

9 October 2023

Dear Member

**HKSI Institute's Board Director Nomination and Election  
Nomination Period between 9 October and 8 November 2023, 6:00pm**

We write to inform you that there will be THREE Board Director vacancies to be filled by election at the 26th Annual General Meeting ("AGM 2023") on Thursday, 14 December 2023.

Pursuant to Article 21.1 of the Articles of Association of the Hong Kong Securities and Investment Institute ("AA"), three Directors shall retire from office at the AGM 2023. Among them, two Directors who have served on the Board for six years are not eligible for re-election according to Article 21.10:

<u>Name</u>	<u>Business Sector Represented</u>	<u>Eligible for Re-election</u>
Miss Angelina Kwan	Corporate Finance Group	No
Mr Graham Cottingham	Investment Analysts Group	No
Mr Kevin Liem	Fund Management Industry	Yes

As stipulated in Article 21.3(b) of the AA, all Senior Fellows, Fellows and Ordinary Members are eligible to run for Board Directorship. To qualify as a candidate for election, interested Members have to be proposed by at least twenty-five (25) qualified Voting Members (Senior Fellows, Fellow Members, Ordinary Members or Authorised Representatives of Corporate Members).

For members who are keen to run for Board Directorship, please visit our website, [www.hksi.org](http://www.hksi.org), under the section "**About Us>Governance>General Meeting**" for the Nomination Guidelines and Form. The nomination deadline for candidacy for election at the AGM 2023 is **Wednesday, 8 November 2023, 6:00pm**.

Voting Members have the option to nominate their preferred Member(s) as Board Director(s) candidate via our dedicated electronic platform ("ePlatform") and/or via paper mode. A separate email with a password will be sent to Voting Members' preferred email address on 9 October 2023 for your access to the ePlatform.

Should you have further enquiries, please email us at [agm@hksi.org](mailto:agm@hksi.org).

The formal notice of the AGM 2023 will be sent to you in due course.

Yours faithfully

Board Chairman