

Certified Private Wealth Professional (CPWP) Module 1 (Technical, Industry and Product Knowledge) Refresher Programme for Paper 1

Programme Schedules and Fees

Below is the training schedule of CPWP Module 1 Refresher Programme (T2-9):

Topics	SFC CPT hours	Course Date / Time	Fee (HK\$) ¹	
			Member ²	Non-Member
T2 - Fixed Income Investment	2	15 June 2018 (Fri) 6:30pm - 8:30pm	420	840
T3 - Equity Securities	2	22 June 2018 (Fri) 6:30pm - 8:30pm	420	840
T4 - Foreign Exchange	2	07 May 2018 (Mon) 6:30pm - 8:30pm	420	840
T5 - Derivatives	4	09 May 2018 (Wed) & 10 May 2018 (Thu) 6:30pm - 8:30pm	840	1,680
T6 - Structured Products	4	28 May 2018 (Mon) & 29 May 2018 (Tue) 6:30pm - 8:30pm	840	1,680
T7 - Alternative Investments	4	04 June 2018 (Mon) & 05 June 2018 (Tue) 6:30pm - 8:30pm	840	1,680
T8 - Unit Trusts and Investment Funds	2	16 May 2018 (Wed) 6:30pm - 8:30pm	420	840
T9 - Lending and Leverage	2	01 June 2018 (Fri) 6:30pm - 8:30pm	420	840

Paper	SFC CPT hours
Paper 1 (T2-T9)	22

Note 1 – The course fee does not include the CPWP Module 1 Examination fee and study materials.

Note 2 – Member refers to HKSI Institute Individual Member or staff of HKSI Institute Corporate Members.

All fees are subject to change without prior notice.

Financial Incentive Scheme of the WAM Pilot Programme

Effective 1 October, 2016, “**Certified Private Wealth Professional (CPWP) Module 1 - Technical, Industry and Product Knowledge**” is one of the eligible courses/programmes under the financial incentive scheme of the WAM Pilot Programme.

In-service practitioners of the financial services industry will be eligible for the Scheme. They will be reimbursed 80% of the course fees, subject to a maximum of \$7,000 (whichever is the lower) upon satisfactory completion of a course or seminar under the selected programmes of the Scheme.

Each individual may apply for fee reimbursement for more than one course / seminar, up to a ceiling of \$7,000 per person over the three-year period of the Scheme.

Application to reimburse the course fees, together with the required documents, must be submitted within **four months from the last day of the course attended**. For **Certified Private Wealth Professional (CPWP) Module 1 - Technical, Industry and Product Knowledge Programme**, eligible individual can apply for course reimbursement upon satisfactory completion of each topic. Fee of each course can be reimbursed ONCE only.

Please refer to the WAM Pilot Programme website (Financial Incentive Scheme) for application details.



Certified Private Wealth Professional (CPWP) Module 1 (Technical, Industry and Product Knowledge) Refresher Programme for Paper 2

Programme Schedules and Fees

Below is the training schedule of CPWP Module 1 Refresher Programme (T1, T10-14):

Topics	SFC CPT hours	Course Date / Time	Fee (HK\$) ¹	
			Member ²	Non-Member
T1 – Overview of PWM Industry, Products and Services	2	23 May 2018 (Wed) 6:30pm-8:30pm	420	840
T10 – Wealth Planning	3	21 May 2018 (Mon) 6:30pm-9:30pm	630	1260
T11 – Portfolio Management – Theories and Practices	4	07 June 2018 (Thu) & 08 June 2018 (Fri) 6:30pm-8:30pm	840	1,680
T12 – Behavioural Finance	3	11 June 2018 (Mon) 6:30pm-9:30pm	630	1260
T13 – Mainland China and Asia-Pacific Financial and PWM Markets	3	27 June 2018 (Wed) 6:30pm-9:30pm	630	1260
T14 – Customer Relationship Management	3	13 June 2018 (Wed) 6:30pm-9:30pm	630	1260

Paper	Total SFC CPT hours
Paper 2 (T1, T10-T14)	18

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Each individual may apply for fee reimbursement for more than one course / seminar, up to a ceiling of \$7,000 per person over the three-year period of the Scheme.

Application to reimburse the course fees, together with the required documents, must be submitted within **four months from the last day of the course attended**. For **Certified Private Wealth Professional (CPWP) Module 1 - Technical, Industry and Product Knowledge Programme**, eligible individual can apply for course reimbursement upon satisfactory completion of each topic. Fee of each course can be reimbursed ONCE only.

Please refer to the WAM Pilot Programme website (Financial Incentive Scheme) for application details.

