

**Important Notes**

Voting Members can vote on the Extraordinary General Meeting (“EGM”) resolution through one of the following methods:

1. By **proxy** by completing and returning the attached Proxy Form to the HKSI Institute on or before Tuesday, 23 April 2024, 6:30pm; OR
2. At the **on-site EGM** on Thursday, 25 April 2024 at 5:30pm (Voting Members are highly encouraged to register in advance by 17 April 2024, 6:00pm).

Please be reminded that Voting Members should **only choose ONE voting method** and only the **LATEST submitted vote by any means**, will be counted.

Please note that a **Valid Proxy Form** must be:

- completed with your name, address and membership number;
- signed by you or your attorney duly authorised in writing; AND
- deposited together with the original or notarially certified copy of power of attorney and board resolution in respect of the appointment of an authorised representative of Corporate Member under which it is signed, at the registered office of the HKSI Institute at 17/F Cambridge House, Taikoo Place, 979 King’s Road, Quarry Bay, Hong Kong on or before Tuesday, 23 April 2024, 6:30pm.

**PROXY FORM FOR EXTRAORDINARY GENERAL MEETING**

(Please complete the form using BLOCK CAPITALS)

I, \_\_\_\_\_ (Full Legal Name) of  
 \_\_\_\_\_ (Address)  
 Membership No. **SF / FM / M / C** \_\_\_\_\_ being a/an **Senior Fellow / Fellow Member / Ordinary Member / authorised representative of the Corporate Member** of the Hong Kong Securities and Investment Institute (“HKSI Institute”) entitled to attend and vote at general meetings, HEREBY APPOINT the **Chairman of the Meeting<sup>1</sup>**, or \_\_\_\_\_ (Full Name) of  
 \_\_\_\_\_ (Address)  
 (Hong Kong Identity Card No.<sup>2</sup> \_\_\_\_\_) **or failing him/her**,  
 \_\_\_\_\_ (Full Name) of  
 \_\_\_\_\_ (Address)  
 (Hong Kong Identity Card No.<sup>2</sup> \_\_\_\_\_) as my proxy to attend the Extraordinary General Meeting of the HKSI Institute (or at any adjournment thereof) in person to be held at the HKSI Institute, 17/F, Cambridge House, Taikoo Place, 979 King’s Road, Quarry Bay, Hong Kong on Thursday, 25 April 2024 at 5:30pm for the purpose of considering and, if thought fit, passing the resolution referred to in the notice convening the meeting and at such meeting (or at any adjournment thereof) to vote for me and on my behalf in respect of the resolution set out as follows, and, if no such indication is given, as my proxy thinks fit.

If you wish to vote for the resolution, tick (✓) in the box marked "For". If you wish to vote against the resolution, tick (✓) in the box marked "Against". **Failure to tick (✓) in either box will entitle your proxy to cast your vote at his/her discretion.**

RESOLUTION 1	For	Against
<b>To approve the proposed adoption of the new Articles of Association of the HKSI Institute.</b>		

Signature \_\_\_\_\_ Signed this \_\_\_\_\_ day of \_\_\_\_\_, 2024

Notes:

1. If any proxy other than the Chairman of the Meeting is preferred, please strike out the words “Chairman of the Meeting” and insert the name and address of the proxy desired in the space provided. Any Senior Fellow/Fellow Member/Ordinary Member/Authorised Representative of the Corporate Member who is entitled to attend and vote at the meeting may appoint a proxy to attend and on a poll to vote for and on his/her behalf. A proxy needs not be a member of the HKSI Institute but must attend the meeting in person to represent you. Any alteration made to this proxy form must be initialled by the person who signs it. Any alteration other than the deletion of the words “Chairman of the Meeting” without the undersigned’s initial will render the proxy form invalid and the Secretariat will not contact any person for clarification.
2. Please include the Hong Kong Identity Card number of your appointed proxy, which is used for identification purpose only.