

NOTICE OF THE 18th ANNUAL GENERAL MEETING

NOTICE IS HEREBY GIVEN that the Annual General Meeting of the Institute will be held at the Hong Kong Securities and Investment Institute, Room 510, 5/F, Wing On Centre, 111 Connaught Road Central, Hong Kong on Thursday, 10 December 2015 at 5:30 p.m. for the following purposes:

1. The address of the Chairman of the Board.
2. To receive and consider the Report of the Directors and the Audited Accounts of the Institute for the year ended 31 March 2015 ¹.
3. To re-appoint KPMG as the Honorary Auditor.
4. To elect four Directors of the Institute whose term of appointment shall continue until such director required to be retired in accordance with the Articles of Association.
5. To, if thought fit, pass the following resolution as a special resolution.

“That the Articles of Association (“AA”) of the Institute be altered by deleting the sentence “and a member shall not be expelled except upon a resolution passed by a two-third majority of the Board of Directors” appearing at the end of the Articles 17.01(e) of the AA.”²

By Order of the Board



Alex MAK
Company Secretary
Hong Kong Securities and Investment Institute

13 November 2015

Notes:

1. Please refer to the enclosed Annual Report 2015 or can be downloaded from our website under the section at “About us>Annual Report” (P.108-110 for Report of the Directors and P.111-139 for 2014/2015 Audited Accounts).
2. That clause 17.01(e) of the AA of the Institute after the alteration will be read as follows:-
(e) appeals against refusal of membership, expulsion or suspension and other disciplinary sanctions
(For details of the existing AA of the Institute please refer to the AA as post in <http://www.hksi.org/hksi/userupload/hksi-m-a.pdf>)