

Fraud Investigation in Hong Kong

A Four Part Training Course (Advanced Level)



Saturday mornings in October, 2018
Hong Kong

~ Visit Us Online: www.hkacfe.com ~

CPE Hours:

12 (3 for each session)

Date / Time:

October 6th, 13th, 20th, 27th
2018
9am - 12noon

Venue:

Room CYCPI
Chong Yuet Ming Chemistry
Building
The University of Hong Kong
Pokfulam, Hong Kong

About the Course:

- Brought to you by the Hong Kong Chapter of the Association of Certified Fraud Examiners.
- A four part training course to be held on Saturday mornings in October 2018, tailored to the needs of Hong Kong fraud examiners and offering a total of 12 CPE hours.
- This training covers areas which are specific to Hong Kong and Mainland China, and which are not covered elsewhere in ACFE training materials.
- The content will assist candidate CFEs preparing for their CFE examination, and draw on the experience of locally based CFEs and experts in legal practice and psychology to consider topics that will broaden the expertise of our certified members.

About the Organiser:

- The ACFE is the world's largest anti-fraud organization and premier provider of anti-fraud training and education. Together with more than 75,000 members, the ACFE is reducing business fraud worldwide and inspiring public confidence in the integrity and objectivity within the profession.
- The ACFE Hong Kong Chapter holds regular social and training activities to connect anti-fraud professionals and promote professional advancement in the prevention, detection and investigation of fraud in Hong Kong.

Organized by:



In Partnership With:



CENTRE FOR CRIMINOLOGY
THE UNIVERSITY OF HONG KONG

Who Should Attend:

- Fraud investigators and fraud examiners in both the private and public sectors, compliance officers, accountants, auditors, regulators, analysts, bankers, lawyers and lenders, as well as risk control and internal control personnel.

Saturday 6th October 2018

Current regulatory framework governing investigation of listing companies, licensed financial institutions and market intermediaries in Hong Kong

9am -12noon - 3 Hours / 3 CPE Credits

Presenter: Chi Kong Cho, Professor of Practice (Law) at the Hong Kong Polytechnic University

CK Cho became qualified as a UK and Hong Kong Barrister in 1992 and was appointed the Head of ICAC's Legal Research and Management Services Unit. Since 2000, C.K. has served as adviser on legal and compliance matters for companies in Hong Kong and London. CK has conducted independent internal investigations into alleged fraud and illegal practices for Audit Committees of listed companies in Hong Kong and Singapore.

CK delivers post-graduate courses in corporate governance and regulatory framework at the School of Accounting and Finance Hong Kong Polytechnic University. CK Cho is a Fellow Member of the Hong Kong Institute of Directors and a former President of the Hong Kong Chapter of the Association of Certified Fraud Examiners. He has lectured at Universities in Hong Kong and Mainland China on corporate law and conducts training for companies and professional organizations across South East Asia. He co-authored a book titled "Practical approach to prevention and detection of Fraud".

Syllabus: At the end of the Course, participants will have a good understanding of:

1. The current legal and regulatory framework governing listed companies and licensed corporations and market intermediaries
2. Legal principles and practices relating to the investigation and prosecution of fraud, money laundering and offences of market misconduct and breach of Listing Rules and Code of Conduct for persons licensed or regulated by Securities and Futures Commission
3. Legal elements of major offences of Fraud such as Theft; Conspiracy to defraud; Deception; Money Laundering and Corruption
4. Investigative Powers of Securities and Futures Commission and Independent Commission Against Corruption
5. Powers of Securities and Futures Commission to seek remedies for investors

Saturday 13th October 2018

The Business of White Collar Crime

9am -12noon - 3 Hours / 3 CPE Credits

Presenter: Karen Joe Laidler, Professor of Sociology and Director of the Centre for Criminology at the University of Hong Kong

Karen Joe Laidler is Professor of Sociology and Director of the Centre for Criminology at the University of Hong Kong. Her research focuses on drugs, sex work, youth gangs, and women's imprisonment. As a native San Franciscan, she has conducted criminological research with several non-profit organizations and government agencies in Northern California. She moved to Hong Kong in the 1990s, and has witnessed the development of the city's drug market over the past two decades. She is currently working on a study on fraud in Hong Kong, with a particular focus on victim typologies.

Syllabus: While the public often associates 'common crimes' with street crime – fighting, wounding, theft, drugs, prostitution, they are less informed about, what criminologists, refer to as "white collar crime." Yet the public costs and harms associated with white collar crime far exceed those of street crimes. In this presentation, we will examine the debates about how to define white collar crime, types of white collar crime, and their profile. We will also focus on fraud – looking at the latest trends and research, with a special focus on online dating and investment scams.

Saturday 20th October 2018

Cyber-Fraud in China

9am - 12noon - 3 Hours / 3 CPE Credits

Presenter: Edward Epstein, BA, LLB & LLM

Edward Epstein has lived in China and Hong Kong for more than 30 years, the last 20 years in Shanghai. He taught Chinese law at HKU for many years and was later the managing partner of an AmLaw 100 firm when he represented multinational corporations specializing in M&A, real estate and general corporate affairs, including investigations. He has received numerous legal accolades, including being voted one of China's top 100 Lawyers in 2016 and 2017 and a BTI Client Service All-Star. He is a frequent speaker on data protection and cyber law in China at ACFE events.

Among the first western scholars to study law at the Chinese Renmin University in Beijing, Edward is fluent in written and spoken Mandarin Chinese. He received a B.A. and LL.B. from the Australian National University, an LL.M. from Columbia University, and is currently admitted to practice law in England & Wales, the Republic of Ireland and Australia. He was a solicitor in Hong Kong for 25 years and is currently completing his pupillage for admission as a barrister.

Syllabus: China is now the world's largest nation of Internet users, most of whom access the Internet from their mobile devices. The countless volume of personal data thus generated and shared over the Internet is a boon to cyber-fraudsters, whose scams are limited only by their imagination.

In this talk we will examine common examples of electronic personal data scams in China and China's legal response to cyber-crime through both the regulation of the collection and use of personal data, in particular the Cybersecurity Law which took effect on June 1, 2017, and the criminalization of certain personal data transactions.

Saturday 27th October 2018

Practical training and real life tips in digital investigation

9am - 12noon - 3 Hours / 3 CPE Credits

Presenter: Cypri Yu, Director of Binary Solutions Limited

Cypri helps his clients to protect their secrets, and help finding out the bad guys if bad things happened. Using professional terminology, Cypri offers cyber and information security and investigation services and solutions. He has extensive high-level experience in designing information security and data centers related solutions which included technologies such as firewalls and VPNs, anti-virus and anti-spam, web content filtering, employee computer behavior monitoring, digital video recording, biometric door access controls. He gained his experience through working with enterprise - solution company, global risk consulting company, big-4 audit firm and a global leader of IT security risk and compliance management solutions provider before joining Binary Solutions heading both the "Business Information Protection Services" and "Fact Finder" business lines.

Syllabus: In today's fraud investigation scenario, more than 90% of the evidences are coming from "ESI" (Electronically Stored Information). Not only do fraud investigators need to know where to find the information that could be of potential evidentiary value, they also need to know how to investigate and review the sheer amount of different types of data effectively and efficiently to find out the relevant evidence and crack their cases in a timely manner. Traditionally, investigators rely heavily on keywords searches in their investigation. However, we don't know what we don't know, and keywords are nearly useless in situations where investigators do not have any specific knowledge about the targets. In this training, we will discuss the practical and effective ways of doing digital investigation, ranging from the importance of collecting the right data (correctly identifying where the data could be, properly preserving the electronic evidence, etc) to investigation quick wins, real life tips and tricks that we have used creatively to crack many cases.

This is probably the first HKACFE training where participants are given a chance to use of some of the most popular investigation tools in the world for a practical exercise, with a practical challenge at the end to test their investigation skills and what they have learnt.

CPE Credits

Each three-hour session will earn 3 CPE points. Members attending all the sessions can earn 12 CPE points.

Registration Guide

A maximum of 100 places are available for this course.

Seats will only be reserved on a first-come-first-serve basis and after both completed Registration Form and payment are received.

Substantial discounts are offered for members of the Hong Kong Chapter, members of ACFE and students / members of selected organizations: ASIS, ACCA, HKICPA, IIA, ISACA, HKSI, HKiNEDA, and HKU. Pricing is shown in the attached registration form.

In addition to members discounts, participants are also entitled to a 10% discount for groups with at least 5 participants.

Terms and Conditions:

- ◆ Registration will be confirmed upon receipt of Registration Form and Payment.
- ◆ Confirmation of the registration will be sent when closer to the training date.
- ◆ Payment is non-refundable, but transfer to another person of the same category will be accepted.
- ◆ Participants may be refused admission if payment is not received prior to the event.
- ◆ Receipt for payment, together with the certificate of attendance (if applicable), will be sent upon completion of the training course
- ◆ The organisers reserve the right to substitute speakers and alter the programme and venue for reasons beyond their control.
- ◆ Update information will be available on www.hkacfe.com.
- ◆ CPE Points will be awarded for attendance, confirmed by signing in on each day of the course.
- ◆ 3 CPE points will be awarded for each day of the course with a possible maximum credit of 12 CPE points.

Policy for bad weather condition:

- ◆ When typhoon signal No. 8 or Black Rainstorm Warning is being hoisted 2 HOURS before training course commencement, the training course will be RESCHEDULED and all participants will be notified for the new arrangement of the training course later.

How to Pay

By Direct Deposit,
Pay to the Bank of East Asia A/C No.
015-514-40-67876-7 Account Name:
"Association of Certified Fraud
Examiners Hong Kong Chapter
Limited"

By Cheque -
Payable to "Association of Certified
Fraud Examiners Hong Kong Chapter
Limited"*

* No payment will be received or accepted without full name stated in the cheque and/or bank transfer and/or bank remittance documents.

How to Register

Complete and send the Registration Form and Payment to "Association of Certified Fraud Examiners Hong Kong Chapter Limited":

By post :
GPO Box 8412, Central, HK
By fax : (852) 3019 2251
By mail :
events@hkacfe.com

Fraud Investigation Training

CPE Hours:

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To: Association of Certified Fraud Examiners Hong Kong Chapter Limited
fax: (852) 3019 2251
email: events@hkacfe.com
post: GPO Box 8412, Central, Hong Kong

Registration Form					
Categories of Participants	6 Oct 2018	13 Oct 2018	20 Oct 2018	27 Oct 2018	All Four Sessions
ACFE HK / ACFE Members, Students / Members of ASIS, ACCA, HKICPA, IIA, ISACA, HKSI, HKiNEDA, and HKU	HK\$530	HK\$530	HK\$530	HK\$530	HK\$1,700
No. of Participants					
Sub-total:					
Non-Members	HK\$850	HK\$850	HK\$850	HK\$850	HK\$2,700
No. of Participants					
Sub-total:					
10% Group Discounts (5 or more persons)					
GRAND TOTAL:					

Name:		Employer:	
Email:	Phone:	Registration for: Oct 6 / 13 / 20 / 27 / All	
Member of ACFE HK / ACFE (Non-HK Chapter) Member (ACFE Membership No. _____)			
Member of ASIS, ACCA, HKICPA, IIA, ISACA, HKSI, HKiNEDA, and HKU (Membership No. _____)			
Name:		Employer:	
Email:	Phone:	Registration for: Oct 6 / 13 / 20 / 27 / All	
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Member of ASIS, ACCA, HKICPA, IIA, ISACA, HKSI, HKiNEDA, and HKU (Membership No. _____)			
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Name:		Employer:	
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Member of ASIS, ACCA, HKICPA, IIA, ISACA, HKSI, HKiNEDA, and HKU (Membership No. _____)			