

# Hong Kong Professional Assessment for Anti-Money Laundering and Combating the Financing of Terrorism (CISI) eCourse User Guide



HONG KONG PROFESSIONAL ASSESSMENT FOR ANTI-MONEY LAUNDERING AND COMBATING THE FINANCING OF TERRORISM

## Introduction

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# Launch Course



QR-CODE



PASSWORD



LOGOUT



LANGUAGE

Welcome | Your last login time:

## Important Notices

### Special Notes for Examination Candidates

Candidates must observe the preventive measures when entering the examination centre.

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### New Office at Quarry Bay

We look forward to seeing and serving you at our new office.

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### Service Counter Closure

(Updated: 2 March 2022) In view of the epidemic developments in Hong Kong, HKSI Institute's service counter is closed until further notice. All counter services will be by appointment.

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To launch the enrolled course, click 'UPCOMING ACTIVITY' in portal main page.

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  - CPT/Non-CPT Activity
  - Examination
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- RECEIPT
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Then click  
'CPT/Non-CPT  
Activity'.

**UPCOMING ACTIVITY**

CPT/Non-CPT Activity

Online Training

**Hong Kong Professional Assessment for Anti-Money Laundering and Combating the Financing of Terrorism (CISI) (2022)**

2 SFC  
2 PWMA

**LAUNCH ONLINE**

Details

« < 1 > »

Click 'LAUNCH ONLINE' to enter the course.

Hong Kong Professional Assessment for Anti-Money Laundering and Combating the Financing of Terrorism (CISI) (2022) X

Series: Compliance, Legislative & Regulatory Standards  
Relevant Subject: Regulatory Compliance  
Mode of Delivery: e-course  
Expiry Date: 2022-11-04

Close

Click 'Details' to check the expiry date.

# Start Course

## Professional Assessment



Click to show the menu.



Click to start the course.

HONG KONG PROFESSIONAL ASSESSMENT FOR ANTI-MONEY LAUNDERING AND COMBATING THE FINANCING OF TERRORISM

ssment



HONG KONG PROFESSIONAL ASSESSMENT FOR ANTI-MONEY LAUNDERING AND COMBATING THE FINANCING OF TERRORISM

## Welcome LI

[Module List](#)

[Past Tests](#)

### Please Note:

To successfully complete a Professional Assessment you need to pass the end-of-module test. You have two attempts at the test. If you do not pass the test within the two attempts, the module will have to be purchased again.

Professional Assessment is an educational product only and the Chartered Institute for Securities & Investment accepts no responsibility for persons undertaking trading or investments in whatever form. While every effort has been made to ensure its accuracy, no responsibility for loss occasioned to any person acting or refraining from action as a result of any material in this product can be accepted by the publisher or authors.

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Click to go to 'Module List' page.

Click to go to 'Past Tests' information page with previous test results listed.

You only have 2 **ATTEMPTS** at the test, or the module will have to be purchased again.

Professional Assessment

# Hong Kong Professional Assessment for Anti-Money Laundering and Combating the Financing of Terrorism

CPD time: 2

With the ever-increasing scale of the money laundering problem, it is not surprising that tackling the issue is high on the international agenda. As a result, combating money laundering continues to be a major challenge for the financial sector in Hong Kong and globally. This module examines the anti-money laundering (AML) legislation and guidelines, the role of the money laundering reporting officer (MLRO), how to report money laundering, the Organized and Serious Crimes Ordinance (Cap 455), the Drug Trafficking (Recovery of Proceeds) Ordinance (Cap 405), the United Nations (Anti-Terrorism Measures) Ordinance (Cap 575), the Anti-Money Laundering and Counter-Terrorist Financing Ordinance (Cap 615), terrorist financing, and the sanctions and penalties that can be imposed. (SFC CPT and PWMA OPT 2 hours)

1. An Introduction to Money Laundering

2. Hong Kong Legislation and Guidelines

3. Guideline issued by the SFC

4. Legislation

5. Terrorist Financing

6. Suspicious Transaction Reporting (STR)

7. Money Laundering Reporting Officer (MLRO)

8. Sanctions

Module Test

Click each box to start learning each module.

Please note:  
You are required to read **ALL** modules in order to get the CPT hours.

## End of Section

Watch the video below for a summary of Section 2...

### Hong Kong Professional Assessment for Anti-Money Laundering and Combating the Financing of Terrorism



End of section 2 summary

01:15

You have reached the end of this section.

Previous Section

Next Section

Click to play video.

Click to scroll to top.

Click to show glossary.

Click to back to section lists.

Click to view previous module.

Click to view next module.

## End of Module

You have reached the end of the module - you can now take the end-of-module test. The test consists of 24 questions - to pass, you must answer 18 or more correctly (the pass mark is 75%). The test is timed - you will have only 24 minutes to complete it.

There are only two attempts. If you fail twice, you will need to re-enrol on the module.

Previous Section

Module Test

When you reach the end of module 8, you will see this page.

Click 'Module Test' to start the test.

# Start Test

3 Under Hong Kong law, where do funds need to emanate from in order to be classified as 'laundered' money?

Any criminal activity

Serious crimes

Any source

At least two financial institutions

4 Which organisation provides rules and guidance to licensed corporations (LCs) on how they organise their anti-money Laundering (AML) systems and controls?

Hong Kong Monetary Authority (HKMA)

Fraud and Money Laundering Intelligence Taskforce (FMLIT)

Financial Action Task Force (FATF)

Securities and Futures Commission (SFC)

You will have 24 minutes to finish the test. Here shows the time left in this test.

Questions 1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24

22:57

Answered questions will be highlighted in light grey. Click to review the question before final submit.

It is difficult to tell when the funds are used for terrorist purposes and they are often transferred across borders

The funds are often transferred across borders and usually by electronic means

24 Which of the following benefits is MOST likely to result from a risk-based approach (RBA) to customer due diligence (CDD) processes and procedures?

Automatic satisfaction of regulatory requirements

Regular customers will not need to be checked

**More productive use of resources**

High-risk customers will be recognised

Submit Test

Click to submit test.

## First Attempts – Pass

### Professional Assessment



120 mins



COMPLETE

[VIEW RESULTS](#)

HONG KONG PROFESSIONAL ASSESSMENT FOR ANTI-MONEY LAUNDERING AND COMBATING THE FINANCING OF TERRORISM

If you pass the test at the first attempt, you can review the eCourse by clicking on the green area.

# First Attempt – Fail

Professional Assessment

## Unfortunately, you were unsuccessful at this attempt

You can review your answers below and we recommend reviewing the content before attempting the test again.

Hong Kong Professional Assessment for Anti-Money Laundering and Combating the Financing of Terrorism ✕

Date: 05 Feb 2021

Correct answers: 9

Incorrect answers: 15

Score: 38%

Retake Test

Back to Modules

1 Which of the following factors make the detection of funds used to finance terrorism more difficult than that used in other methods used to launder money?

The types of organisation which collect the funds are usually charities and the amounts can be small

It is difficult to tell when the funds are used for terrorist purposes and they are often transferred across borders

The funds are often transferred across borders and usually by electronic means

The amounts can be small and it is difficult to tell when the funds are used for terrorist purposes

Reference chapter:

Answer Explanation

Questions 1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24

Test result of the latest attempt.

Click to review the course again.

Click to retake the test if you failed.

If you cannot pass the test **WITHIN 2 ATTEMPTS**, you have to pay again in order to re-enrol in the course.

Click on the number to go to the question directly.

Questions with correct answers will be highlighted in GREEN.

Questions with incorrect answers will be highlighted in RED.

## Second Attempt – Fail

### Professional Assessment



YOU HAVE NO MODULE ATTEMPTS REMAINING, PLEASE VIEW YOUR  
[Past tests](#)  
FOR ANY CERTIFICATES. PLEASE CONTACT US IF THIS IS INCORRECT

Click 'Past Tests' to check the results of all your previous attempts.

If this page shows, it means you have attempted the final test twice and failed in this enrolment. You are required to re-enrol in this eCourse to have the test again.

Please note: You should only enrol in this eCourse ONCE per day. If you want to re-enrol, you should operate on the next day, or when the attendance is being reflected in your account.

## Re-enrolment

Professional Assessment



### Your active modules

The screenshot shows a module card for 'HONG KONG PROFESSIONAL ASSESSMENT FOR ANTI-MONEY LAUNDERING AND COMBATING THE FINANCING OF TERRORISM'. The card has a '120 mins' timer in the top left corner and a central 'IN PROGRESS' status with a circular arrow icon. Below the status are two buttons: 'RESUME TEST' and 'CHAPTER LIST'. The background of the card features a pattern of US dollar bills.

You will see this page after re-enrol in the eCourse.

Click to take the test. You will have another 2 attempts.

Click to review the eCourse again.

## Check Course Completion

Welcome | Your last login time:

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- RECORD +**
- RECEIPT
- ECREDIT
- COMMUNICATION PREFERENCE
- CONTACT US

To check completion, go to portal main page and click 'RECORD'.

Welcome | Your last login time:

# RECORD

## CPT Activity

(Only activity records from 1 January 2015 are shown.)

From  To

**RESET** **SEARCH**

**PRINT ALL**

NAME	DATE	CPT / CPD / CPE / OPT GAINED	ATTENDANCE RECORD
No Result.			

**Review your completed eCourses/eSeminars**

- PROFILE
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- UPCOMING ACTIVITY +
- RECORD -**
  - CPT Activity**
  - Non-CPT Activity
  - Examination Result
  - Examination Certificate
- RECEIPT
- ECREDIT
- COMMUNICATION PREFERENCE
- CONTACT US

Click 'CPT Activity'.

Then click 'Search' to generate the report.

This function does not apply to this eCourse.

(Only activity records from 1 January 2015 are shown.)

From   To  

Recognised by

SFC	2	Law Society	0
MPFA (Core)	0	CGSE	0
PWMA	2	GARP	0
IA	0	FDRC	0
HKTA	0	HKIB	0

The report will be ready 2 hours upon completion of the course.

Please note: You must **PASS** the final test and finish reading **ALL** modules. Otherwise, result will not be reflected on this page.

 **PRINT ALL**

NAME	DATE	CPT / CPD / CPE / OPT GAINED	ATTENDANCE RECORD
Hong Kong Professional Assessment for Anti-Money Laundering and Combating the Financing of Terrorism (CISI) (2022)	10-08-2022	2 SFC 2 PWMA	<input type="button" value="Print"/> <span style="margin-left: 20px;">More</span>

Click 'Print' to download your course certificate.

## Support

When you encounter technical problems or if you have enquiries, please contact HKSI Institute at:

- Tel: **+852 3120 6100 (Education & Development Department)**
- E-mail: **[education@hksi.org](mailto:education@hksi.org)**

