



HKSI Institute Fellowship Application Form

資深會員申請表格

Qualified Ordinary Members (MHKSI) may apply for Fellowship. Applications will be considered and approved by the Hong Kong Securities and Investment Institute (HKSI Institute) Membership Committee. 合資格會員 (MHKSI) 可申請晉升為資深會員，晉升申請將由香港證券及投資學會 (學會) 會籍委員會考慮及審批。

Requirements

There are three routes for progression and the requirements are set out below:

1. Veteran Route

- A. At least 10 years of Ordinary Membership (MHKSI); AND
- B. At least 15 years of relevant industry experience; AND
- C. Holds or has held a responsible position within the securities and investment industry

2. Qualification Route

- A. At least two years of Ordinary Membership; AND
- B. At least 10 years of relevant industry experience; AND
- C. Holds or has held a responsible position within the securities and investment industry; AND
- D. Completion of one of the following HKSI approved qualifications:
 - CIWM (Certified International Wealth Manager)
 - CIIA (Certified International Investment Analyst)
 - PDFM (HKSI Professional Diploma in Financial Markets)
 - DPE (HKSI Diploma Programme Examination)

申請資格

申請人可循下列三項途徑晉升：

1. 資深從業人士

- A. 10年或以上的會員(MHKSI)會籍；及
- B. 15年或以上的相關行業經驗；及
- C. 現職或曾任證券及投資業高層。

2. 學會認可資格持有人士

- A. 兩年或以上會員(MHKSI)會籍；及
- B. 10年或以上的相關行業經驗；及
- C. 現職或曾任證券及投資業高層；及
- D. 獲得以下任何一項學會認可資格：
 - CIWM (註冊國際財富經理)
 - CIIA (國際註冊投資分析師)
 - PDFM (學會金融市場專業文憑)
 - DPE (學會文憑課程考試)

3. Contribution Route

- A. At least two years of Ordinary Membership; AND
- B. At least 10 years of relevant industry experience; AND
- C. Holds or has held a responsible position within the securities and investment industry; AND
- D. Has voluntarily contributed significantly to the development of the HKSI Institute or the securities and investment industry.

Important Notes

- All applicants must fulfil the application requirements for HKSI Institute Fellowship.
- All applicants are required to attach copies of relevant certificate(s)/proof of information required in Part II, III and IV for assessment.
- The information provided will be used for matters related to this application only.
- All applicants' Ordinary Membership status must be valid at the time of submission.
- A non-refundable progression fee of HK\$1,500 will be charged.
- Annual renewal fee will be adjusted after successful progression. Please refer to the "Applicable Fees" table for details.
- Please type or complete the form in block letters.

3. 對學會及行業作出貢獻人士

- A. 兩年或以上會員(MHKSI)會籍；及
- B. 10年或以上的相關行業經驗；及
- C. 現職或曾任證券及投資業高層；及
- D. 曾義務參與學會或證券及投資業的發展工作，並作出重大貢獻。

重要事項

- 所有申請人必須符合學會資深會員的申請資格。
- 申請人需就表格第二、三及四部份所述資料，附上有關證書副本或證明，以便學會審核。
- 申請人所提供之資料，只用於與申請有關之事宜。
- 申請人遞交申請時必須持有有效的MHKSI會籍。
- 晉升費用為港幣 1,500 元，費用將不予退還。
- 成功晉升後續會年費會有所調整，詳情請參閱「相關費用」表格。
- 請以正楷填寫表格。

Please return the completed form with payment and supporting document(s) to the HKSI Institute. The information contained in this form will be kept strictly confidential. 請將表格填妥連同所需費用及相關文件交回學會，申請表內的資料均絕對保密。

HKSI INSTITUTE FELLOWSHIP APPLICATION FORM 學會資深會員申請表格

Part I 第一部份	Personal Information 個人資料	
Name 名稱 _____ (中文 _____) <div style="display: flex; justify-content: space-around; font-size: small;"> Title 稱謂 Last name 姓氏 First name 名字 </div>		
Membership Number 會員編號		
Company name 公司名稱		Position held 職位
Office phone number 公司電話號碼		Home phone number 住宅電話號碼
Mobile phone number 流動電話號碼		Email 電郵地址
Part II 第二部份	Recognised Professional Qualification (in reverse chronological order) 學會認可資格(請先列最近期者)	
Qualification 資格		Year Awarded 頒授年份
Part III 第三部份	Voluntary Work (in reverse chronological order) 義務工作 (請先列最近期者)	
Type of Service (e.g. Board, Committee, Mentor, etc.) 服務性質 (如董事局、委員會、導師等)	Organisation and Position 機構及職位	Month 月 / Year 年

Part IV 第四部份	Post Admission Career History (in reverse chronological order) 入會後的就業詳情 (請先列最近期者) (If a detailed CV is attached, you may skip this section 如已附上詳盡履歷, 可略過此欄)	
Responsibilities 工作性質	Company and Job Title 機構名稱及職位	Month 月 / Year 年

If the space provided above is insufficient, please supply information on a separate sheet of paper.

如上述欄位不敷應用, 請另紙書寫。

Please advise licensing details 請提供牌照的細節：

I am licensed by 本人持有的牌照為：

SFC 證監會：_____

HKMA 金管局：_____

Others 其他：_____

I am not licensed by financial services regulators. 本人沒有持有金融服務監管機構發出的牌照。

DECLARATION BY THE APPLICANT 申請人聲明

The HKSI Institute must be notified of any other information which is relevant to this application. If any of the information contained in this form changes prior to a decision being granted to approve the application, the HKSI Institute must be informed immediately in writing. An applicant is required to notify the HKSI Institute immediately of any subsequent changes to the information provided, if such changes relate in any way to the applicant's professional conduct or reputation. Withholding information from, misleading or attempting to mislead the HKSI Institute on any point will be deemed an act of misconduct for which the applicant may be liable to disciplinary proceedings under the HKSI Institute Membership Rules.

“I declare that the above information is complete and correct and that I have not withheld any material information from the HKSI Institute. I hereby give my consent to the HKSI Institute to any necessary inquiries for purposes relating to this application for the verification of the information given above.”

申請人必須知會學會任何有關本項申請的相關資料。如果學會在公佈接納有關申請之前，本申請表格所載資料有任何改變，申請人必須即時以書面通知學會。此外，如果申請人認為其先前提提供的資料有任何改變，而有關改變涉及其專業操守或聲譽，須即時通知學會。刻意隱瞞資料，或就任何事宜誤導或嘗試誤導學會，將被視為嚴重的失當行為，申請人有可能會被學會根據會員規則紀律處分。

「本人聲明上述所提供的資料正確完備，並無向學會隱瞞重要事實。本人特此同意學會可就資深會員申請事宜，核實上述資料及進行必要的查詢。」

Signature of Applicant

申請人簽署

Date

日期

APPLICABLE FEES 相關費用

Age 歲數	One-off Progression Fee 單次晉升費用	Renewal Fee 續會費			
		Standard Annual Fee 標準年費	Concessionary Annual Fee 優惠年費	OR 或	Lifetime Membership 終生會籍
≥ 60	HK\$1,500		HK\$800		HK\$3,800
≤ 59		HK\$1,500			

PAYMENT METHOD 繳付方法

I would like to settle the Fellowship progression fee (HK\$1,500) via the following payment method
我會使用以下方式繳交資深會員晉升費用 (港幣 1,500 元)

Cheque (payable to "Hong Kong Securities and Investment Institute")
支票 (抬頭請付「香港證券及投資學會」)

Credit Card (American Express Card / Master Card / VISA Card)
信用卡 (美國運通卡 / 萬事達卡 / VISA 卡)

Credit Card Number 信用卡號碼 _____

Expiry Date 到期日

Name of Cardholder 持卡人姓名

Signature 簽署

Please check before submitting the application 請檢查是否齊備所需資料及相關文件

Completed application form 填妥的申請表格 Supporting document(s) 相關文件

Payment information 繳款資料 Business card (if any) 名片 (如有)

=====

For office use only 學會專用

Application date 申請日期	Application no. 申請編號	Membership no. 會員編號	Remark 備註