

Fraud Investigation in Hong Kong

A Four Part Training Course (Foundation Level)



Saturday mornings in June, 2018
Hong Kong

~ Visit Us Online: www.hkacfe.com ~

CPE Hours:

12 (3 for each session)

Date / Time:

June 9th, 16th, 23th, 30th 2018
9am - 12noon

Venue:

Room CBA, Ground Floor
Chow Yei Ching Building
The University of Hong Kong
Pokfulam, Hong Kong

About the Course:

- Brought to you by the Hong Kong Chapter of the Association of Certified Fraud Examiners.
- A four part training course to be held on Saturday mornings in June 2018, tailored to the needs of Hong Kong fraud examiners and offering a total of 12 CPE hours.
- This training covers areas which are specific to Hong Kong and Mainland China, and which are not covered elsewhere in ACFE training materials.
- The content will assist candidate CFEs preparing for their CFE examination, and draw on the experience of locally based CFEs and experts in legal practice and psychology to consider topics that will broaden the expertise of our certified members.

About the Organiser:

- The ACFE is the world's largest anti-fraud organization and premier provider of anti-fraud training and education. Together with more than 75,000 members, the ACFE is reducing business fraud worldwide and inspiring public confidence in the integrity and objectivity within the profession.
- The ACFE Hong Kong Chapter holds regular social and training activities to connect anti-fraud professionals and promote professional advancement in the prevention, detection and investigation of fraud in Hong Kong.

Organized by:



In Partnership With:



CENTRE FOR CRIMINOLOGY
THE UNIVERSITY OF HONG KONG

Who Should Attend:

- Fraud investigators and fraud examiners in both the private and public sectors, compliance officers, accountants, auditors, regulators, analysts, bankers, lawyers and lenders, as well as risk control and internal control personnel.

Saturday 9th June 2018

The True Colours of Compliance

9am -12noon - 3 Hours / 3 CPE Credits

Presenter: Gabor Holch, General Manager, Campanile Management Consulting

Gabor Holch is a consultant, executive coach, author and speaker specialising in cross-cultural teamwork and leadership development in a global context, who has served 100+ clients in 25+ countries. He is a Certified Management Consultant (CMC) in English and Mandarin, certified consultant at the management academies of half a dozen global corporations and licensed in major assessment tools including the Predictive Index, NeuroColor, DISC and MBTI.

Gabor is the founder of Campanile Management Consulting, Associate Partner of the Munich Leadership Group and Associate in management consulting firms in the US and EU. He co-founded startups in the EU, China and the Philippines. He has held positions including Board Member of Certified Management Consultants Global Institute and Chair of the EU Chamber of Commerce Shanghai SME Forum.

Syllabus: There are countless reasons to comply with financial principles and regulations, and equally many to violate them. Financial problem-solvers, advisors and forensic specialists have struggled to understand the big 'Why?' of compliant and divergent behaviour for generations. In his lecture, cross-cultural leadership consultant Gabor Holch explains how personality, life experience, hopes and fears culminate in people's attitude to rules, how we can literally read people and how to help them when their behaviour may trigger unwanted consequences. Gabor illustrates this through cases borrowed from 20 years of global consulting experience and 15 years of consulting multinationals in China.

Saturday 16th June 2018

Investigating Fraud - Breadcrumbs, Decision Points & Directions

9am -12noon - 3 Hours / 3 CPE Credits

Presenter: Ian Barlow, CFE, Softly Softly Consulting Limited

Ian Barlow is an experienced security risk management consultant with specialist knowledge of fraud investigations gained during a career in the Commercial Crime Bureau of the Hong Kong Police, and working in Asia in the financial services and advanced technology industries. He is a Certified Fraud Examiner and board member of the Hong Kong Chapter of the Association of Certified Fraud Examiners, an ISACA Certified Information Security Manager, ASIS Certified Protection Professional, and a member of the Business Continuity Institute. He now works as an independent security risk management consultant.

Syllabus: Economic crimes need something special to help them succeed. They need brains. Any fool can buy a toy gun and threaten a bank cashier for a bag of banknotes. It takes real brains to cheat money, and it takes a twisted sense of entitlement to justify the crime. A burglary, a street theft, or a bank robbery can be impulse crimes. Betraying the trust of an employer, a service provider, a relative, even a government, takes a lot of thought, ingenuity, advance planning, and some skill. But getting away with the crime is quite another thing. And that's where you come in. Enter the investigator. After a loss there are going to be howls of pain, pointed fingers, cries of revenge, a lot of guilt, even more blame, much passing of the buck, and an overwhelming desire to find out quickly who did what, when, and how. Above all this there will be one great question; "where is our money?" In this presentation we'll use real cases to look at what makes economic crime so different, why it's tough to investigate, and drawing that ethical line in the sand that separates a good investigation from a total failure. We'll look at criminal motivations, and we'll walk through some recent developments that make the life of the investigator that much harder. Oh, and we'll also talk about Facebook. And we'll aim to do all that in three hours. Please fasten your seat belts.

Saturday 23th June 2018

Emerging Technologies and Cybersecurity

9am -12noon - 3 Hours / 3 CPE Credits

Presenter: Frank Yam, CFE, Chairman and CEO, Focus Strategic Group Inc.

Professor Frank Yam has over 30 years of practical experience in IT risk management, cybersecurity, fraud investigations and governance. He is currently the Chairman and CEO of Focus Strategic Group Inc, a consulting firm providing Business and Technology advisory services, with special focus on IT auditing, compliance, risk management and cyber-security. He has been very active in professional and community services and served in leadership role of numerous local and international professional bodies. Most notably, Prof. Yam was the Founder of the Hong Kong Chapter of the Association of Certified Fraud Examiners and served as the International Vice President of ISACA International, President of ISACA China Hong Kong Chapter, and Chairperson of Hong Kong Computer Society's Information Security Specialist Group.

Syllabus: New and innovative technologies often bring benefits but it also comes with the risk of the unknown. Cybersecurity has fast becoming one of the biggest challenges for many organizations around the world. This seminar is designed to provide participants with an overview of the emerging technologies, and the practical skills required for their governance and control. The emerging technologies to be covered in this course will include Cloud Computing, Big Data, AI and Machine Learning, Internet of Things, Blockchain, and more. Our multi-awards-winning instructor will help you to provide effective recommendations to Senior Management in reaction to emerging technologies in a practical and efficient manner.

Saturday 30th June 2018

Handling Fraud: How Can The Law Help?

9am -12noon - 3 Hours / 3 CPE Credits

Presenter: Pauline Li, General Manager, Grashield Consulting Services

Pauline Li's career spanned across private practice as a solicitor in Hong Kong, working as a law draftsman for the Department of Justice of the Hong Kong Government, then in the legal and compliance function in the banking industry. Since she left Standard Chartered Bank as Asia Pacific Head of Legal & Compliance in 2005, Miss Li has started her own consultancy firm, Grashield Consulting Services, to provide training & consultancy services to financial institutions and related organizations such as the Hong Kong Institute of Bankers and the UK-based International Compliance Association. From 2007, Miss Li has been teaching Banking Law as Adjunct Professor at the University of Hong Kong.

Syllabus: This seminar will focus on the legal aftermath of a fraud case resulting in economic loss. Victims' concerns are two-fold : not only do they expect law enforcement authorities to track down the fraudsters and bring them to justice, but they also hope to recover missing funds and assets. How and to what extent can the law help the victims? Participants will be introduced to various legal tools available to law enforcement and victims to ascertain the identities and whereabouts of the fraudsters, and to locate and recover missing funds. We will also examine some of the legal issues associated with modern day cybercrime which frequently occurs across borders. What can law enforcement and victims in one jurisdiction do when both fraudsters and critical evidence are located elsewhere? Where there has been leakage and misuse of personal data, can data protection legislation provide any relief to victims at all? Is it possible for victims to seek remedy from the institutions tasked with safeguarding their data and their money? With her extensive experience in the financial services sector, Pauline will use real life cases to illustrate how the law works in practice.

CPE Credits

Each three-hour session will earn 3 CPE points. Members attending all the sessions can earn 12 CPE points.

Registration Guide

A maximum of 100 places are available for this course.

Seats will only be reserved on a first-come-first-serve basis and after both completed Registration Form and payment are received.

Substantial discounts are offered for members of the Hong Kong Chapter, members of ACFE and students / members of selected organizations: ASIS, ACCA, HKICPA, IIA, HKSI, HKINEDA, and HKU. Pricing is shown in the attached registration form.

In addition to members discounts, participants are also entitled to a 10% discount for groups with at least 5 participants.

Terms and Conditions:

- ◆ Registration will be confirmed upon receipt of Registration Form and Payment.
- ◆ Confirmation of the registration will be sent when closer to the training date.
- ◆ Payment is non-refundable, but transfer to another person of the same category will be accepted.
- ◆ Participants may be refused admission if payment is not received prior to the event.
- ◆ Receipt for payment, together with the certificate of attendance (if applicable), will be sent upon completion of the training course
- ◆ The organisers reserve the right to substitute speakers and alter the programme and venue for reasons beyond their control.
- ◆ Update information will be available on www.hkacfe.com.
- ◆ CPE Points will be awarded for attendance, confirmed by signing in on each day of the course.
- ◆ 3 CPE points will be awarded for each day of the course with a possible maximum credit of 12 CPE points.

Policy for bad weather condition:

- ◆ When typhoon signal No. 8 or Black Rainstorm Warning is being hoisted 2 HOURS before training course commencement, the training course will be RESCHEDULED and all participants will be notified for the new arrangement of the training course later.

How to Pay

By Direct Deposit,
Pay to the Bank of East Asia A/C No.
015-514-40-67876-7 Account Name:
"Association of Certified Fraud
Examiners Hong Kong Chapter
Limited"

By Cheque -
Payable to "Association of Certified
Fraud Examiners Hong Kong Chapter
Limited"*

* No payment will be received or accepted without full name stated in the cheque and/or bank transfer and/or bank remittance documents.

How to Register

Complete and send the Registration Form and Payment to "Association of Certified Fraud Examiners Hong Kong Chapter Limited":

By post :
GPO Box 8412, Central, HK
By fax : (852) 3019 2251
By mail :
events@hkacfe.com

Fraud Investigation Training

CPE Hours:

12

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fax: (852) 3019 2251
email: events@hkacfe.com
post: GPO Box 8412, Central, Hong Kong

Registration Form					
Categories of Participants	9 June 2018	16 June 2018	23 June 2018	30 June 2018	All Four Sessions
ACFE HK / ACFE Members, Students / Members of ASIS, ACCA, HKICPA, IIA, HKSI, HKiNEDA, and HKU	HK\$530	HK\$530	HK\$530	HK\$530	HK\$1,700
No. of Participants					
Sub-total:					
Non-Members	HK\$850	HK\$850	HK\$850	HK\$850	HK\$2,700
No. of Participants					
Sub-total:					
10% Group Discounts (5 or more persons)					
GRAND TOTAL:					

Name:		Employer:	
Email:	Phone:	Registration for: June 9 / 16 / 23 / 30 / All	
Member of ACFE HK / ACFE (Non-HK Chapter) Member (ACFE Membership No. _____)			
Member of ASIS, ACCA, HKICPA, IIA, HKSI, HKiNEDA, and HKU (Membership No. _____)			
Name:		Employer:	
Email:	Phone:	Registration for: June 9 / 16 / 23 / 30 / All	
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