

# Fraud Investigation in Hong Kong

## A Four Part Training Course (Foundation Level)



Saturday mornings in June, 2019  
Hong Kong

~ Visit Us Online: [www.hkacfe.com](http://www.hkacfe.com) ~

### CPE Hours:

12 (3 for each session)

### Date / Time:

June 8th, 15th, 22nd, 29th 2019  
9am - 12noon

### Venue:

Room CBA, Ground Floor  
Chow Yei Ching Building  
The University of Hong Kong  
Pokfulam, Hong Kong

### About the Course:

- Brought to you by the Hong Kong Chapter of the Association of Certified Fraud Examiners.
- A four part training course to be held on Saturday mornings in June 2019, tailored to the needs of Hong Kong fraud examiners and offering a total of 12 CPE hours.
- This training covers areas which are specific to Hong Kong and Mainland China, and which are not covered elsewhere in ACFE training materials.
- The content will assist candidate CFEs preparing for their CFE examination, and draw on the experience of locally based CFEs and experts in legal practice and psychology to consider topics that will broaden the expertise of our certified members.

### About the Organizer:

- The ACFE is the world's largest anti-fraud organization and premier provider of anti-fraud training and education. Together with more than 85,000 members, the ACFE is reducing business fraud worldwide and inspiring public confidence in the integrity and objectivity within the profession.
- The ACFE Hong Kong Chapter holds regular social and training activities to connect anti-fraud professionals and promote professional advancement in the prevention, detection and investigation of fraud in Hong Kong.

### Organized by:



### In Partnership With:



CENTRE FOR CRIMINOLOGY  
THE UNIVERSITY OF HONG KONG

### Who Should Attend:

- Fraud investigators and fraud examiners in both the private and public sectors, compliance officers, accountants, auditors, regulators, analysts, bankers, lawyers and lenders, as well as risk control and internal control personnel.

**Saturday 8th June 2019**

**Information: Intelligence, Lies and Evidence**

**9am -12noon - 3 Hours / 3 CPE Credits**

**Presenter: Ian Barlow, CFE, Softly Softly Consulting Limited**

Ian Barlow is an experienced security risk management consultant with specialist knowledge of fraud investigations gained during a career in the Commercial Crime Bureau of the Hong Kong Police and working in Asia in the financial services and advanced technology industries. He is a Certified Fraud Examiner and board member of the Hong Kong Chapter of the Association of Certified Fraud Examiners, an ISACA Certified Information Security Manager, ASIS Certified Protection Professional, and a member of the Business Continuity Institute. He now works as an independent security risk management consultant.

**Outline:**

Your client has two big questions, "How?" and "Where?" The client looks to you to say how this happened and where their money went. Everything else you do leads on from these two questions, "who did it?", "why did they do it?", "where are they now?", "how can we stop this happening again?", and the big one, "where is our money now?" To answer these questions you need to be able to examine shards of information and bits of intelligence to decide what's useful as evidence, and how you can use all these fragments. You need reliable information. Without it you are not going to get very far as a fraud examiner. In this session we will look at information gathering as the core of fraud examination. We will look at how and where you can find information, your legal and ethical limits and how and when you might use the information you have gathered.

**Saturday 15th June 2019**

**Investigative Interviewing**

**9am -12noon - 3 Hours / 3 CPE Credits**

**Presenter: Dr. C.M. Young, Law Enforcement Agency**

Dr. Young is an accomplished Law Enforcement officer, with more than 32 years of experience in a wide spectrum of roles and responsibilities which include but not limited to crime investigation and prevention, community safety and engagement, due diligence and due compliance, internal disciplinary enquiry and integrity management. He holds a PhD in Business and Management, a Master Degree of Business Administration, and a Master Degree in Public Order Studies. He is also a Certified Information Security Manager. He has been a part time lecturer of Master Degree, Bachelor Degree and Professional Diploma courses within the disciplines of criminal justice, business administration, management, and anti-corruption studies.

**Outline:**

Interviewing victims, witnesses and suspects is vital to the success of an investigation conducted by both the public and private investigators. Given that an interview is a conversation intended to elicit information from people, interviewing is unquestionably complex and requires learning and practice to ensure that high standards are achieved and maintained. It is also essential that the investigator acts with professionalism and integrity. This session will introduce investigative interviewing techniques, such as Cognitive Interview, Conversational Management, as well as application of good practice underpinned by seven key principles, and how to use the PEACE Interview Model (i.e. Planning and preparation, Engage and explain, Account clarification and challenge, Closure, and Evaluation) for interviewing in operational situations. The session will also provide participants with an overview of the general principles and techniques for fraud investigative interviewing in potential internal or disciplinary cases in the private sectors.

**Saturday 22nd June 2019**

**Clashing Cultures of Compliance**

**9am -12noon - 3 Hours / 3 CPE Credits**

**Presenter: Gabor Holch, General Manager, Campanile Management Consulting**

Gabor Holch is an intercultural leadership coach, author, speaker and consultant who has served 100+ clients in 30+ countries. An expat since age 4, China-based since 2002 and working globally, Gabor is a Certified Management Consultant (CMC) in English and Mandarin, certified consultant at the management academies of half a dozen global corporations and licensed in major assessment tools including DISC, the Predictive Index, NeuroColor and MBTI. Gabor regularly speaks at corporate events, executive MBA, business and academic exchange programmes in the EU and Asia. He is the author of three books and has published around a hundred articles in research papers and magazines. He currently writes his next book, an insight into the life and work of China-based multinational executives.

**Outline:**

Those who hoped that information technology would instantly make global business trackable and compliant were both right and wrong. Yes, multinational firms can now use AI-powered data systems to hold Brussels and Bangalore to the same transparency and compliance standards, and reach whistle blowers at the safest and scariest locations. But while some technologies help people play by the rules, others create shortcuts, unpredictability and risk. Mobile payment systems blur lines between payment types, multiple communication channels evade scrutiny and a culture of disruption encourages ceaseless experimentation. In organizations, these two trends tend to clash, confuse and create incidents. Managers who want to take their expertise to the future must be familiar with the dynamics and cultures of certainty and uncertainty.

In this session, intercultural leadership consultant Gabor Holch demonstrates how a diversity of national, professional and corporate cultures trigger tugs-of-war over issues as trivial as invoices, reimbursements, gifts, treats, signatures and fraud handling. He also shows why such conflicts escalate, become emotional and can ultimately spin out of control. He then introduces a simple method to map clashing personal values, national and professional cultures, which leaders can use to plan constructive approaches and train their organizations to do the same. Gabor brings cases from his 17-year consulting experience in China and Asia, where fundamental values like tradition, competition, agility and wellbeing often clash with compliance.

**Saturday 29th June 2019**

**SQLite-Backed Message Record Recovery**

**9am -12noon - 3 Hours / 3 CPE Credits**

**Presenter: Albert Hui, Security Ronin**

Albert Hui is a cyber security guru. Having spent years breaking and protecting IT systems for investment banks, government and national critical infrastructures, Albert is most adept in securing sensitive mission-critical systems. His multidisciplinary background has given him the versatility to speak the languages of investigators, auditors, risk managers, developers and senior management.

Ever a thought leader, Albert has spoken at Black Hat, ACFE Fraud Conference, HTCIA Forensics Conference, GIR Live and Economist Corporate Network. He takes great pride in having co-designed the original cyber forensics curriculum offered to Hong Kong Police Force and ICAC by HKUST.

**Outline:**

SQLite databases power a great number of modern applications important for forensic investigations, including SMS and WhatsApp messages, and Chrome browsing history, just to name a few. However, common SQLite software glosses over nuances which could potentially make or break a case, whereas SQLite forensic tools require certain basic knowledge to make sense of their outputs.

In this hands-on session Albert will take you on a deep-dive tour of SQLite. Albert will provide tools and sample data for you to get your hands dirty recovering deleted / corrupted / partial records and reconstructing a timeline of how the databases changed over time. Knowledge and skills gained thus would empower you to examine SQLite evidence with confidence. **Participant who attend this session should bring with her/him a modern Windows laptop (MacBook is not applicable).**

## CPE Credits

Each three-hour session will earn 3 CPE points. Members attending all the sessions can earn 12 CPE points.

# Registration Guide

A maximum of 100 places are available for this course.

Seats will only be reserved on a first-come-first-serve basis and after both completed Registration Form and payment are received.

Substantial discounts are offered for members of the Hong Kong Chapter, members of ACFE and students / members of selected organizations: ASIS, ACCA, CIMA, HKICPA, IIA, ISACA, HKSI, HKAFA, HKINEDA, and HKU. Pricing is shown in the attached registration form.

In addition to members discounts, participants are also entitled to a 10% discount for groups with at least 5 participants.

### Terms and Conditions:

- ◆ Registration will be confirmed upon receipt of Registration Form and Payment.
- ◆ Confirmation of the registration will be sent when closer to the training date.
- ◆ Payment is non-refundable, but transfer to another person of the same category will be accepted.
- ◆ Participants may be refused admission if payment is not received prior to the event.
- ◆ Receipt for payment, together with the certificate of attendance (if applicable), will be sent upon completion of the training course
- ◆ The organisers reserve the right to substitute speakers and alter the programme and venue for reasons beyond their control.
- ◆ Update information will be available on [www.hkacfe.com](http://www.hkacfe.com).
- ◆ CPE Points will be awarded for attendance, confirmed by signing in on each day of the course.
- ◆ 3 CPE points will be awarded for each day of the course with a possible maximum credit of 12 CPE points.

### Policy for bad weather condition:

- ◆ When typhoon signal No. 8 or Black Rainstorm Warning is being hoisted 2 HOURS before training course commencement, the training course will be RESCHEDULED and all participants will be notified for the new arrangement of the training course later.

## Fraud Investigation Training

### CPE Hours:

12

### Date / Time:

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9am - 12noon

### Venue:

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Pokfulam, Hong Kong

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### How to Pay

#### By Direct Deposit,

Pay to the Bank of East Asia A/C No.  
015-514-40-67876-7 Account Name:  
"Association of Certified Fraud  
Examiners Hong Kong Chapter  
Limited"

#### By Cheque -

Payable to "Association of Certified  
Fraud Examiners Hong Kong Chapter  
Limited"\*

\* No payment will be received or accepted without full  
name stated in the cheque and/or bank transfer and/or  
bank remittance documents.

### How to Register

Complete and send the Reg-  
istration Form and Payment  
to "Association of Certified  
Fraud Examiners Hong Kong  
Chapter Limited":

#### By post :

GPO Box 8412, Central, HK

By fax: (852) 3019 2251

By mail:

[events@hkacfe.com](mailto:events@hkacfe.com)

**To:** Association of Certified Fraud Examiners Hong Kong Chapter Limited  
**fax:** (852) 3019 2251  
**email:** [events@hkacfe.com](mailto:events@hkacfe.com)  
**post:** GPO Box 8412, Central, Hong Kong

## Registration Form

Categories of Participants	8 June 2019	15 June 2019	22 June 2019	29 June 2019	All Four Sessions
ACFE HK / ACFE Members, Students / Members of ASIS, ACCA, CIMA, HKICPA, IIA, ISACA, HKSI, HKAFA, HKiNEDA, and HKU	HK\$660	HK\$660	HK\$660	HK\$660	HK\$2,000
<b>No. of Participants</b>					
<b>Sub-total:</b>					
<b>Non-Members</b>	HK\$1060	HK\$1060	HK\$1060	HK\$1060	HK\$3,400
<b>No. of Participants</b>					
<b>Sub-total:</b>					
<b>10% Group Discounts (5 or more persons)</b>					
<b>GRAND TOTAL:</b>					

Name:		Employer:	
Email:	Phone:	Registration for: June 8 / 15 / 22 / 29 / All	
Member of ACFE HK / ACFE (Non-HK Chapter) Member (ACFE Membership No. _____) Member of ASIS, ACCA, CIMA, HKICPA, IIA, ISACA, HKSI, HKAFA, HKiNEDA, and HKU (Membership No. _____)			
Name:		Employer:	
Email:	Phone:	Registration for: June 8 / 15 / 22 / 29 / All	
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Email:	Phone:	Registration for: June 8 / 15 / 22 / 29 / All	
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# Map: Chow Yei Ching Building, The University of Hong Kong



For more information, please call Security Control Centre's 24-hour hotline (3917-2882).