

Breakfast seminar

“The contribution of Fraud Prevention to the Governance of Listed Entities in Hong Kong”

Host: Mr. Charles Lo on behalf of ACFE HK

Date and Time:

Friday, 15 March 2019

(8:00 am – 10:00 am)

7:45 am – Registration

8:00 am – Presentation and breakfast

10:00 am – End

Venue:

Victoria Suite, 1/F., Hong Kong Club

1 Jackson Road, Central, Hong Kong

(Breakfast included)

Language: English

Dress Code: Business Smart*

Award: 2 CPE points

As requested by Hong Kong Club, jeans, T-shirts, tracksuits, shorts, shoes for sports activities and flip-flops are **not acceptable.*

***No** denim may be worn at any time.*

*Attendees **shall** comply with the dress code at all times. **Otherwise he/she will not be allowed to attend the seminar and no refund will be made.***

It is not quite as well known it might be that Fraud Prevention can make a significant contribution to the good governance of listed Hong Kong companies. There is already a requirement for listed companies to report fraud, and there are recommendations about preventative measures. This can all be found in the Environmental Social Governance Reporting Guide in Part B of Appendix 27 of the Hong Kong Stock Exchange listing rules. It is not easy to find, and it is not widely known. Beyond that there is not much public discussion about how preventative measures can make a direct contribution to good corporate governance.

This seminar will address the potential legal and listing rule liabilities that directors might face for failing to establish a robust fraud prevention scheme. This is where fraud and financial crime can find a voice in the boardroom, and where you as a CFE can make a contribution to help directors understand their obligations and take an active role in the governance of companies.

Presenter:

Dr. Angus Young

Senior Lecturer, Department of Accountancy and Law, Hong Kong Baptist University

Dr. Angus Young is a Senior Lecturer at the Department of Accountancy and Law, Hong Kong Baptist University. He is also a Research Fellow of the Centre for Corporate Governance and Financial Policy, and an Associate Programme Director of MSc in Applied Accounting & Finance of the Hong Kong Baptist University.

Concurrently, Dr. Young is a Distinguished Research Fellow at the German-Sino Institute of Legal Studies, Nanjing University and an Adjunct Fellow at the School of Law, Western Sydney University. He is the Hong Kong Representative for the GRC Institute (Australia) and the Publishing & Product Development Advisor for Wolters Kluwer Hong Kong Ltd.

Dr. Young's research interest is on Regulations, Governance and Compliance. He is a profile writer with over 100 publications on a range of business laws and related subject matters. His contributions to the field of compliance had been recognized with three awards by the GRC Institute (Australia) in 2009 and 2014.

Registration Slip

I would like to reserve _____ seat(s) for the Breakfast Seminar on 15 March 2019 (Friday).
 Payment of HKD _____ Cheque No. _____ is enclosed.
 Full Name: (Mr./Ms./Mrs.) _____
 *ACFE/ASIS/ACCA/CIMA/HKICPA/ISACA/IIA/HKSI Membership Number. _____
 (Circle as appropriate)
 Company: _____ Title: _____
 Mailing address: _____

 Tel No: _____ Fax No: _____ Email: _____

Remarks:

- Registration will be accepted on a first-come-first-serve basis. Registration deadline is **12 March 2019 (Tuesday)**.
- Prior registration is essential. Confirmation of the registration will be sent when closer to the training date. **No cash payments will be accepted at the event.**
- Please complete and return this Registration Form together with your payment to ACFE HK:
 By fax: 3019 2251 / By email: events@hkacfe.com / By post: GPO Box 8412, Central, Hong Kong
- Payment should be made by one of the following methods:
 - By Direct Deposit, at Bank of East Asia Account No. 015 514 40 67876 7, "**Association of Certified Fraud Examiners Hong Kong Chapter Limited**";
 - By Cheque, payable to: "**Association of Certified Fraud Examiners Hong Kong Chapter Limited**".
- Payment is not refundable, but transfer to another person of the same category will be accepted.
- Receipt for payment, together with the certificate of attendance (if applicable), will be sent upon completion of the seminar / event.
- We reserve the right to substitute speakers and alter the programme and venue for reasons beyond our control.
- Members wishing to comment about the presentation or administrative arrangements should contact us at president@hkacfe.com and training@hkacfe.com

Fees:

	ACFE HK Members, Students /Members of ACFE, ASIS, ACCA, CIMA, HKICPA, ISACA, IIA, HKSI, HKAFA and HKINEDA	Non Members
Fees	HKD450	HKD550

Discounts:

- A 10% discount will be given to groups of 5 or more registering together. In order to enjoy the group



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discount, all group members MUST register and submit payment at the same time.