

**TRUST TRAINING CERTIFICATE EXAMINATION  
ENROLMENT FORM** (applicable for TTC Course Participants only)

**For Office Use Only**

Date: \_\_\_\_\_

Amount: \_\_\_\_\_

Handle By: \_\_\_\_\_

Counter Remark: \_\_\_\_\_

Enrolment ID.: \_\_\_\_\_

Individual ID: \_\_\_\_\_

- Commencing 1 April 2018, all offline enrolment will be charged an administration fee of HK\$100 per application form. The administration fee is waived by submitting enrolment online via [HKSI Institute Online Portal \(https://login.hksi.org\)](https://login.hksi.org).
- Please read the Trust Training Certificate Examination (TTC Exam) - Examination Handbook carefully before completing this form. The Examination Handbook is downloadable from the [HKSI Institute website \(www.hksi.org\)](http://www.hksi.org).
- Please complete legibly all parts in BLOCK LETTERS and in BLACK or BLUE INK and put a "✓" in the appropriate "□".

**PART 1: Personal Particulars (COMPULSORY)**

(As stated on your HK Identity Card / Passport)

Surname in English		First Name in English	
姓氏 (中文) Surname in Chinese		名字 (中文) First Name in Chinese	
HK Identity Card/Passport Number			
You are required to present the original copy of the identification document(s) specified above during the examination			
Date of Birth	DD	MM	YY
Gender <input type="checkbox"/> Male		<input type="checkbox"/> Female	
Salutation <input type="checkbox"/> Mr <input type="checkbox"/> Mrs <input type="checkbox"/> Ms <input type="checkbox"/> Miss <input type="checkbox"/> Dr	Mobile Phone Number		
Email Address		Please provide your PERSONAL email address for receiving your password of HKSI Institute Online Portal and important communications from the HKSI Institute.	
Name of Company		Position Held	

**PART 2: Other Information (For statistical analysis and promotion)**

- i) **Education:**  
 Secondary or below  Post-secondary  Degree Graduate  Master or above
- ii) **Main Working Field:**
- |   |  |   |
|---|--|---|
| <input type="checkbox"/> Securities Dealing and/or Advising | <input type="checkbox"/> Futures and Derivatives Dealing and/or Advising | <input type="checkbox"/> Credit Rating Services |
| <input type="checkbox"/> Leveraged Foreign Exchange Trading | <input type="checkbox"/> Corporate Finance                               | <input type="checkbox"/> Asset Management       |
| <input type="checkbox"/> Private Wealth Management          | <input type="checkbox"/> Banking   | <input type="checkbox"/> Accounting             |
| <input type="checkbox"/> Legal Services                     | <input type="checkbox"/> Insurance                                       | <input type="checkbox"/> Training and Education |
| <input type="checkbox"/> Student                            | <input type="checkbox"/> Others (Please specify _____)                   | <input type="checkbox"/> Unemployed             |
- iii) **Work Experience :**  
 < 1 year  1 – 4 years  5 – 10 years  11 – 15 years  > 15 years
- iv) **Firm Size :**  
 < 100 employees  100 – 299 employees  300 – 499 employees  500+ employees
- v) **I know about this examination from :**
- |   |   |  |
|---|---|--|
| <input type="checkbox"/> HKSI Institute Website                       | <input type="checkbox"/> HKSI Institute Office            | <input type="checkbox"/> HKSI Institute Evening Course |
| <input type="checkbox"/> HKSI Institute Professional Event            | <input type="checkbox"/> Newspaper (Please specify _____) |  |
| <input type="checkbox"/> Regulators <input type="checkbox"/> Employer | <input type="checkbox"/> Friends                          | <input type="checkbox"/> Others (Please specify _____) |

**PART 3: Examination Information**

Please refer to Section 3.1 Enrolment Prerequisites of the Examination Handbook before enrolling for the relevant examination. I would like to enrol the following selected TTC Examination. Please put a "✓" in the appropriate "☐".

TTC Examination Paper	Examination Date and Time	Fee #,*		
		Examination Fee	Number of Selected	
<input type="checkbox"/> Part A	27 November 2021 (Sat) 9:15 – 10:45	HK\$2,000 #	x	
<input type="checkbox"/> Part B Stream 1: Private Trust	4 December 2021 (Sat) 9:15 – 10:45	HK\$100 *	x	1
<input type="checkbox"/> Part B Stream 2: Collective Investment Schemes (CIS) / Retirement Schemes	4 December 2021 (Sat) 9:15 – 10:45			
<b>Examination Fee #</b>		<b>Administration Fee *</b>		<b>Total Fee (HK\$)</b>
Part A	HK\$2,000	HK\$100 per application form		
Part B Stream 1/2	HK\$2,000 for either stream	Commencing 1 April 2018, the HKSI Institute will charge an administration fee of HK\$100 per application form.		

**PART 4: Payment** EPS American Express Card

□ □ □ □	-	□ □ □ □ □ □ □ □	-	□ □ □ □ □ □
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Expiry Date

 VISA/Master Card

□ □ □ □	-	□ □ □ □ □ □ □ □	-	□ □ □ □ □ □
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Please charge payment to credit card.

For Office Use Only  
Approval CodeCardholder's Name : \_\_\_\_\_  
(Card holder must be the applicant)Cardholder Signature : \_\_\_\_\_  
(The signature must match with the one on your credit card )**PART 5: Application's Declaration**

I confirm, acknowledge, accept and understand that:

- 1) if the details I have submitted in this form are inaccurate or incomplete, my enrolment may not be accepted by the Hong Kong Securities and Investment Institute (HKSI Institute) even though payment of the examination fee(s) has been made;
- 2) once I have submitted the Trust Training Certificate Examination (TTC Exam) enrolment form to the HKSI Institute, I am not allowed to alternate examination, choice of examination sessions and/or examination modes. All fees paid are non-transferable and non-refundable;
- 3) the TTC Exam Examination Handbook, including the Examination Regulations of the TTC Exam and the collection of personal data for the purposes stated;
- 4) I have completed the corresponding part of the Trust Training Course within 3 years of the examination. If I do not fulfill the enrolment prerequisites of TTC Examination, I understand that I cannot sit the corresponding examination. And the HKSI Institute will charge a non-transferable and non-refundable administration fee to process withdraw my enrolment. If I alter my Part B of TTC examination paper under HKSI Institute's Alteration/Transferral of Enrolment for the Examination Policy, I will be charged an administration fee;
- 5) I voluntarily consent the HKSI Institute to transfer, release, disclose or provide my personal data (including but not limited to the Hong Kong Identity Card number and passport number), all personal data that I previously supplied (if any) and any examination-related information, including the result of the examination and information on the candidate's conduct and behaviour while taking the examination, to the HKTA, and consent to the use of such data by the HKTA for monitoring, verifying and conducting matching (including "matching procedure" as defined in s.2 of the Personal Data (Privacy) Ordinance, Cap 486) purposes as well as any other related purposes of assisting them to perform and discharge their functions;
- 6) I am not allowed to take photograph of, record, copy, distribute, publish, modify, create derivative works from or otherwise use in any manner or media, the information, materials and questions contained in the question booklet. All information, materials and questions contained in the question booklet are the proprietary information of the HKSI Institute / the HKTA and all copyright and/or any intellectual property rights therein belong exclusively to the HKSI Institute / HKTA. I acknowledge the HKSI Institute's / HKTA's intellectual property rights (including copyright) in all such information, materials and questions contained in the question booklet;
- 7) the HKSI Institute / the HKTA reserves the right to take appropriate actions against me to enforce its intellectual property rights and hold me liable for all losses, damages and/or costs incidental thereto.

I hereby apply to enrol in the TTC Exam and undertake that I shall observe and abide by the rules and regulations of the TTC Exam.

 I do not wish to receive marketing materials from the HKSI Institute.

Signature of Applicant: \_\_\_\_\_ Date: \_\_\_\_\_