

For Office Use Only 學會專用

Date: _____
Application No.: _____
Amount: _____
Individual No: _____
Handled By: DD _____ App _____
Counter Remark: _____

STATEMENT OF RESULTS – APPLICATION FORM

考試成績證明書申請表

Please complete this form legibly in BLOCK LETTERS and put a "✓" in the appropriate "□". Kindly return this form to the Hong Kong Securities and Investment Institute (HKSI Institute) by post or in person during opening hours. The Statement of Results will be issued to applicants within 7 working days after the HKSI Institute has received the form.

請以正楷清楚填寫此表格，並在適當“□”內填上“✓”號。請於香港證券及投資學會(學會)服務時間內親臨接待處遞交，申請人亦可將已填妥之表格以郵寄方式交回學會。學會收到表格後7個工作天內發出成績證明書予申請人。

PART 1: Personal Particulars 第一部份：個人資料

Part 1A 第一(甲)部份 (COMPULSORY 必須填寫)

(As stated on your HK Identity Card / Passport 必須與香港身份證/護照上相同)

| | |
|--|---|
| Surname in English 姓氏(英文) | First Name in English 名字(英文) |
| Surname in Chinese 姓氏(中文) | First Name in Chinese 名字(中文) |
| HK Identity Card/ Passport Number 香港身份證/護照號碼 | |
| Date of Birth 出生日期 | Daytime Contact Telephone Number 日間聯絡電話號碼 |

PART 2: Examination Information 第二部份：考試資料

| Name of Examination 考試名稱 | Lost or Damaged Document(s) 遺失或損毀文件 (Notification of Results 考試成績單 ("Result") / HKSI Institute Certificate 學會證書 ("Cert")) | Examination Paper/Module 考試試卷/單元 | Date of Examination 考試日期 |
|--|--|---|-----------------------------|
| <input type="checkbox"/> Licensing Examination for Securities and Futures Intermediaries 證券及期貨從業員資格考試 | <input type="checkbox"/> Result | <input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/> 4 <input type="checkbox"/> 5 <input type="checkbox"/> 6 <input type="checkbox"/> 7 <input type="checkbox"/> 8 <input type="checkbox"/> 9 <input type="checkbox"/> 10 <input type="checkbox"/> 11 <input type="checkbox"/> 12 <input type="checkbox"/> 15 <input type="checkbox"/> 16 | |
| | <input type="checkbox"/> Cert – Practising 從業員資格 <input type="checkbox"/> Cert – Specialist 高級從業員資格 | <input type="checkbox"/> Securities 證券 <input type="checkbox"/> Derivatives 衍生工具 <input type="checkbox"/> Credit Rating Services 信貸評級服務 <input type="checkbox"/> Corporate Finance 機構融資 <input type="checkbox"/> Asset Management 資產管理 | |
| <input type="checkbox"/> CIIA® Final Examination 國際註冊投資分析師期終資格考試 | <input type="checkbox"/> Result | <input type="checkbox"/> 1 <input type="checkbox"/> 2 | |
| <input type="checkbox"/> CIWM® Examination 註冊國際財富經理考試 | <input type="checkbox"/> Result | <input type="checkbox"/> 1 <input type="checkbox"/> 2 | |
| <input type="checkbox"/> Certified Private Wealth Professional Module 1 Examination 註冊私人財富管理師單元一考試 | <input type="checkbox"/> Result | <input type="checkbox"/> 1 <input type="checkbox"/> 2 | |
| <input type="checkbox"/> MPF Intermediaries Examination 強積金中介人考試 | | | |
| <input type="checkbox"/> The Chinese Gold and Silver Exchange Society Qualification Examination 金銀業貿易場資格考試 | | <input type="checkbox"/> 1 <input type="checkbox"/> 2 | |
| <input type="checkbox"/> Trust Training Certificate Examination 信託培訓證書考試 | | <input type="checkbox"/> A <input type="checkbox"/> B-1 <input type="checkbox"/> B-2 | |
| <input type="checkbox"/> Others (please specify) 其他(請註明): _____ | <input type="checkbox"/> Result <input type="checkbox"/> Cert | | |

PART 3 : Collection Method 第三部份：領取方式

- In person/authorise a representative* to collect at HKSI Institute counter
親臨或授權他人*於學會接待處領取 (Contact Telephone Number 聯絡電話號碼：_____)
- By post to the following mailing address 以郵寄方式寄往以下郵寄地址：_____

* The authorised person is requested to provide an Authorisation Letter together with the copy of the HK identity card or passport of the applicant to HKSI Institute at the time of collection.
被授權人士代領成績證明書時必須給予學會職員授權書及申請人的香港身份證/護照副本以之證明。

PART 4: Payment 第四部份：付款方式

Total administration fee 行政費用總數 (Note 註)

Total number of copies 申請份數： x HK\$港幣200 = HK\$港幣

EPS 易辦事

American Express Card 美國運通信用卡 - -

VISA/Master Card VISA/萬事達卡 - - -

Expiry Date有效期至
mm月 yy年

Please charge payment to credit card. 從信用卡繳付以上款項

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Approval Code

Cardholder's Name 持卡人姓名：_____ Cardholder Signature 持卡人簽署：_____

(Card holder must be the applicant 持卡人必須為報考人士)

(The signature must match with the one on your credit card 簽名必須與信用卡上相同)

- Notes註：
- The HKSI Institute charges an administration fee of HK\$200 (per copy) for issuing the Statement of Results. The Statement of Results will be issued on per examination paper basis and will only include the examination results printed on the Notification of Results / HKSI Institute Certificates previously issued by the HKSI Institute to the candidate for the examination paper specified on the form.
學會會就每份成績證明書向申請人收取港幣200元的行政費用。成績證明書會按每個考卷發出，而每份成績證明書上只會顯示學會已就申請人在表格上所填寫之考卷所發出的考試成績單/學會證書上所列載的考試成績。
 - Applicants can print their Official Receipt via the HKSI Institute Online Portal (<https://login.hksi.org/login>) by going into the "Receipt" section after logging into the system. Applicants may request for a hard copy of an Official Receipt by submitting a written request to the HKSI Institute. The HKSI Institute charges an administration fee of HK\$100 per copy.
申請人可登入學會電子服務網站(<https://login.hksi.org/login>)，並在「收據」欄內列印收據。申請人可向學會遞交書面申請，要求學會發出正式收據的列印本。學會會就每張收據向申請人收取港幣100元的行政費用。

PART 5: Application's Declaration 第五部份：申請人聲明

I confirm and understand that if the information provided in this form is inaccurate or incomplete, my application may not be accepted by the Hong Kong Securities and Investment Institute (HKSI Institute) even though the administration fee(s) has been paid and such fees paid are non-transferable and non-refundable.

本人確實明白倘若本人在此表格上所填報的資料不正確或不完整，本人在繳付行政費用後仍有可能被香港證券及投資學會拒絕本人的申請。本人明白所有已付費用概不得轉讓或退還。

Signature of Applicant 申請人簽署：_____ Date 日期：_____