

## Certified Private Wealth Professional (CPWP) Module 1 (Technical, Industry and Product Knowledge) Refresher Programme for Paper 1

### Programme Schedules and Fees

Below is the training schedule of CPWP Module 1 Refresher Programme (T2-9):

| Topics                                | SFC CPT hours | Course Date / Time                                                      | Fee (HK\$) <sup>1</sup> |            |
|---------------------------------------|---------------|-------------------------------------------------------------------------|-------------------------|------------|
|                                       |               |                                                                         | Member <sup>2</sup>     | Non-Member |
| T2 - Fixed Income Investment          | 2             | 24 August 2018 (Fri)<br>6:30pm - 8:30pm                                 | 420                     | 840        |
| T3 - Equity Securities                | 2             | 14 September 2018 (Fri)<br>6:30pm - 8:30pm                              | 420                     | 840        |
| T4 - Foreign Exchange                 | 2             | 17 August 2018 (Fri)<br>6:30pm - 8:30pm                                 | 420                     | 840        |
| T5 - Derivatives                      | 4             | 03 September 2018 (Mon) &<br>05 September 2018 (Wed)<br>6:30pm - 8:30pm | 840                     | 1,680      |
| T6 - Structured Products              | 4             | 07 September 2018 (Fri) &<br>11 September 2018 (Tue)<br>6:30pm - 8:30pm | 840                     | 1,680      |
| T7 - Alternative Investments          | 4             | 12 September 2018 (Wed) &<br>13 September 2018 (Thu)<br>6:30pm - 8:30pm | 840                     | 1,680      |
| T8 - Unit Trusts and Investment Funds | 2             | 22 August 2018 (Wed)<br>6:30pm - 8:30pm                                 | 420                     | 840        |
| T9 - Lending and Leverage             | 2             | 31 August 2018 (Fri)<br>6:30pm - 8:30pm                                 | 420                     | 840        |

| Paper           | SFC CPT hours |
|-----------------|---------------|
| Paper 1 (T2-T9) | 22            |

Note 1 – The course fee does not include the CPWP Module 1 Examination fee and study materials.

Note 2 – Member refers to HKSI Institute Individual Member or staff of HKSI Institute Corporate Members.

All fees are subject to change without prior notice.

### **Financial Incentive Scheme of the WAM Pilot Programme**

Effective 1 October, 2016, “**Certified Private Wealth Professional (CPWP) Module 1 - Technical, Industry and Product Knowledge**” is one of the eligible courses/programmes under the financial incentive scheme of the WAM Pilot Programme.

In-service practitioners of the financial services industry will be eligible for the Scheme. They will be reimbursed 80% of the course fees, subject to a maximum of \$7,000 (whichever is the lower) upon satisfactory completion of a course or seminar under the selected programmes of the Scheme.

Each individual may apply for fee reimbursement for more than one course / seminar, up to a ceiling of \$7,000 per person over the three-year period of the Scheme.

Application to reimburse the course fees, together with the required documents, must be submitted within **four months from the last day of the course attended**. For **Certified Private Wealth Professional (CPWP) Module 1 - Technical, Industry and Product Knowledge Programme**, eligible individual can apply for course reimbursement upon satisfactory completion of each topic. Fee of each course can be reimbursed ONCE only.

Please refer to the WAM Pilot Programme website (Financial Incentive Scheme) for application details.



## Certified Private Wealth Professional (CPWP) Module 1 (Technical, Industry and Product Knowledge) Refresher Programme for Paper 2

### Programme Schedules and Fees

Below is the training schedule of CPWP Module 1 Refresher Programme (T1, T10-14):

| Topics                                                          | SFC CPT hours | Course Date / Time                                                       | Fee (HK\$) <sup>1</sup> |            |
|-----------------------------------------------------------------|---------------|--------------------------------------------------------------------------|-------------------------|------------|
|                                                                 |               |                                                                          | Member <sup>2</sup>     | Non-Member |
| T1 – Overview of PWM Industry, Products and Services            | 2             | 29 August 2018 (Wed)<br>6:30pm-8:30pm                                    | 420                     | 840        |
| T10 – Wealth Planning                                           | 3             | 10 September 2018 (Mon)<br>6:30pm-9:30pm                                 | 630                     | 1260       |
| T11 – Portfolio Management – Theories and Practices             | 4             | 17 September 2018 (Mon)<br>&<br>20 September 2018 (Thu)<br>6:30pm-8:30pm | 840                     | 1,680      |
| T12 – Behavioural Finance                                       | 3             | 21 September 2018 (Fri)<br>6:30pm-9:30pm                                 | 630                     | 1260       |
| T13 – Mainland China and Asia-Pacific Financial and PWM Markets | 3             | 19 September 2018 (Wed)<br>6:30pm-9:30pm                                 | 630                     | 1260       |
| T14 – Customer Relationship Management                          | 3             | 6 September 2018 (Wed)<br>6:30pm-9:30pm                                  | 630                     | 1260       |

| Paper                 | Total SFC CPT hours |
|-----------------------|---------------------|
| Paper 2 (T1, T10-T14) | 18                  |

Note 1 – The course fee does not include the CPWP Module 1 Examination fee and study materials.

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