

Hong Kong Professional Assessment for Anti-Money Laundering and Combating the Financing of Terrorism (CISI) eCourse User Guide



HONG KONG PROFESSIONAL ASSESSMENT FOR ANTI-MONEY LAUNDERING AND COMBATING THE FINANCING OF TERRORISM

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Launch Course



QR-CODE



PASSWORD



LOGOUT



LANGUAGE

Welcome | Your last login time:

Important Notices

Subsidy Scheme for the Securities Industry under the Government's "Anti-epidemic Fund"

(Updated: 30 September 2020) Application was closed at 5:30pm on 30 September 2020. Late applications will not be processed.

Regarding disbursement by cheque, please be informed that all cheques must be presented to the bank on or before 31 October 2020. Any cheques unpresented after the specified date will be forfeited automatically, without further notice.

Please email AEF@hksi.org or call +852 3120 6100 (press "6") for enquiries.

Thank you for your attention.

Examination Services

(Updated: 22 May 2020) Our examination sessions and examination enrolment services resumed on 22 May 2020, with preventive measures put in place to safeguard the hygiene, health and safety of our visitors, candidates and staff.

You can read more of our notification on "Preventive Measures for Examination Candidates in Response to COVID-19". For examination-related enquiries, you can contact us at exam@hksi.org or call +852 3120 6100.

Counter Services

We provide limited counter services from 12:30pm to 2:30pm, Monday to Friday, until further notice. Temperature screening will be conducted at our building lobby and visitors with body temperature of 37.5 °C or higher would be refrained from entering.

Individual Members Benefits

SFC: 1.5 | PWMA: 1.5

PROFILE

JOIN US

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UPCOMING ACTIVITY

RECORD

RECEIPT

ECREDIT

COMMUNICATION PREFERENCE

CONTACT US

To launch the enrolled course, click
'UPCOMING ACTIVITY' in portal main page.

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PROFILE

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UPCOMING ACTIVITY

• CPT/Non-CPT Activity

• Examination

RECORD

RECEIPT

ECREDIT

COMMUNICATION PREFERENCE

CONTACT US

Then click
'CPT/Non-CPT
Activity'.

UPCOMING ACTIVITY

CPT/Non-CPT Activity

Online

Training

**Hong Kong Professional Assessment for
Anti-Money Laundering and Combating
the Financing of Terrorism (CISI)**

2 SFC
2 PWMA

LAUNCH ONLINE

Withdraw

« < 1 > »

Click 'LAUNCH ONLINE' to enter the course.

Start Course

Professional Assessment



Click to show
the menu.



Click to start
the course.

HONG KONG PROFESSIONAL ASSESSMENT FOR ANTI-MONEY LAUNDERING AND COMBATING THE FINANCING OF TERRORISM

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HONG KONG PROFESSIONAL ASSESSMENT FOR ANTI-MONEY LAUNDERING AND COMBATING THE FINANCING OF TERRORISM

Welcome LI

[Module List](#)

[Past Tests](#)

Please Note:

To successfully complete a Professional Assessment you need to pass the end-of-module test. You have two attempts at the test. If you do not pass the test within the two attempts, the module will have to be purchased again.

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Click to go to
'Module List' page.

Click to go to
'Past Tests'
information page
with previous test
results listed.

You only have **2**
ATTEMPTS at the
test, or the module
will have to be
purchased again.

Professional Assessment

Hong Kong Professional Assessment for Anti-Money Laundering and Combating the Financing of Terrorism

CPD time: 2

With the ever-increasing scale of the money laundering problem, it is not surprising that tackling the issue is high on the international agenda. As a result, combating money laundering continues to be a major challenge for the financial sector in Hong Kong and globally. This module examines the anti-money laundering (AML) legislation and guidelines, the role of the money laundering reporting officer (MLRO), how to report money laundering, the Organized and Serious Crimes Ordinance (Cap 455), the Drug Trafficking (Recovery of Proceeds) Ordinance (Cap 405), the United Nations (Anti-Terrorism Measures) Ordinance (Cap 575), the Anti-Money Laundering and Counter-Terrorist Financing Ordinance (Cap 615), terrorist financing, and the sanctions and penalties that can be imposed. (SFC CPT and PWMA OPT 2 hours)

1. An Introduction to Money Laundering

2. Hong Kong Legislation and Guidelines

3. Guideline issued by the SFC

4. Legislation

5. Terrorist Financing

6. Suspicious Transaction Reporting (STR)

7. Money Laundering Reporting Officer (MLRO)

8. Sanctions

Module Test

Click each box to start learning each module.

Please note:
You are required to read **ALL** modules in order to get the CPT hours.

End of Section

Watch the video below for a summary of Section 2...

Hong Kong Professional Assessment for Anti-Money Laundering and Combating the Financing of Terrorism



End of section 2 summary

01:15

You have reached the end of this section.

Previous Section

Next Section

Click to play video.

Click to scroll to top.

Click to show glossary.

Click to back to section lists.

Click to view previous module.

Click to view next module.

End of Module

You have reached the end of the module - you can now take the end-of-module test. The test consists of 24 questions - to pass, you must answer 18 or more correctly (the pass mark is 75%). The test is timed - you will have only 24 minutes to complete it.

There are only two attempts. If you fail twice, you will need to re-enrol on the module.

[Previous Section](#)[Module Test](#)

When you reach the end of module 8, you will see this page.

Click 'Module Test' to start the test.

Start Test

3 Under Hong Kong law, where do funds need to emanate from in order to be classified as 'laundered' money?

Any criminal activity

Serious crimes

Any source

At least two financial institutions

4 Which organisation provides rules and guidance to licensed corporations (LCs) on how they organise their anti-money Laundering (AML) systems and controls?

Hong Kong Monetary Authority (HKMA)

Fraud and Money Laundering Intelligence Taskforce (FMLIT)

Financial Action Task Force (FATF)

Securities and Futures Commission (SFC)

You will have 24 minutes to finish the test. Here shows the time left in this test.

Questions 1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24

22:57

Answered questions will be highlighted in light grey.
Click to review the question before final submit.

It is difficult to tell when the funds are used for terrorist purposes and they are often transferred across borders

The funds are often transferred across borders and usually by electronic means

24 Which of the following benefits is MOST likely to result from a risk-based approach (RBA) to customer due diligence (CDD) processes and procedures?

Automatic satisfaction of regulatory requirements

Regular customers will not need to be checked



More productive use of resources

High-risk customers will be recognised



Submit Test

Click to submit test.

First Attempt – Pass



Professional Assessment



COMPLETE

[VIEW RESULTS](#)

HONG KONG PROFESSIONAL ASSESSMENT FOR ANTI-MONEY LAUNDERING AND COMBATING THE FINANCING OF TERRORISM

If you pass the test at the first attempt, you can review the eCourse by clicking on the green area.

First Attempt – Fail

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HKSI Hong Kong Securities and Investment Institute
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Professional Assessment

Unfortunately, you were unsuccessful at this attempt

You can review your answers below and we recommend reviewing the content before attempting the test again.

Hong Kong Professional Assessment for Anti-Money Laundering and Combating the Financing of Terrorism

Date: 05 Feb 2021 Correct answers: 9 Incorrect answers: 15 Score: 38% **Retake Test** Back to Modules

1 Which of the following factors make the detection of funds used to finance terrorism more difficult than that used in other methods used to launder money?

The types of organisation which collect the funds are usually charities and the amounts can be small

It is difficult to tell when the funds are used for terrorist purposes and they are often transferred across borders

The funds are often transferred across borders and usually by electronic means

The amounts can be small and it is difficult to tell when the funds are used for terrorist purposes

Reference chapter:

Answer Explanation

Question: 1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24

Test result of the latest attempt.

Click to review the course again.

Click to retake the test if you failed.

If you cannot pass the test **WITHIN 2 ATTEMPTS**, you have to pay again in order to re-enrol in the course.

Click on the number to go to the question directly.

Questions with correct answers will be highlighted in GREEN.

Questions with incorrect answers will be highlighted in RED.

Second Attempt – Fail

The screenshot shows a dark blue header with the CISI logo on the left and the HKSI logo on the right. Below the header, the page title 'Professional Assessment' is on the left, and a hamburger menu icon is on the right. The main content area has a dark blue background with white text. It says 'YOU HAVE NO MODULE ATTEMPTS REMAINING, PLEASE VIEW YOUR' followed by a red-bordered box containing the text 'Past tests'. Below this, it says 'FOR ANY CERTIFICATES. PLEASE CONTACT US IF THIS IS INCORRECT'. A red arrow points from the 'Past tests' box to a callout on the right. Another red arrow points from a red box at the bottom to the 'Past tests' box.

CISI CHARTERED INSTITUTE FOR SECURITIES & INVESTMENT

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Professional Assessment

YOU HAVE NO MODULE ATTEMPTS REMAINING, PLEASE VIEW YOUR

Past tests



FOR ANY CERTIFICATES. PLEASE CONTACT US IF THIS IS INCORRECT

Click 'Past Tests' to check the results of all your previous attempts.

If this page shows, it means you have attempted the final test twice and failed in this enrolment. You are required to re-enrol in this eCourse to have the test again.

Please note: You should only enrol in this eCourse ONCE per day. If you want to re-enrol, you should operate on the next day, or when the attendance is being reflected in your account.

Re-enrolment



Professional Assessment

Menu icon

Your active modules

120 mins

IN PROGRESS

RESUME TEST

CHAPTER LIST

HONG KONG PROFESSIONAL ASSESSMENT FOR ANTI-MONEY LAUNDERING AND COMBATING THE FINANCING OF TERRORISM

You will see this page after re-enrol in the eCourse.

Click to take the test. You will have another 2 attempts.

Click to review the eCourse again.

Check Course Completion

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Individual Members Benefits

SFC: 1.5 | PWMA: 1.5

11126.98
+2.4%

Forwards & Futures

Welcome | Your last login time:

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RECORD +

RECEIPT

ECREDIT

COMMUNICATION PREFERENCE

CONTACT US

To check completion, go to portal main page and click 'RECORD'.

Welcome | Your last login time:

RECORD

CPT Activity

(Only activity records from 1 January 2015 are shown.)

From

To

02-03-2021

RESET

SEARCH

TYPE	NAME	DATE	CPT / CPD / CPE / OPT GAINED	ATTENDANCE RECORD
No Result.				

Review your completed eCourses/eSeminars

Click 'CPT Activity'.

Then click 'Search' to generate the report.

This function does not apply to this eCourse.

CPT Activity

(Only activity records from 1 January 2015 are shown.)

From To

Recognised by

SFC	8	Law Society	0
MPFA (Core)	0	CGSE	0
PWMA	8	GARP	0
IA/OCI	0	FDRC	0
HKTA	0		

[RESET](#) [SEARCH](#)

The report will be ready 3 hours upon completion of the course.

Please note: You must **PASS** the final test and finish reading **ALL** modules. Otherwise, result will not be reflected on this page.

TYPE	NAME	DATE	CPT / CPD / CPE / OPT GAINED	ATTENDANCE RECORD
Training	Hong Kong Professional Assessment for Anti-Money Laundering and Combating the Financing of Terrorism (CISI)	04-11-2020	2 SFC 2 PWMA	Print

Click 'Print' to download your course certificate.

Support

When you encounter technical problems or if you have enquiries, please contact HKSI Institute at:

- Tel: **+852 3120 6100 (Education & Development Department)**
- E-mail: **education@hksi.org**

