

Login

HK Identity Card/Passport No.

If your identification document number is A123-45678(0), type A123456780.

Login

Q W E R T Y U I O P 1 2 3

A S D F G H J K L 4 5 6

Z X C V B N M 7 8 9

Clear Backspace 0

Colour Theme **Default** Font Size **Medium** Language/語言 **English**

uction

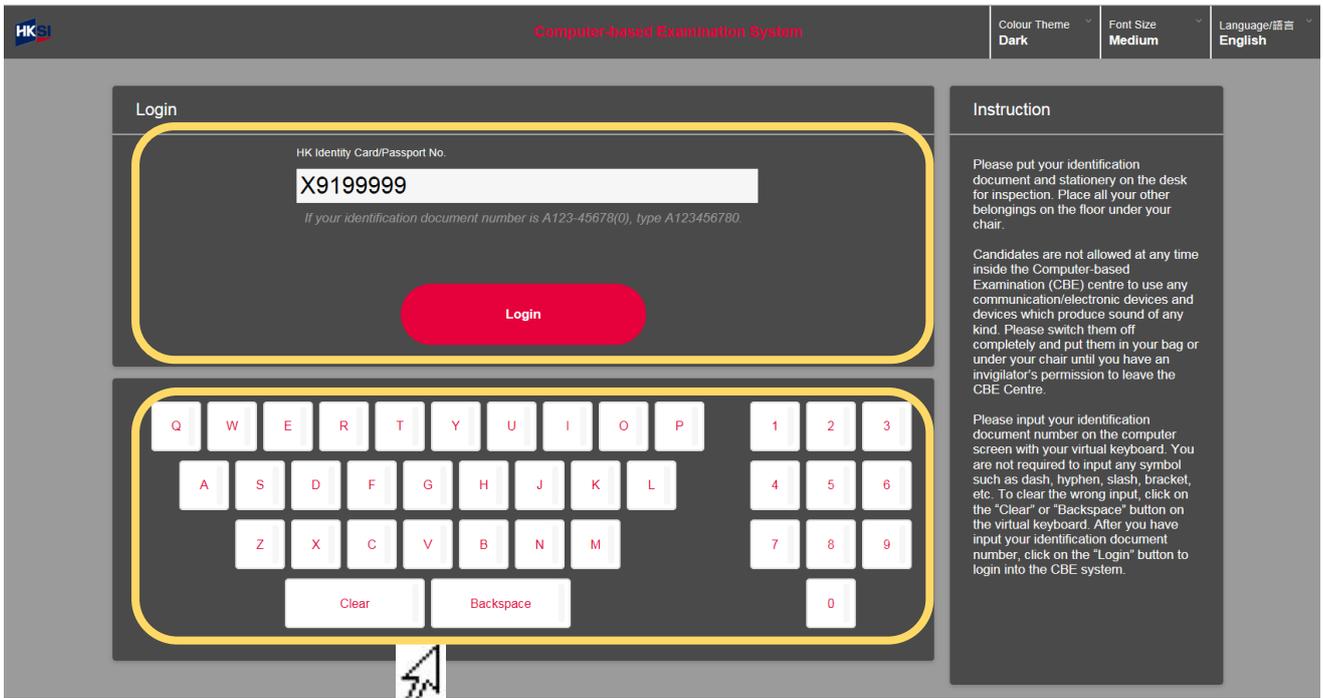
Please put your identification document and stationery on the desk for inspection. Place all your other belongings on the floor under your chair.

Candidates are not allowed at any time inside the Computer-based Examination (CBE) centre to use any communication/electronic devices and devices which produce sound of any kind. Please switch them off completely and put them in your bag or under your chair until you have an invigilator's permission to leave the CBE Centre.

Please input your identification document number on the computer screen with your virtual keyboard. You are not required to input any symbol such as dash, hyphen, slash, bracket, etc. To clear the wrong input, click on the "Clear" or "Backspace" button on the virtual keyboard. After you have input your identification document number, click on the "Login" button to login into the CBE system.

Select **preferred language**, **font size** and **color theme** as the system interface on the top right-hand corner of the screen. System interface can be changed throughout the examination.

Candidates may read the instruction in a box on the right-hand side of the screen.



Use the **virtual keyboard** to enter the “**HK Identity Card/Passport No.**”.

(Note: No need to input any symbol such as dash, hyphen, slash, bracket, etc.)

To clear the wrong input, click on the “**BACKSPACE**” or “**CLEAR**” button on the virtual keyboard.

Login

HK Identity Card/Passport No.

X9199999

If your identification document number is A123-45678(0), type A123456780.

Login

A virtual keyboard interface with the following layout:

- Row 1: Q, W, E, R, T, Y, U, I, O, P
- Row 2: A, S, D, F, G, H, J, K, L
- Row 3: Z, X, C, V, B, N, M
- Row 4: Clear, Backspace
- Row 5: 1, 2, 3
- Row 6: 4, 5, 6
- Row 7: 7, 8, 9
- Row 8: 0

Instruction

Please put your identification document and stationery on the desk for inspection. Place all your other belongings on the floor under your chair.

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Click on the **“Login”** button to login into the Computer-based Examination system.

Information Confirmation

Candidate Name
LOK Moon Fan
樂滿芬

Examination Name
**Licensing Examination for
Securities and Futures
Intermediaries**

Paper Number
1

Examination Date
09/12/2019

Start Time
10:45

End Time
12:15

* Please check the information carefully. If there are any errors, please contact the invigilator immediately for assistance.

Enter



Instruction

Please check the correctness of the examination information displayed, or contact the invigilator immediately if the information is incorrect.

After checking the correctness of the examination information displayed, click on the "Enter" button to proceed to the Important Notice.

Click on the **“Enter”** button after **checking the correctness** of the examination information displayed to proceed to the next page. Contact the invigilator immediately if the information is incorrect.

Examination Rules

IMPORTANT NOTICE

Rules

Candidates are allowed to place their Hong Kong identity card/passport, stationery (pencils, erasers, rulers, pencil sharpeners and authorised electronic calculators that do not use DOT-MATRIX technology in the main display) and draft paper provided by the HKSI Institute on the desks. Their personal belongings and other materials must be placed under the chairs.

Candidates are not allowed to use any communication/electronic devices at any time inside the Computer-Based Examination (CBE) centre (such as mobile phones, Bluetooth headset, tablets, smart watches, alarm watches, etc.) which produce sound of any kind, and which may interfere with our computer equipments in the CBE centre. Those devices must be switched off and put in candidates' bags and/or under their chairs.

Candidates must not litter, drink, eat (including chew gum), smoke or take photographs with any devices in the CBE centre.

Candidates are not allowed to leave the CBE centre within the first 20 minutes of the starting of the examination time and the last 15 minutes of the examination session. If you wish to leave outside the restricted times, please raise your hand and wait for the Invigilator's permission.

Candidates who fail to follow the above rules and/or any rules listed in our Examination Regulations of the Examination may result in DISQUALIFICATION from this examination.

Candidates must not close your browser at any time. If candidates do so, they will have to ask an invigilator to re-login to the CBE system for them to continue with the examination. However, no extra time will be given to the candidates under this circumstance.

Candidates must be careful before clicking on the "Confirm Submission" button, because this will end this examination session.

Once the examination time is up for the CBE session, candidates should remain silent and seated and wait for the Chief Invigilator's permission.

All information, materials and questions are the proprietary information of the HKSI Institute and all copyright therein belongs exclusively to the HKSI Institute. By taking this examination, candidates are deemed to acknowledge the HKSI Institute's intellectual property rights (including copyright) in all such information, materials and questions. Candidates are not allowed to copy, distribute, publish, modify, create derivative works from or otherwise use this information, materials and questions in any manner or media. Candidates who commit such acts without the HKSI Institute's authority may be disqualified from the examination. Further, the HKSI Institute reserves the right to take appropriate action against such candidates to enforce its intellectual property rights.

Instruction

Please read through the Important Notice.

After you have read through the Important Notice, please put a tick in the appropriate box and click on the "Confirm" button at the bottom of this page.

I have read the contents of the page and accept the requirements thereof

Confirm

Read carefully the Important Notice, put a tick in the box and click on the "Confirm" button at the bottom of this page when finished.

Licensing Examination for Securities and Futures Intermediaries

1. You are strongly recommended to take this mock examination before you proceed to the real examination.
2. The mock examination allows you to familiarise yourself with the Computer-based Examination System.
3. You will **NOT** be marked on the questions of the mock examination.

Start Mock Examination

Start Real Examination



Instruction

You may click on the "Start Mock Examination" button if you want to take the mock examination. The mock examination takes a maximum of 3 minutes. It can only be attempted ONCE by each candidate. When you want to end or exit the mock examination, please click on the "Submit All Answers" button.

If you do not want to take the mock examination, you may click on the "Start Real Examination" button and wait quietly at your assigned seat for the real examination to start.

Click on the "**Start Real Examination**" button to start the real examination.

(Note: if candidates log in before the scheduled starting time of the examination and would like to take the mock examination, click on the "**Start Mock Examination**" button instead).

①

Examination Name
LE Paper 1Candidate Name
LOK Moon Fan

Calculator

Current Time
12:05:32End Time
13:12:26Remaining Time
01:06:54

②

Question Number
Question 1

Bookmark

Testing 31

Question List

Completed Questions
0 / 60

1	2	3
4	5	6
7	8	9
10	11	12
13	14	15
16	17	18
19	20	21
22	23	24
25	26	27
28	29	30
31	32	33
34	35	36
37	38	39
40	41	42
43	44	45
46	47	48

③

- A Option 31A
- B Option 31B
- C Option 31C
- D Option 31D

Next Question

Submit All Answers

The real examination starts.

Examination paper that candidate is attempting, candidate name and built-in calculator are displayed in ① box. Examination question is shown in ② box. Answer options (i.e. the four options A, B, C, D, is shown in ③ box.

Examination Name: **LE Paper 1** Candidate Name: **LOK Moon Fan**

Question Number: **Question 1**

Testing 31

A Option 31A
 B Option 31B
 C Option 31C
 D Option 31D

Current Time: 12:06:15 End Time: 13:12:26 Remaining Time: **01:06:11**

Question List Completed Questions: **1 / 60**

1 A	2	3
4	5	6
7	8	9
10	11	12
13	14	15
16	17	18
19	20	21
22	23	24
25	26	27
28	29	30
31	32	33
34	35	36
37	38	39
40	41	42
43	44	45
46	47	48

To answer a question, click on the **answer option / “” button** adjacent to the option answers A, B, C or D to indicate the answer that you have chosen.

Click another answer option / “” button for changing answer.

Selected answer will be displayed in the Question List window of the right-hand side of the screen.

Examination Name
LE Paper 1

 Candidate Name
LOK Moon Fan

Calculator

 Current Time
 12:17:47

 End Time
 13:12:26

 Remaining Time
 00:54:39

 Question Number
Question 2

Bookmark

Testing 32

Question List

 Completed Questions
 2 / 60

1 A	2 B	3
4	5	6
7	8	9
10	11	12
13	14	15
16	17	18
19	20	21
22	23	24
25	26	27
28	29	30
31	32	33
34	35	36
37	38	39
40	41	42
43	44	45
46	47	48

- A Option 32A
- B Option 32B
- C Option 32C
- D Option 32D

Previous Question

Next Question

Submit All Answers

To go to the next question, click on the “**Next Question**” button.

To return back to the previous question, click on the “**Previous Question**” button.

Candidate may also click on the **clickable question/answer button** on the right-hand side of the screen to go to the respective question/answer.

Examination Name
LE Paper 1

Candidate Name
LOK Moon Fan

Question Number
Question 3

Testing 33

Option 33A
Option 33B
Option 33C
Option 33D

[Previous Question](#)

Calculator

Bookmarked

Current Time
12:13:36

End Time
13:12:26

Remaining Time
00:58:50

Question List Completed Questions
59 / 60

1 A	2 B	3 ■
4 D	5 D	6 B
7 B	8 B	9 B
10 B	11 B	12 B
13 B	14 B	15 B
16 B	17 B	18 A
19 A	20 A	21 A
22 A	23 A	24 A
25 A	26 B	27 B
28 A	29 A	30 A
31 A	32 A	33 A
34 C	35 C	36 C
37 C	38 C	39 C
40 C	41 C	42 C
43 C	44 A	45 A
46 A	47 A	48 A

[Submit All Answers](#)

✕
☰

☰
3
✕

7	8	9	+	×
4	5	6	-	÷
1	2	3	C	
+/-	·	0	=	

Candidate may use the built-in **Calculator** by clicking on the “**Calculator**” button, click on “☰” to move the calculator on the screen or click on “✕” to close the calculator function.

Examination Name LE Paper 1	Candidate Name LOK Moon Fan	Calculator	Current Time 12:07:17	End Time 13:12:26	Remaining Time 01:05:09																																																
Question Number Question 3			Bookmarked 																																																		
Testing 33			Question List Completed Questions 2 / 60																																																		
<input type="radio"/> A Option 33A <input type="radio"/> B Option 33B <input type="radio"/> C Option 33C <input type="radio"/> D Option 33D			<table border="1"> <tr> <td>1 A</td> <td>2 B</td> <td>3 </td> </tr> <tr> <td>4</td> <td>5</td> <td></td> </tr> <tr> <td>7</td> <td>8</td> <td></td> </tr> <tr> <td>10</td> <td>11</td> <td>12</td> </tr> <tr> <td>13</td> <td>14</td> <td>15</td> </tr> <tr> <td>16</td> <td>17</td> <td>18</td> </tr> <tr> <td>19</td> <td>20</td> <td>21</td> </tr> <tr> <td>22</td> <td>23</td> <td>24</td> </tr> <tr> <td>25</td> <td>26</td> <td>27</td> </tr> <tr> <td>28</td> <td>29</td> <td>30</td> </tr> <tr> <td>31</td> <td>32</td> <td>33</td> </tr> <tr> <td>34</td> <td>35</td> <td>36</td> </tr> <tr> <td>37</td> <td>38</td> <td>39</td> </tr> <tr> <td>40</td> <td>41</td> <td>42</td> </tr> <tr> <td>43</td> <td>44</td> <td>45</td> </tr> <tr> <td>46</td> <td>47</td> <td>48</td> </tr> </table>			1 A	2 B	3 	4	5		7	8		10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32	33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
1 A	2 B	3 																																																			
4	5																																																				
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40	41	42																																																			
43	44	45																																																			
46	47	48																																																			
<input type="button" value="Previous Question"/>		<input type="button" value="Next Question"/>																																																			
<input type="button" value="Submit All Answers"/>																																																					

Candidate may **bookmark** a question (to return to the question for review or re-checking) by clicking on the “” button adjacent to “Bookmark”.

Once a question is bookmarked, a “” button would be displayed adjacent to the selected question number shown in one of the clickable question/answer buttons on the right-hand side of the screen. Candidate may click on the bookmarked clickable question/answer button to return to the question.

Click the “” button adjacent to “Bookmark” button if you would like to cancel the bookmark of the question.

Examination Name
LE Paper 1

Candidate Name
LOK Moon Fan

Calculator

Current Time
12:05:32

End Time
13:12:26

Remaining Time
01:06:54

Question Number
Question 1

Bookmark

Testing 31

Question List

Completed Questions
0 / 60

1	2	3
4	5	6
7	8	9
10	11	12
13	14	15
16	17	18
19	20	21
22	23	24
25	26	27
28	29	30
31	32	33
34	35	36
37	38	39
40	41	42
43	44	45
46	47	48

A Option 31A

B Option 31B

C Option 31C

D Option 31D

Next Question

Submit All Answers

On the time window, the “**Current Time**” is the current time of the Computer-based Examination system. “**End Time**” is the time the examination would end, and the “**Remaining Time**” is the time remaining before the examination reaches the examination “**End Time**”.

Examination Name
LE Paper 1

Candidate Name
LOK Moon Fan

Calculator

Current Time
12:13:15

End Time
13:12:26

Remaining Time
00:59:11

Question Number
Question 60

Bookmark

Testing 36

Question List

Completed Questions
59 / 60

1 A 2 B 3 B
4 D 5 D 6 B
7 B 8 B 9 B
10 B 11 B 12 B
13 B 14 B 15 B
16 B 17 B 18 A
19 A 20 A 21 A
22 A 23 A 24 A
25 A 26 B 27 B
28 A 29 A 30 A
31 A 32 A 33 A
34 C 35 C 36 C
37 C 38 C 39 C
40 C 41 C 42 C
43 C 44 A 45 A
46 A 47 A 48 A

A Option 36A
 B Option 36B
 C Option 36C
 D Option 36D

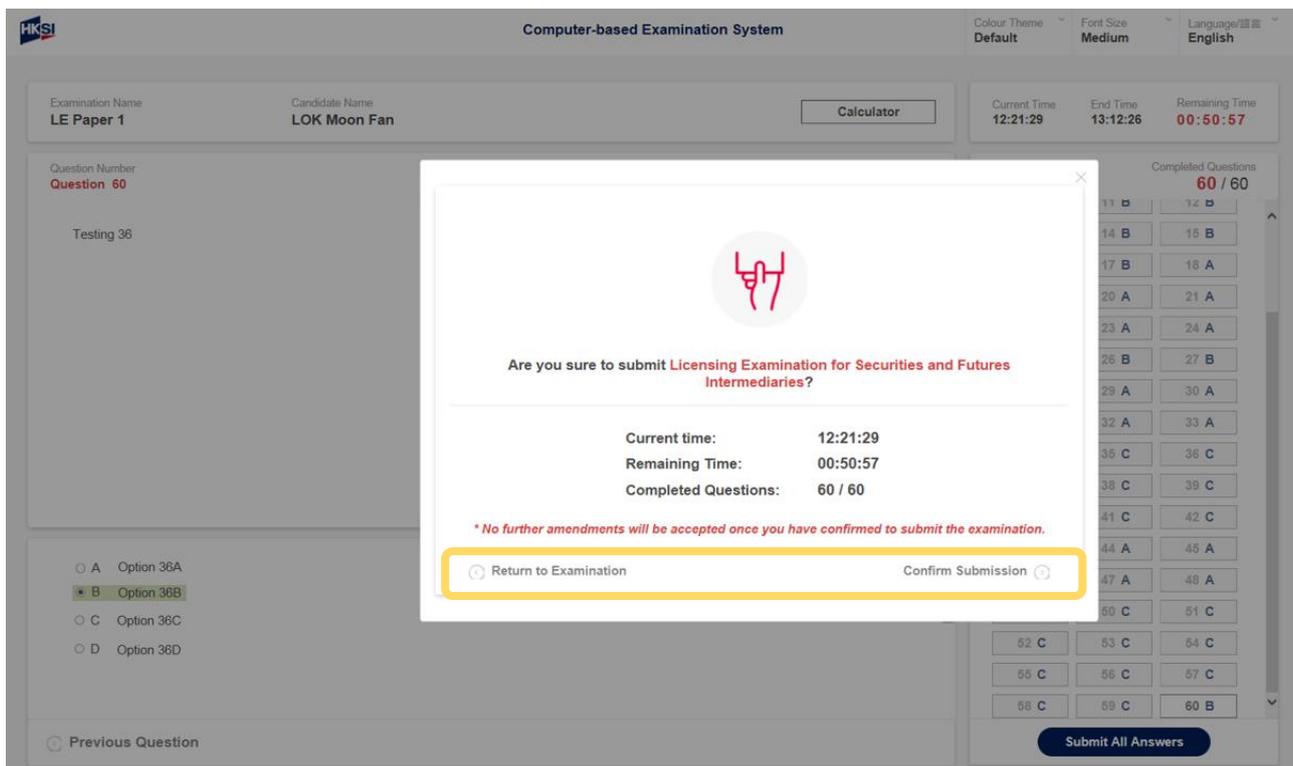
Previous Question

Submit All Answers

Complete And Submit The Real Examination **BEFORE Examination END TIME**

After the Computer-based Examination has been started for more than 20 minutes, the candidate may submit the examination answers and leave the examination centre with the approval of an invigilator. Before submitting the answers, the candidate can check if all the questions have been answered by looking at the clickable question/answer buttons of the Question List on the right-hand side of the screen. Question numbers with a letter (A, B, C or D) are those questions that have been answered.

To begin the process of ending the examination, click on the “**Submit All Answers**” button.



Complete And Submit The Real Examination **BEFORE Examination END TIME**

The completion status of the examination for the respective candidate is displayed: “Current Time”, “Remaining Time” and “Completed Questions” (The figures denote the number of questions completed and the total number of questions in the examination).

To return to the examination, click on the “**Return to Examination**” button.

To end the examination, click on the “**Confirm Submission**” button. Upon clicking the “Confirm Submission” button, **NO** further amendment will be accepted.



You have successfully submitted the examination. Thank you.

Complete And Submit The Real Examination **BEFORE Examination END TIME**

All answers, ticked or unticked, have been submitted. Examination results will be displayed on the screen shortly.

Real Examination Ended By Examination End Time

The invigilator will announce that the examination time is up and the examination has been ended automatically and submitted all answers, ticked or unticked, by the examination end time.

Examination results will be displayed on the screen shortly.



Your Examination Results

Candidate Name: LOK Moon Fan
Examination Name: Licensing Examination for Securities and Futures Intermediaries
Examination Paper: 1
Number of Questions Answered Correctly: 57
Your Grade: Pass

Please download and print your Notification of Results from the HKSI Institute Online Portal approximately TWO hours after the examination.
Photo-taking and video recording are prohibited inside the CBE centre. Failure to do so may result in your disqualification from the examination.

Close



Click on the “**Close**” button after viewing examination score and grade of the examination displayed to proceed to the next page (Candidates may download and print their Notification of Results from the HKSI Institute Online Portal approximately two hours after the examination).

Examination finished. Thank you.
Please await further instructions from the invigilator.
When you leave, make sure that you take all your personal belongings and identification documents with you.

Please await further instructions from the invigilator.
Please make sure to take all personal belongings and identification documents when leave.