

8 October 2019

Dear Member

**HKSI Institute's Board Director Nomination and Election
Nomination Period between 8 October and 6 November 2019, 5:30pm**

We write to inform you that there will be three Board Director vacancies to be filled by election at the 22nd Annual General Meeting ("2019 AGM") on Thursday, 12 December 2019.

Pursuant to Article 21.1 of the Articles of Association of the Hong Kong Securities and Investment Institute ("AA"), three Directors who have served the Board for their first 3-year term shall retire from office at the 2019 AGM and are eligible for re-election according to Article 21.10:

<u>Name</u>	<u>Business Sector Represented</u>
Mr David Chau	Corporate Finance Group
Mr Christopher Lee	Academia
Mr Samuel Tsang	Securities Industry

Pursuant to Article 18.1, at least one of the vacancies shall be filled from Academia. Currently, Mr Christopher Lee is the only Board Director representing this sector on the Board.

As stipulated in Article 21.3(b) of the AA, all Senior Fellows, Fellows and Ordinary Members are eligible to stand for election to the Board. To qualify as a candidate for election, interested Members have to be proposed by at least twenty-five (25) qualified Voting Members (Senior Fellows, Fellows, Ordinary Members or Authorised Representatives of Corporate Members).

With the introduction of electronic mode for Board Director nomination this year, you now have the option to nominate via our electronic platform and/or paper mode. A separate email with a password has been sent to your preferred email address on 8 October 2019 to access the electronic platform.

If you plan to stand for election to the Board, please visit our website, **www.hksi.org**, under the section "**About us>Governance>General Meeting**" for details on the Nomination Guidelines and Form. The nomination deadline for candidacy for election at the 2019 AGM is **Wednesday, 6 November 2019, 5:30pm**.

Should you have further enquiries, please email us at agm@hksi.org.

The formal notice of the 2019 AGM will be sent to you in due course.

Yours faithfully

Company Secretary