are required to supply your personal data and the information requested in the enrolment form, where applicable. Otherwise, the HKSI Institute may be unable to consider and process your application.
您必須提供申請表上所需的個人資料及課程申請所需資料(如適用)。否則本學會有可能不考慮及處理您的申請。
- The personal data provided in this form will be used for processing your application for registration, academic and administrative communication, research, statistical and marketing (Including direct marketing) purposes. In all such circumstances, please be assured that your personal information will be kept strictly confidential.
在法律許可情況下，個人資料將會作為報讀註冊、有關學術及行政通訊、研究、統計、市場分析及推廣(包括直接銷售)等用途。您的個人資料將絕對保密。
- The HKSI Institute will send urgent messages to you via email and supplemented by other means such as Short Message Services (SMS) when necessary. It is therefore important that your email address and mobile number are accurate. Any change should be reported to the HKSI Institute immediately.
在緊急情況下，學會將向您發放電郵，或在有需要時附以其他方式，例如短訊以作通知。請準確填寫您的電郵地址及手提電話號碼以便聯絡。如有任何更改，請盡快通知學會提出更新。

Applicant's Declaration 申請人聲明

I hereby declare that the information I provided in this enrolment form is complete and correct. I have read the "Notes" and agree to abide by the "Notes" mentioned. 本人謹此聲明在本申請表格中所填報之資料均屬正確無訛。本人已閱讀『申請須知』，並完全同意及遵守有關事項。

I do not wish to receive any marketing information from the HKSI Institute. 本人不希望收到任何有關學會發出之市場資訊。

Signature of Applicant 申請人簽署: _____ Date 日期: _____

NOTES

1. Please send your completed hardcopy enrolment form(s) together with the appropriate fee to the HKSI Institute by mail, e-mail or in person. Applicants who wish to enjoy Corporate/Individual Member rate must provide their valid membership number at the time of application. Otherwise, the non-member rate shall be charged and the fee difference will not be refunded. **DO NOT** send cash payments through the mail.
2. Your enrolment form(s) will be processed within **FOUR** working days of receiving the completed form(s) and payment(s). Enrolments by mail, e-mail or in person will be subject to availability at the time when the enrolment is processed.
3. You will receive an eConfirmation when your enrolment is successfully processed. The details would be updated on your personal Online Portal account (via “UPCOMING ACTIVITY”).
4. Please refer to the HKSI Institute website, Policies and Procedures for CPT Training Courses and Events (<https://www.hksi.org/en/development/policies/>), for details on Withdrawal and Refund.
5. You also confirm that you have accepted the Terms and Conditions included on the HKSI Institute Online Portal via Terms and Conditions of ePlatform_ (<http://www.hksi.org/en/terms-and-conditions-of-eplatform/>).

申請須知

1. 請透過郵寄、電郵或親身將填妥的報名表格正本連同合適費用交予香港證券及投資學會(“學會”)。如要使用學會的團體會員 / 個人會員優惠價，申請人必須於申請時提供有效的會員號碼。否則學會會收取非會員價，而價格差額將不會退還。請勿郵寄現金付款。
2. 學會將於收到您填妥的表格及有關款項後四個工作天內處理您的報名表格。如透過郵寄、電郵或親身報名，成功與否會視乎處理報名時的可用名額而定。
3. 您會於申請成功後收到一份電子確認書。詳情會於您的電子服務網站個人帳戶內更新(透過「即將舉行的活動」)。
4. 取消報名或退款詳情，請參閱香港證券及投資學會網站的持續專業培訓活動及課程的政策及守則 (<https://www.hksi.org/en/development/policies/>)。
5. 您亦可透過電子平台條款及條件(<http://www.hksi.org/en/terms-and-conditions-of-eplatform/>)確認您已接受香港證券及投資學會電子服務網站包含的條款及條件。