

## TRUST TRAINING CERTIFICATE EXAMINATION ENROLMENT FORM (applicable for TTC Course Participants only)

1. Commencing 1 April 2018, all offline enrolment will be charged an administration fee of HK\$100 per application form. The administration fee is waived by submitting enrolment online via [HKSI Institute Online Portal \(https://login.hksi.org\)](https://login.hksi.org).
2. Please read the Trust Training Certificate Examination (TTC Exam) - Examination Handbook carefully before completing this form. The Examination Handbook is downloadable from the [HKSI Institute website \(www.hksi.org\)](http://www.hksi.org).
3. Please complete legibly all parts in BLOCK LETTERS and in BLACK or BLUE INK and put a "✓" in the appropriate "☐".

### For Office Use Only

Date: \_\_\_\_\_  
Amount: \_\_\_\_\_  
Handle By: \_\_\_\_\_  
Counter Remark: \_\_\_\_\_  
Enrolment ID.: \_\_\_\_\_  
Individual ID: \_\_\_\_\_

### PART 1: Personal Particulars

#### Part 1A (COMPULSORY)

(As stated on your HK Identity Card / Passport)

Surname in English	First Name in English
姓氏 (中文) Surname in Chinese	名字 (中文) First Name in Chinese
HK Identity Card/Passport Number	
You are required to present the original copy of the identification document(s) specified above during the examination	
Mobile Phone Number	
Email Address	Please provide your PERSONAL email address for receiving your password of HKSI Institute Online Portal and important communications from the HKSI Institute.

#### Part 1B (Only applicable to NEW applicants or PAST TTC Exam candidates who have an update for their personal information)

Date of Birth	DD	MM	YY	Gender	<input type="checkbox"/> Male	<input type="checkbox"/> Female
Title	<input type="checkbox"/> Mr	<input type="checkbox"/> Mrs	<input type="checkbox"/> Ms	<input type="checkbox"/> Miss	<input type="checkbox"/> Dr	Daytime Contact Telephone Number
Correspondence Address						
Name of Company	Position Held					

### PART 2: Other Information (For statistical analysis and promotion)

- i) **Education :**  
 Secondary or below     Post-secondary     Degree Graduate     Master or above
- ii) **Main Working Field :**
- |   |  |   |
|---|--|---|
| <input type="checkbox"/> Securities Dealing and/or Advising | <input type="checkbox"/> Futures and Derivatives Dealing and/or Advising | <input type="checkbox"/> Credit Rating Services |
| <input type="checkbox"/> Leveraged Foreign Exchange Trading | <input type="checkbox"/> Corporate Finance                               | <input type="checkbox"/> Asset Management       |
| <input type="checkbox"/> Private Wealth Management          | <input type="checkbox"/> Banking   | <input type="checkbox"/> Accounting             |
| <input type="checkbox"/> Legal Services                     | <input type="checkbox"/> Insurance                                       | <input type="checkbox"/> Training and Education |
| <input type="checkbox"/> Student                            | <input type="checkbox"/> Others (Please specify _____)                   | <input type="checkbox"/> Unemployed             |
- iii) **Work Experience :**  
 < 1 year     1 – 4 years     5 – 10 years     11 – 15 years     > 15 years
- iv) **Firm Size :**  
 < 100 employees     100 – 299 employees     300 – 499 employees     500+ employees
- v) **I know about this examination from :**
- |  |   |  |
|--|---|--|
| <input type="checkbox"/> HKSI Institute Website            | <input type="checkbox"/> HKSI Institute Office            | <input type="checkbox"/> HKSI Institute Evening Course |
| <input type="checkbox"/> HKSI Institute Professional Event | <input type="checkbox"/> Newspaper (Please specify _____) |  |
| <input type="checkbox"/> Regulators                        | <input type="checkbox"/> Employer                         | <input type="checkbox"/> Friends                       |
| <input type="checkbox"/> Others (Please specify _____)     |   |  |

**PART 3: Examination Information**

Please refer to Section 3.1 Enrolment Prerequisites of the Examination Handbook before enrolling for the relevant examination. I would like to enrol the following selected TTC Examination. Please put a "✓" in the appropriate "☐".

TTC Examination Paper	Examination Date and Time	Fee #,*		
				Number of Selected
<input checked="" type="checkbox"/> Part A	Not yet available	HK\$2,000 #	X	1
<input type="checkbox"/> Part B Stream 1: Private Trust	13 July 2019 (Sat) 11:30 – 13:00	HK\$100 *	X	1
<input type="checkbox"/> Part B Stream 2: Collective Investment Schemes (CIS) / Retirement Schemes	13 July 2019 (Sat) 11:30 – 13:00			
<b>Examination Fee #</b>		<b>Administration Fee *</b>		<b>Total Fee (HK\$)</b>
Part A	HK\$2,000	HK\$100 per application form		
Part B Stream 1/2	HK\$2,000 for either stream	Commencing 1 April 2018, the HKSI Institute will charge an administration fee of HK\$100 per application form.		

**PART 4: Payment**

EPS

American Express Card

VISA/Master Card

				-					-				
				-					-				

Expiry Date
mm    yy

Please charge payment to credit card.

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Approval Code

Cardholder's Name : \_\_\_\_\_  
(Card holder must be the applicant)

Cardholder Signature : \_\_\_\_\_  
(The signature must match with the one on your credit card )

**PART 5: Application's Declaration**

I confirm, acknowledge, accept and understand that:

- 1) if the details I have submitted in this form are inaccurate or incomplete, my enrolment may not be accepted by the Hong Kong Securities and Investment Institute (HKSI Institute) even though payment of the examination fee(s) has been made;
- 2) once I have submitted the Trust Training Certificate Examination (TTC Exam) enrolment form to the HKSI Institute, I am not allowed to alternate examination, choice of examination sessions and/or examination modes. All fees paid are non-transferable and non-refundable;
- 3) the TTC Exam Examination Handbook, including the Examination Regulations of the TTC Exam and the collection of personal data for the purposes stated;
- 4) I have completed the corresponding part of the Trust Training Course within 3 years of the examination. If I do not fulfill the enrolment prerequisites of TTC Examination, I understand that I cannot sit the corresponding examination. And the HKSI Institute will charge a non-transferable and non-refundable administration fee to process withdraw my enrolment. If I alter my Part B of TTC examination paper under HKSI Institute's Alteration/Transferral of Enrolment for the Examination Policy, I will be charged an administration fee;
- 5) I voluntarily consent the HKSI Institute to transfer, release, disclose or provide my personal data (including but not limited to the Hong Kong Identity Card number and passport number), all personal data that I previously supplied (if any) and any examination-related information, including the result of the examination and information on the candidate's conduct and behaviour while taking the examination, to the HKTA, and consent to the use of such data by the HKTA for monitoring, verifying and conducting matching (including "matching procedure" as defined in s.2 of the Personal Data (Privacy) Ordinance, Cap 486) purposes as well as any other related purposes of assisting them to perform and discharge their functions;
- 6) I am not allowed to take photograph of, record, copy, distribute, publish, modify, create derivative works from or otherwise use in any manner or media, the information, materials and questions contained in the question booklet. All information, materials and questions contained in the question booklet are the proprietary information of the HKSI Institute / the HKTA and all copyright and/or any intellectual property rights therein belong exclusively to the HKSI Institute / HKTA. I acknowledge the HKSI Institute's / HKTA's intellectual property rights (including copyright) in all such information, materials and questions contained in the question booklet;
- 7) the HKSI Institute / the HKTA reserves the right to take appropriate actions against me to enforce its intellectual property rights and hold my own liable for all losses, damages and/or costs incidental thereto.

I hereby apply to enrol in the TTC Exam and undertake that I shall observe and abide by the rules and regulations of the TTC Exam.

I do not wish to receive marketing materials from the HKSI Institute.

Signature of Applicant: \_\_\_\_\_ Date: \_\_\_\_\_