

# Case Competition 2022 – Application Form

## 案例比賽 2022 – 申請表格

### For NON-MEMBER Use Only 只供非會員使用

This application form is for non-member of Hong Kong Securities and Investment Institute (HKSI Institute) who are full time undergraduates of the participating higher education institutions to apply for the Case Competition. Please complete Part I and II of this form and the completed form should be returned to the respective department of your institution on or before 17 December 2021. 非香港證券及投資學會（學會）會員及參與高等教育院校的全日制本科生可以使用此申請表格申請案例比賽。請填寫本表格的第 I 部分和第 II 部分，填寫完畢的表格應於 2021 年 12 月 17 日或之前交回貴院校的相關部門。

If you are a member of HKSI Institute, please visit [www.hksi.org](http://www.hksi.org) to apply for the Case Competition directly to HKSI Institute on or before 4 January 2022. 如您是學會會員，請瀏覽 [www.hksi.org](http://www.hksi.org)，於 2022 年 1 月 4 日或之前直接向學會申請個案比賽。

For enquiry, please call 3120 6100 or email to [casecompetition@hksi.org](mailto:casecompetition@hksi.org). 如有查詢，請致電 3120 6100 或電郵至 [casecompetition@hksi.org](mailto:casecompetition@hksi.org)。

### PART I – Submission of 100-word article and Declaration 第 I 部份 – 提交 100 字短文及聲明

#### a) Topic: Why do I join this case competition? (English Only)

#### b) Declaration 聲明

I, \_\_\_\_\_ (HKID Card/ Passport No.: \_\_\_\_\_), declare that I am the author of the article submitted under Part I (a) above and that it has not been previously published or submitted to any other competition or organisations.

本人 \_\_\_\_\_ (香港身份證/ 護照號碼: \_\_\_\_\_) 謹此聲明，我是第一部分 (a) 所提交短文的作者，及此短文未曾發表或提交給任何其他競賽或組織。

By signing this form, 通過簽署此表格，

- I hereby grant HKSI Institute the rights and permission to publish my name and the name of my higher education institution on HKSI Institute's publications in any form; 我特此授予學會權利和許可，在其刊物上以任何形式刊登我的名字和我的高等教育機構的名稱；
- I assign all the copyrights/intellectual property rights of my contributed article to HKSI Institute; and 我將我所投稿短文的所有版權/知識產權授權予學會；及
- I fully understand that I will not receive any royalties, honoraria, reimbursements of expenses, or other compensation from HKSI Institute in connection with the article, and the rights and permission granted above. 我完全明白，本人不會就短文及上述授予的權利和許可，向學會收取任何版稅、酬金、報銷費用或其他補償。

Applicant's Signature 申請人簽署

Date 日期

For Internal Use Only

Received on: \_\_\_\_\_

Application No.: \_\_\_\_\_

Individual ID: \_\_\_\_\_

## PART II – Student Membership Application Form

### 第 II 部份 – 學生會員申請表格

The information contained in this form will be kept confidential. 申請表內的所有資料均會保密。

Please complete **ALL** sections unless marked optional with #. 請填寫表格所有部分，已標示為“#”的除外。

Please put a "✓" in the box where appropriate. 請在適當的方格內加上✓。

1. Personal Particulars 個人資料			
<input type="checkbox"/> Mr 先生 <input type="checkbox"/> Miss 小姐	English	Surname*	Given Name*
	中文 (if applicable)	姓氏*	名字*
*As stated on your HK Identity Card / Passport 必需與香港身份證/護照上相同			
HK Identity Card/ Passport Number 香港身份證/護照號碼		Date of Birth 出生日期 (DD/MM/YYYY)	
2. Contact Information 聯絡資料 (See Note i 請參考說明 i 項)			
Mailing Address 通訊地址		Mobile Phone Number 流動電話號碼	
Email Please provide both personal and university email addresses and put a ✓ to indicate your preferred email for correspondence. 電郵 請提供個人以及大學電郵地址，並在方格內加上✓設定為通訊電郵地址。			
<input type="checkbox"/> University Email 大學電郵		<input type="checkbox"/> Personal Email 個人電郵	
3. Academic Information 課程資料			
<i>Please attach photocopies of documents proving your full-time post-secondary student status, examples included a valid student identity card with card expiry date, academic transcript issued by a local, mainland or overseas registered education institution that you are attending.</i> 請附上證明文件副本以顯示您是全日制高等教育院校學生，例如您所就讀的本地、內地或海外註冊教育機構所發出的有效學生證(需顯示證件有效日期)、學業成績單。			
Education Institution 教育機構	Name 名稱	Country 國家	
Programme 課程  <i>(Please provide full name of programme. 請填寫課全名)</i>			
Admission Date 入學日期  (MM/YYYY)		Expected Graduation Date 預計畢業日期  (MM/YYYY)	
4. Disciplinary Record 紀律處分記錄			
Please ✓ the appropriate box below. 請於以下合適的方格內加上✓。			
Have you been disciplined in any way - i.e. cautioned, fined, suspended or awarded any kind of penalty or punishment by any authority - in the last five (5) years? 您在過去 5 年內有沒有遭受任何形式的紀律處分，例如受到警告、罰款、停學或受到任何機構的任何形式的懲罰或處分？			
<input type="checkbox"/> <b>NO</b> , I have NOT been disciplined. 沒有，我未曾遭受紀律處分。			
<input type="checkbox"/> <b>YES</b> , I have been disciplined. (Please provide full details separately and attach the document to the application.) 有，我曾遭受紀律處分。(請提供詳情並連同此表格一併遞交。)			

**5. Communication of HKSI Institute Services 學會服務通訊**

I would like to stay updated on the member benefits, activities, services, training courses and examinations provided by the HKSI Institute  
我想知道學會提供的最新會員優惠、活動、服務、培訓課程和考試資料：

- Yes 是  
 No 否

Upon approval of your application, you may change your preference any time according to the means set out in Annex 1 Personal Information Collection Statement (PICS). 在您的申請獲批後，您可以隨時按附件 1 “收集個人資料聲明” 內列明的方式更改您的選擇。

**6. Applicant's Declaration 申請人聲明 (This declaration must be signed by the applicant. 本聲明必須由申請人簽署)**

Please put a "✓" in all boxes. 請在所有方格內加上✓。

By signing this application form 通過簽署此申請表格：

- I declare that the information I have provided is complete and correct and undertake to notify the HKSI Institute any other information which is relevant to this application, and any changes on information contained in this form prior to admission to membership. 茲聲明我所提供的資料均屬完備及正確。我承諾在學會公佈接納我成為會員之前，若此申請表格所載資料或其他有關申請的資料有任何改變，我會即時通知學會。
- I hereby authorise the HKSI Institute to contact relevant bodies to check and verify the truth and accuracy of the information submitted in this application. 我特此授權學會與相關機構聯繫，以查證及核實我所提供的資料均為屬實和準確。
- I acknowledge that the provision of any untrue or incorrect information, or failure to provide personal data, or withholding any such information from, misleading, or attempting to mislead the HKSI Institute on any point will be deemed an act of misconduct and may result in my application being refused. 我明白在任何時候提供不實或不正確資料、未能提供個人資料、隱瞞、誤導或企圖誤導學會乃嚴重失當行為，並可能導致學會拒絕我的申請。
- I confirm that I have read, understand and agreed the contents of the HKSI Institute's [Articles of Association](#), HKSI Institute [Membership Rules](#), including the Code of Ethics, and Annex 1 Personal Information Collection Statement (“PICS”). 我確認我已閱讀、理解並同意“[學會組織章程細則](#)”、“[會員規則](#)”(包括“[操守守則](#)”)，以及附件 1 “收集個人資料聲明”的內容。

Applicant's Signature 申請人簽署

Date 日期

**7. Subscription Fee and Payment Information 會費及繳款資料 (See Note ii 請參考說明 ii 項)**

Student Membership Annual Fee 學生會員年費

HKD 港幣 300

Member Ambassador Scheme 會員大使計劃 (See Note ii 請參考說明 ii 項)

Waived

Member's Full Name  
會員全名

Membership Number  
會員號碼

Member's Mobile Phone Number  
會員流動電話號碼

**8. Payment Method 付款方式**

Cheque 支票 (Cheque No. 支票號碼: \_\_\_\_\_)  
(Please mark the cheque payable to "Hong Kong Securities and Investment Institute". 支票抬頭請付予 "香港證券及投資學會".)

Amex Card  VISA/Master Card

Expiry Date 有效日期:  
( ) MM 月 ( ) YY 年

I and/or the cardholder agree to charge the relevant payment to my credit card provided above. 本人和/或持卡人同意從上述提供的信用卡中扣除相關費用。

Cardholder's Name: \_\_\_\_\_  
持卡人姓名  
(Name must match the name on the credit card 姓名必須與信用卡上相同)

Cardholder's Signature: \_\_\_\_\_  
持卡人簽署  
(Signature must match the signature on the credit card 簽名必須與信用卡上相同)

**For Office Use Only**

Approval Code

Payment at the Counter

- Alipay/ Alipay HK  American Express  EPS  
 Union Pay  Visa/Master  WeChat Pay  Others \_\_\_\_\_

## Notes 說明

### Note i – Contact Information 聯絡資料

Contact information and preference indicated in this form will supersede those you have provided earlier (if any).

此表格上的聯絡資料和通訊方式設定將取代您之前所提供的資料 ( 如果有 )。

## Personal Information Collection Statement

1. The Personal Information Collection Statement (“**PICS**”) is made in accordance with the guidelines issued by the Office of the Privacy Commissioner for Personal Data. The PICS sets out the policies and practices of the HKSI Institute with regard to your personal data<sup>1</sup> and what you are agreeing to with respect to the HKSI Institute’s use of your personal data for the purposes identified below.
2. Data collected may be accessible by the HKSI Institute's officers, persons or committees processing membership application and related matters. Please refer to the HKSI Institute's privacy policy on its website at <https://www.hksi.org/en/privacy-policy/>.

### Purpose of Collection

3. The personal data provided in the membership application form will be used by the HKSI Institute for one or more of the following purposes:
  - evaluating and processing of your membership application and the making of other membership-related decisions;
  - contacting bodies relevant to your application to check and verify the information submitted in your application;
  - research and/or statistical purposes;
  - any other purposes directly relating to any of the above.

### Transfer of Personal Data

4. The HKSI Institute will keep your personal data confidential. However, subject to the provisions of any applicable law, the personal data may be:
  - supplied to any agent, contractor or third party who provides administrative or other services to the HKSI Institute, local and overseas and who has a duty of confidentiality to us, including such persons as external auditors, consultants and agents;
  - disclosed to any governmental or regulatory authorities or departments, or courts of law or any other person, in Hong Kong or elsewhere, for the purpose of verifying personal data provided by you to the HKSI Institute.

### Access to Data

5. Unless otherwise agreed, any documents containing your personal data that you provide to the HKSI Institute will become the property of the HKSI Institute and will not be returned to you. The HKSI Institute will destroy any documents and personal data it holds in accordance with its internal policy and applicable laws. Applicants are required to complete their applications within three months. Failing that they may be deemed to have withdrawn their applications. Personal data of the unsuccessful and withdrawn applicants will be destroyed within three months from the date of rejection or withdrawal.
6. You have the right to request access to and correction of your personal data in accordance with sections 18 and 22 and Principle 6 of Schedule 1 of the Personal Data (Privacy) Ordinance (Chapter 486 of the Laws of Hong Kong). The HKSI Institute has the right to charge a reasonable fee for processing of any data access request.
7. Any enquiries regarding the personal data provided in the application form or any other form of request for information (as the case may be), or requests for access to personal data or correction of personal data, should be made via [Online Portal](https://login.hksi.org/login) (<https://login.hksi.org/login>) or addressed in writing to:-

The Data Privacy Officer, Corporate Affairs Department

By mail to: The Hong Kong Securities and Investment Institute  
Room 510, 5/F, Wing On Centre  
111 Connaught Road Central, Hong Kong; or

By email to: [info@hksi.org](mailto:info@hksi.org)

<sup>1</sup> “Personal data” is defined in Section 2 of the Personal Data (Privacy) Ordinance, Cap 486 (“PDPO”).

## 收集個人資料聲明

1. 個人資料收集聲明(“聲明”)是根據香港個人資料私隱專員公署發布的指引制定，聲明列出香港證券及投資學會(“學會”)對您的個人資料<sup>2</sup> 政策和作業守則，以及您同意學會將您的個人資料用作下列用途。
2. 學會的職員、人員或委員會可能會接觸所收集的資料，以處理會籍申請及相關事宜。有關學會的《隱私政策聲明》，請瀏覽網站 <https://www.hksi.org/hk/privacy-policy/>。

### 收集資料目的

3. 您於會籍申請所提供的個人資料，將被學會用作以下列一項或多項用途：
  - 評估和處理您的會籍申請，並作出其他與會籍相關的決定；
  - 聯絡相關的機構以查證及核實您申請時所提交的資料；
  - 研究及/或統計用途；
  - 與上述任何一項直接相關的任何其他用途。

### 個人資料轉移

4. 學會會對您的個人資料保密，除非是按照任何適用法律的規定，您的個人資料可能會：
  - 學會可能會向任何在本地和海外向學會提供行政或其他服務的代理、承辦商或第三者提供您的個人資料，包括外部審計師、顧問和代理等，所列者均對學會負有保密責任；
  - 向香港或其他地方的任何政府或監管機構或部門、法院或任何其他人士披露您的個人資料，以核實您向學會所提供的個人資料。

### 查閱資料

5. 除非另外協議，否則您所提供含有個人資料的文件將成為學會的資產，學會將不會退還。學會將按照其內部政策和適用法律銷毀所持有的任何文件及個人資料。申請人需於三個月內遞交完整申請，否則可能被視為撤回申請。學會將於拒絕或申請人撤回申請之日起計的三個月內銷毀相關的個人資料。
6. 根據《個人資料(私隱)條例》(香港法例第486章)第18條、第22條及附表1的第6原則，您有權要求查閱和更改您的個人資料。學會有權就處理任何查閱資料的要求，收取合理的費用。
7. 如欲查詢申請表格上的個人資料，或以任何其他形式提出資料請求(視情況而定)，或要求查閱個人資料或更正個人資料，請透過學會[電子服務網站](https://login.hksi.org/login) ( <https://login.hksi.org/login> ) 或以書面形式提出：

機構事務部資料私隱主任

郵寄至： 香港證券及投資學會  
香港干諾道中111號永安中心5樓510室；或  
電郵至： [info@hksi.org](mailto:info@hksi.org)

<sup>2</sup> 第486章《個人資料(私隱)條例》第2條定義“個人資料”。