



Hong Kong Securities
and Investment Institute
香港證券及投資學會

20th Anniversary
二十周年紀念

Congratulations to

Hong Kong Securities and Investment Institute
on its 20th Anniversary



Pacific
Foundation



PF Group Holdings Limited

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Chairman's Message

主席獻辭



Mr John MAGUIRE, SFHKSI

Chairman

Hong Kong Securities and Investment Institute

麥若航先生, SFHKSI

香港證券及投資學會

主席

Over the past 20 years, the work of the Hong Kong Securities and Investment Institute (HKSI Institute) has had a profound impact on the securities and investment industry in Hong Kong through developing and administering high quality examinations which underpin Hong Kong's licensing regime, by providing training and education which has enabled practitioners to keep up-to-date and raise their knowledge levels and by being the standard bearer for integrity and professionalism in our market.

Twenty years is a significant milestone. It is an occasion to celebrate, an opportunity to pause for a moment to look back and a time to plan for the future. I am honoured to be part of the HKSI Institute's celebration of its achievements over the past 20 years and pleased to be able to look forward and see that the HKSI Institute is steadfastly on course to continue to drive its quality agenda.

An outstanding examination, educational, training and membership institution cannot be built overnight. Over the past twenty years the HKSI Institute's position has been established through the hard work and selfless devotion of many people. In particular, the HKSI Institute owes a special debt of gratitude to the Government and to the Securities and Futures Commission for the support they have given and trust they have bestowed in the HKSI Institute. We are also grateful for the support provided to the HKSI Institute by other regulatory bodies in Hong Kong and in particular by the Hong Kong Monetary Authority and by Hong Kong Exchanges and Clearing Limited. The HKSI Institute has in addition been fortunate to have benefited from the visionary leadership of former Chairmen and Board Directors who have laid the foundations for what I have faith will become a long-standing tradition of excellence and leadership. We are deeply encouraged by the enthusiasm and professionalism we see from our younger members. They are the future and it will be to their hands that the torch will be passed.

The HKSI Institute is the result of like-minded individuals coming together around something they feel strongly about and building an organisation that strives to do good in Hong Kong. All our members can be proud to be part of an institution which is making a difference in Hong Kong. On behalf of the Board, I would like to thank all of our members (especially those who have contributed to our work through our committees) for their support. Each one of us can make a difference, we can all play our part and I would strongly encourage you all to make a conscious choice to get involved in the work of the HKSI Institute and help us to raise standards in our securities and investment market even further.

二十年來，香港證券及投資學會（學會）努力耕耘，工作成果對香港證券及投資業影響深遠：學會不但研發及主辦嚴謹的資格考試，亦致力提供培訓及進修機會，協助同仁充實自己、與時同進，並積極提倡誠信及推廣專業標準，從而提升業界水平。

二十周年是學會的重要里程碑，慶祝之餘，也是暫歇腳步、仔細回顧和前瞻未來的最佳時刻。有機會參與慶祝學會成立廿載的活動，我深感榮幸，而能夠預見學會繼續發展，堅實履行使命，更讓我欣慰莫名。

卓越的考試、教育、培訓及會籍制度得以確立，決非一日之功。廿載光陰，一路走來，今日的豐碩成果，實有賴無數有心人深耕細作，無私奉獻，政府及證監會的信任支持，最使我們無言感激。本港其他監管機構 — 特別是金管局及港交所 — 對學會的鼎力支持，我們同樣衷心感銘。學會有幸，歷任主席及董事局成員均為業界翹楚，識見非凡。前人為學會奠定穩健根基，必然會成為後來者學習的卓越典範。年輕會員的熱誠與專業能力，更叫我們眼前一亮。業界新銳湧現，薪火相傳，生生不息，自可預期。

學會之創立，實乃志同道合者群策群力，為實現共同信念、建設香港之成果。學會持續為香港作出貢獻，身為學會一份子，每位會員皆可引以為榮。本人謹此代表董事局感謝全體會員（尤其是各委員會的成員）的支持。人皆有改變現狀之能，聚沙成塔，力量更顯。懇切盼望各位踴躍參與本會工作，使香港證券及投資業的專業水平更上層樓。

Congratulatory Message

賀辭



The Hon Mrs Carrie LAM CHENG Yuet-ngor, GBM, GBS

Chief Executive

The Government of the Hong Kong Special Administrative Region

林鄭月娥女士, GBM, GBS

香港特別行政區政府
行政長官

I would like to convey my warmest congratulations to the Hong Kong Securities and Investment Institute (HKSI Institute) on its 20th anniversary.

High-quality professionals are indispensable to the healthy development of the financial services industry in Hong Kong. Since its establishment in 1997, the HKSI Institute has endeavoured to raise the professional standards of industry practitioners in Hong Kong through a wide range of certification examinations and training programmes. Thanks to the hard work and dedicated efforts of the HKSI Institute, Hong Kong has a pool of skilled and experienced financial professionals, which provides a vital force to maintain our position as an international financial centre.

With the increasing sophistication of the financial market, the HKSI Institute recognises the need for talent development and delivers various training programmes in collaboration with the Government and other financial institutions. A notable example is the Pilot Programme to Enhance Talent Training for the Asset and Wealth Management Sector, which was launched by the Government and implemented by the HKSI Institute. Given its experience and expertise in professional training as well as extensive network in the financial services industry, the HKSI Institute has played an instrumental role in the successful implementation of these programmes.

Going forward, I am sure that the HKSI Institute will continue with its unremitting efforts to champion professional standards of excellence in the financial services industry. I wish the HKSI Institute and its members every success in their future endeavours.

香港證券及投資學會二十周年慶祝晚宴

鴻猷益展
駿譽遐揚

行政長官林鄭月娥



(Mrs Carrie Lam)
Chief Executive

Hong Kong Special Administrative Region

Congratulatory Message

賀辭

The Hon Mr Paul CHAN Mo-po, GBM, GBS, MH, JP
Financial Secretary
The Government of the Hong Kong Special Administrative Region

陳茂波先生, GBM, GBS, MH, JP
香港特別行政區政府
財政司司長



香港證券及投資學會二十周年慶祝晚宴

融通證券
利導財經

財政司司長陳茂波



Congratulatory Message

賀辭



The Hon CHOW Chung-kong, GBS, JP
Chairman
Hong Kong Exchanges and Clearing Limited

周松崗先生, GBS, JP
香港交易及結算所有限公司
主席

It is with great pleasure that I congratulate the Hong Kong Securities and Investment Institute on 20 years of providing training services to the Hong Kong financial industry. In the last two decades, HKSI has expanded in tandem with the Hong Kong financial market by offering training in not just securities and derivatives trading and investment, but also extended into legal and finance, asset and wealth management and credit ratings. HKSI has also been a firm supporter of closer economic and cultural ties between Hong Kong and the Mainland and has contributed in nurturing the next generation of financial professionals in both Hong Kong and Mainland China. The comprehensive and high quality professional training programmes, internationally recognized examinations and networking events organised by HKSI have assisted individuals to achieve their own professional goals and have played a key supporting role in enhancing Hong Kong's standing as an international financial centre.

I am confident that HKSI will continue to be a leading educator and important contributor to the success of Hong Kong and its financial markets. Congratulations on your 20th Anniversary.

香港證券及投資學會成立二十周年誌慶

聚賢滙智
嘉惠金融

香港交易及結算所有限公司主席

周松崗

敬賀

Congratulatory Message

賀辭

The Hon Mr James Henry LAU Jr, JP
Secretary for Financial Services and the Treasury

劉怡翔先生, JP
財經事務及庫務局局長



Congratulations to the Hong Kong Securities and Investment Institute on their 20th Anniversary.

Since its establishment in 1997, the Institute has been a champion of professional standards of excellence in the financial services industry in Hong Kong, contributing to our success as an international financial centre.

Not only has the Institute taken on the important role of setting standards and administering examinations for finance practitioners working in Hong Kong, the Institute has also introduced international qualifications for investment analysts and wealth management professionals in the city. In addition, the Institute also seeks to raise professional standards through continuing learning and development programmes.

The Institute has been a witness to Hong Kong's development as a financial centre for the past 20 years, and I have every confidence that it will continue to play an important role going forward.

香港證券及投資學會二十周年慶祝晚宴

懋績弘彰
俊才薈萃

財經事務及庫務局局長劉怡翔



Congratulatory Message

賀辭



Dr the Hon Moses CHENG Mo-chi, GBM, GBS, JP
Chairman
Insurance Authority

鄭慕智博士，大紫荊勳賢，GBS, JP
保險業監管局
主席

香港證券及投資學會成立二十周年誌慶

輔弼同業
會務興隆

保險業監管局主席
鄭慕智博士

敬賀

Congratulatory Message

賀辭

Mr Norman T.L. CHAN, GBS, JP
Chief Executive
Hong Kong Monetary Authority

陳德霖先生, GBS, JP
香港金融管理局
總裁



I am very pleased to extend my warmest congratulations to the Hong Kong Securities and Investment Institute (HKSI) on its 20th anniversary.

Since its establishment in 1997, the HKSI has been tirelessly driving the professional standards and grooming talents for the financial services industry. The HKSI offers a comprehensive range of examinations and qualifications to fulfil practitioners' licensing requirements. It also conducts learning and professional development programmes, and fosters collaboration within its membership community to promote professional standards. I commend the contributions made by HKSI in nurturing financial professionals over the past 20 years.

As our financial sector continues to grow, the supply of qualified financial services professionals is crucial. I wish HKSI every success in its future endeavours and its dedication to professionalism and excellence.

香港證券及投資學會成立二十周年誌慶

廣育培賢
利澤金融

香港金融管理局總裁

陳德霖

敬賀

Congratulatory Message

賀辭



Mr Carlson TONG Ka-shing, SBS, JP
Chairman
Securities and Futures Commission

唐家成先生, SBS, JP
證券及期貨事務監察委員會
主席

From strength to strength

The Hong Kong Securities and Investment Institute has played a key role in the development of Hong Kong's financial services industry over the past two decades.

Since the Institute was founded in 1997, the Hong Kong market has experienced tremendous growth. Market capitalisation rose almost tenfold from about \$3,200 billion to over \$31,000 billion. The financial services industry also grew accordingly; the SFC saw the number of licensed firms and individuals increase from 17,000 to more than 43,000.

Maintaining market quality in a time of rapid change is an essential part of the SFC's mission. Over the years our close relationship with the Institute has undoubtedly helped us further this goal. A key milestone was the introduction of standardised entry examinations for the industry. The Institute has run the whole examinations system since 2003.

Furthermore, through a wide variety of courses and other offerings, the Institute has taken an active role in ensuring that industry participants can gain expertise and in helping cultivate a culture of proper ethics and conduct.



Mr Ashley Ian ALDER, JP
Chief Executive Officer
Securities and Futures Commission

歐達禮先生, JP
證券及期貨事務監察委員會
行政總裁

The recently launched Advanced Learning Platform is another major step forward which the SFC is proud to support. The platform provides a one-stop learning and training portal for the Institute's members and also members of the general public.

Our warmest congratulations to the Institute as it celebrates its 20th anniversary. We are confident that it will go from strength to strength in the years ahead. We look forward to continued partnership and collaboration as we work together to ensure our market remains of the highest quality in a period when we may see even more changes to our financial markets than in the last 20 years.

Congratulatory Message

賀辭

Mr Charles LI Xiaojia

Chief Executive

Hong Kong Exchanges and Clearing Limited

李小加先生

香港交易及結算所有限公司

集團行政總裁



It is an honour and a privilege to extend warmest congratulations on behalf of HKEX to the Hong Kong Securities and Investment Institute as it celebrates its 20th anniversary.

The Institute was founded with the support of the SFC, the Stock Exchange, which is now part of HKEX, and industry leaders. So our ties go back to its earliest days. We continue to support the Institute and are proud to be one of its Corporate members.

Over the past 20 years, the Exchange or HKEX worked with the Institute on a variety of education and training programmes.

There is no doubt the Institute has reached its initial goal: to set, raise and promote professional standards for our financial services industry.

Working together, we all can build a marketplace with a high standard of professionalism, good corporate governance and a market-wide culture of compliance. Our aim should be a market where fairplay, observance of the law and moral integrity are voluntary, not through fear of penalties, but through recognition and adoption of these norms of behaviour as a value of the market and society.

We support the Institute in its current vision to champion professional standards of excellence in our financial services industry, and to contribute to Hong Kong's role as a leading international financial centre.

香港證券及投資學會成立二十周年誌慶

專才薈萃
創新里程

香港交易及結算所有限公司集團行政總裁

李小加

敬賀

Chief Executive's Message

行政總裁獻辭



Miss Ruth KUNG, MHKSI

Chief Executive

Hong Kong Securities and Investment Institute

孔慶詩小姐, MHKSI

香港證券及投資學會
行政總裁

Twenty years is not a long period of time but is a significant milestone to the HKSI Institute. Over the past 20 years, HKSI Institute has gone from strength to strength. Our examinations lead to various local and international professional qualifications and serve as important career benchmarks within the industry. Our professional and technical training courses enable the practitioners to meet higher standards of professional competence. Our membership community and outreaching programmes provide unique opportunities for cross-sectoral networking and nurturing young talents throughout their careers. We are also known as an institute that promotes and drives high standards of personal integrity and business ethics.

Our success is in no small part due to the tireless work of our members and staff. I express my utmost gratitude to our Chairmen, Board Directors and members who have volunteered their time, energy and expertise to the Board, committees, task forces and the many initiatives and activities driven by the HKSI Institute.

I would like to pay special tribute to our Chairmen and my predecessors for their visionary leadership and inspiration which enable us to overcome all the challenges and achieve significant outcomes for our members and the wider business community in Hong Kong.

My sincere thanks also go to our staff, past and present, for their dedication and vital role in laying the foundation and helping the HKSI Institute fulfil its goals.

I feel grateful for the continuous support given to and trust bestowed in the HKSI Institute by the Government, the Securities and Futures Commission, Hong Kong Monetary Authority, Insurance Authority, Mandatory Provident Fund Schemes Authority and other professional bodies. We will continue to work closely with them to advance the profession in Hong Kong.

Leveraging on what we have achieved, we will continue to focus on grooming young members, driving high standards of professional competence, broadening our service offerings and building the capability of the HKSI Institute. We commit to strive for excellence in everything we do, uphold our professionalism and accelerate our skills to meet the needs. We can all play a part, make a difference and shape the future of the industry. We look forward to working with each one of you in the years ahead.

二十寒暑，雖說不上悠長歲月，卻無疑是學會的重要里程碑。期間學會茁壯成長，屢創佳績；我們舉辦的考試，不僅是獲取本地和國際專業資格的門徑，也是同儕事業路上的重要指標。學會各項專業資格及實用技巧課程，讓學員得以精進專業技能，配合不斷提高的業界要求。會員社群和各類外展活動，讓參加者享有接觸業內不同領域人士的獨特機會，互相交流，拓展人際網絡，儼如協助後進發展事業的堅實後盾。學會致力推動業界在個人誠信及營商操守兩方面所持的嚴格標準，也是眾所周知。

學會種種成就，實有賴各會員與員工努力不懈，各盡所能。歷任主席、各董事及會員勞心勞力，義務參與董事局、各委員會、專責小組及學會其他活動，無言付出，尤使我衷心感激。

我也要特別向歷任主席及行政總裁致敬。各人高瞻遠矚，才能卓越。學會在他們領導和啟發下克服重重挑戰，為會員以至香港商界作出了重大貢獻。

我們今日享有穩固根基，各同事全力以赴、以履行學會使命為己任的拚勁無疑居功甚偉。無論是現職或已離任的同事，我也謹此向他們致意。

二十年來，政府、證監會、金管局、保監局、強積金管理局及其他專業團體，對學會的支持和信任始終如一，使我感激殊深。我們將繼續與這些機構緊密合作，推動香港金融服務業再闢高峰。

展望未來，學會將秉持專業精神，精益求精，與時並進，繼續全力培育年輕會員，提升業界水平，拓展服務，增強實力，以求繼往開來。群策群力，庶有成功；金融服務業的未來，端賴同仁同心同德。我們衷心期盼繼續與各位並肩，為行業開拓光明前路。

1997-2017

Key Milestones 大事回顧

First Decade

1997-2007

First established as Hong Kong Securities Institute in 1997

1997年正式成立，名為香港證券學院

Amalgamated with Hong Kong Institute of Investment Analysts in 1998

1998年，香港投資分析員協會被拼入學會

Became the organisation representing Hong Kong at Asian Securities Analysts Federation (ASAF, now Asian Securities and Investments Federation (ASIF)) in 1998

1998年，成為亞洲證券分析師聯合會（現為亞洲證券及投資聯合會）之香港代表機構

Office moved to Wing On Centre in 2000

2000年，喬遷辦公室至永安中心

Chinese name changed to "香港證券專業學會" in 2001

2001年，中文名稱改為「香港證券專業學會」

First Autumn Dinner held in 2002

2002年，首次舉辦秋季晚宴



Appointed by Securities and Futures Commission (SFC) to offer SFC Licensing Examinations (LE) in 2003

2003年，獲證券及期貨事務監察委員會（證監會）委任，主辦證監會資格考試（資格考試）



Launched HKSI Institute Scholarship Programme in 2004

2004年，學會推出獎學金計劃

Published "A Research on Corporate Hong Kong's Readiness for the Mainland Financial Markets" in 2006

2006年，發表「香港金融業踏足內地市場的準備程度研究」



An official opening ceremony for Shenzhen Office held in 2007

2007年，舉行深圳辦事處開業典禮



1997-2017

Key Milestones 大事回顧

Second Decade 2008-2017

Released research findings on "Grooming of Talent in the Financial Industry" in 2008
2008年，發表「金融專業人才培訓」調查結果



Signed a MOU with Talent Development Centre of Shanghai Lujiazui Financial City Oracle Bay in 2009
2009年，與上海陸家嘴金融城人才發展中心簽署合作備忘錄



First HKSI Institute Golf Day held at the Jockey Club KSC Golf Course in 2011
2011年，假灣西洲賽馬會高爾夫球場舉辦第一屆學會高爾夫球日



Introduced new name and new logo in 2012
2012年，新名稱及新會徽亮相



Pilot run of Mentoring Programme to provide more support to young members in 2013
2013年，推出師友同行先導計劃，助年輕會員發展



The Hong Kong Monetary Authority (HKMA) and the Private Wealth Management Association (PWMA) jointly announced the launch of the Enhanced Competency Framework for private wealth management practitioners in 2014

2014年，香港金融管理局（金管局）及私人財富管理公會聯合宣佈推出私人財富管理從業員的優化專業能力架構

Private Wealth Management (PWM) Steering Committee set up in 2014

2014 年，私人財富管理督導委員會成立

Inaugural issue of Standards & Insights (S.I.) published in 2015

2015 年，刊發標準與灼見 (S.I.) 的創刊號



Launched a new office opening ceremony in 2015

2015 年，舉行新辦公室開幕典禮



HKSI Institute, in collaboration with the Association of International Wealth Management (AIWM), offered the bridging of the Certified Private Wealth Professional (CPWP) with the Certified International Wealth Manager (CIWM) designation in 2016

2016 年，特別夥拍國際財富管理協會，提供註冊私人財富管理師成為註冊國際財富經理資格的銜接



Appointed by the Hong Kong Special Administrative Region (HKSAR) Government as the implementation agent for the Pilot Programme to Enhance Talent Training for the Asset and Wealth Management Sector (WAM Pilot Programme) in 2016

2016 年，獲香港特別行政區（特區）政府委託推行提升資產財富管理業人才培訓先導計劃（資產財富管理業先導計劃）



Launched a comprehensive Advanced Learning Platform (ALP) and a new HKSI Institute Online Portal in 2017

2017 年，推出全面的先進學習平台及全新的學會電子服務網站



We have been working closely with government, local regulatory bodies, industry practitioners and our members to turn vision into actions...

我們持續與政府、本地監管機構、業界及會員緊密合作，坐言起行，實踐理念 ...

Our Vision:

願景：

- To champion professional standards of excellence in Hong Kong's financial services industry.
提升香港金融服務業的專業水平，力臻卓越。
- To contribute to Hong Kong's role as a leading international financial centre.
協助鞏固香港的主要國際金融中心地位。

Our Mission:

使命：

- Setting standards through examinations and qualifications.
以考試及資格評核訂定業界標準。
- Raising standards through learning and professional development programmes.
以培訓及專業發展活動提升業界水平。
- Promoting standards through membership community.
以建立及凝聚會員社羣推廣業界規範。

We believe in and strive for

Professionalism, Integrity and Excellence

in everything we do and deliver.

我們以 **專業** 與 **誠信** 為圭臬，力臻 **卓越**。

Contact Us

- ☎ (852) 3120 6100
- ✉ info@hksi.org
- 🌐 www.hksi.org

Setting Standards through Examinations and Qualifications 舉辦考試及協助認證專業資格 確立業界標準

We offer...
我們...

舉辦 **14** 張證監會資格考試
SFC LE Papers

舉辦 **6** 項本地及國際認可的專業或執業資格考試
local & international recognised professional
or practicing qualifications examinations

在香港以外的 **6** 個城市舉辦證監會資格考試
SFC LE Papers in 6 cities outside Hong Kong

Over the past 20 years...
二十年來...

舉辦了逾 **10,000** 場考試
more than 10,000 examinations were held

合共逾 **660,000** 考試人次
more than 660,000 examination enrolments

We...
我們...

- work closely with local **regulators** to define **competency**
與本地 **監管機構** 緊密合作，確立 **專業能力** 定義
- take the **lead** to set the professional **standards**
牽頭 倡議制定專業 **準則**
- collaborate with local and international professional associations to **facilitate accreditation**
聯合本地及國際專業組織，**促成資格認證**
- have a **robust quality assurance** mechanism
設有 **健全** 制度，**監管質素**
- have a **strong team** that comprises of highly qualified subject matter experts and staff
人才濟濟，專家顧問與學會員工資歷深厚，各有所長，合作無間
- have a well equipped, **highly efficient**, and secured computer-based examination (**CBE**) center which handles 99% of our examinations
設有先進安全的電腦模式考試 (**CBE**) 中心，處理高達 99% 考試，**大大提高效率**
- provide instant examination results to candidates
即時讓考生知悉考試成績，方便快捷

Raising Standards through Learning and Professional Development Programmes

開辦專業進修及發展課程 提升專業水平

Flexible, Relevant and High Quality

靈活、合用、優質

A vast catalogue of courses recognised by the regulators (SFC, HKMA, IA and MPFA)¹ and professional bodies (e.g. PWMA, GARP)²

開辦多項獲監管機構 (證監會、金管局、保監局及強積金管理局)¹及專業團體 (私人財富管理公會、全球風險管理專業人員協會等)認可的課程

A wide range of relevant, industry-focused and high quality learning solutions with different levels of competency
主辦不同專業能力程度的高質素進修班/工作坊/講座，切合業界需要

Public CPT courses / event
持續專業進修課程 / 活動

Professional programmes for competency enhancement or career advancement
舉辦專業資格課程，助學員增強技能，發展事業

Corporate training
企業培訓

eLearning
網上學習

Bespoke learning solutions to securities firms, banks, insurance companies and other financial institutions
為券商、銀行、保險公司等金融機構策劃適切的人才培訓計劃

A variety of online learning programme offerings that allow greater flexibility to learn anytime, anywhere and at one's own pace
提供各類網上課程，讓學員自主學習進度與形式，不受時間與地點限制，更具彈性

¹ IA: Insurance Authority
保監局：保險業監管局
MPFA: Mandatory Provident Fund Schemes Authority
強積金管理局：強制性公積金計劃管理局

² GARP: Global Association of Risk Professionals

Signature Events with Distinguished Speakers

邀請傑出講者分享真知灼見



The Business Ethics Forum, first launched in 2006, is a signature event to promote business ethics and integrity in the financial services industry.

於 2006 年首次舉辦的**商業操守論壇**，是向金融服務業推廣營商操守及誠信的重要活動。



Regular Roundtable Luncheon talks with regulators to keep practitioners abreast of the latest industry development

學會定期舉辦**圓桌午餐講座**，邀請監管機構代表致辭，助同業掌握業內最新發展。



Advanced Learning Platform – a Pioneer

推出先進學習平台 創業界先河

Easy Access - enables practitioners to access local content of continuous professional training (CPT) courses, via mobile device, on an anytime-anywhere basis

使用方便 – 同業可隨時隨地通過流動電子裝置閱覽由學會編製的持續專業進修課程資料，突破時空限制。

Drive own learning - expedites activities enrolment with the functions of progress tracking and timely record-keeping at finger tips via HKSI Institute Online Portal

自主進度 – 學員報名參加各類活動，輕鬆簡易，更可透過學會電子服務網站的功能檢視學習進度及進修紀錄，一切盡在掌握之中。

Learning management - provides Learning Management System to help track corporates staff members' CPT hours

一目了然 – 設有進修管理系統，方便僱主了解員工的持續專業進修上課時數。



Experienced Learning Professionals

專業團隊 助企業培訓良才

A dedicated team of learning and development professionals with vast experiences in learning consultancy services and designing blended learning solutions for large corporations as well as small and medium size enterprises

學會擁有精於培訓人才的專業團隊，顧問服務經驗豐富。不論是大型機構或中小企業，我們皆可因應其獨特需要，策劃適切培訓方案，助客戶培育人才。

查詢詳情，請電郵至
Contact us at

education@hksi.org for more information

Promoting Standards through Our Membership Community 拓展會員社群 提倡專業標準

Cultivate and Broaden Members' Business and Social Networks 助會員建立及拓展商務與社交網絡

Leaders Programme – a platform for our Board and Committee Members, as well as our fellows to share insights and experiences with subject experts and regulators, on topics particular to their responsibilities and stature at work.

領袖系列活動 – 我們的董事、各委員會成員及資深會員，可從中與專家及監管機構人員分享見解和心得，尤其是一些有關其職責及職位的議題。

Corporate Advisory Council (CAC) – comprising of senior representatives from corporate members, is an advisory and liaison body between the industry and the HKSI Institute. Its members provide advice to our Board on the formulation of the future direction of the HKSI Institute, and are invited to attend close-door meetings with regulators to exchange ideas and views on the industry.

機構諮詢委員會 – 由機構會員的高級行政人員組成，是連繫業界與學會的諮詢組織。委員會成員會向我們的董事局提出建議，協助訂定學會的發展方針，亦會獲邀出席閉門會議，與監管機構代表交流對業內事宜的觀點。

Young Professionals Club (YPC) – comprises of individual members aged 40 or below. The YPC empowers young members by providing them with soft skills training, covering relevant topics like social and business etiquette, communication and presentation techniques, career planning and more.

新銳會 – 由 40 歲或以下的個人會員組成。新銳會主力協助年輕會員改進人際技巧，從而增強個人實力。其活動涵蓋社交及商業禮儀、溝通及報告技巧、事業規劃等主題。

Study Missions – since 2004, our annual visit to a key financial market in the Asian region has afforded our members extraordinary access to direct, open dialogue with key influencers within our neighbour economies.

考察團 – 2004 年起，每年出訪亞洲地區一個主要的金融市場。會員可藉此良機，接觸當地具影響力的機構與要員，直接坦誠對話。



Nurture Young Talents for the Industry 薪火相傳 培育後進

Mentoring Programme – connects our achieved veterans from the financial industry with promising young members (aged 35 or below) to facilitate the transfer of knowledge and dissemination of good values.

師友計劃 – 為 35 歲以下的年輕會員而設，藉此向業界翹楚討教專業知識與行事之道，獲益匪淺。



Scholarship Programme - benefited more than 600 university students since its launch in 2004.

獎學金計劃 – 自 2004 年推出以來，已有逾 600 名大學生受惠。

WAM Pilot Programme - the HKSI Institute is appointed by the Financial Services & the Treasury Bureau of the HKSAR Government as the implementation agent for a three-year WAM Pilot Programme to enhance talent promotion and training to meet the growing needs of the Asset and Wealth Management sector.

資產財富管理業先導計劃 – 獲特區政府財經事務及庫務局委任為資產財富管理業先導計劃的執行機構。該計劃為期 3 年，旨在吸引及培訓良才，以配合資產及財富管理業的發展。



Our Footsteps towards a Professional Examination Provider 我們在提供專業考試方面的發展

07/1999	Held the first Foundation Programme Examination and Financial Market Principal Programme Examination 舉辦首次基本課程考試及金融市場主管課程考試
08/1999	Administered MPF Intermediaries Examination 受委託負責舉辦強積金中介人考試
08/2002	Held the first Certified International Investment Analyst — Final Examination in Hong Kong 首次在香港舉辦註冊國際投資分析師期終資格考試
06/2003	Launched the first LE 推出首次資格考試
07/2003	Recognition of LE by Office of the Commissioner of Insurance 資格考試獲保險業監理處認可
01/2004	Assigned by the Securities Association of China as the sole agent for handling the enrolment of PRC Securities Regulations Examination 獲中國證券業協會委託，全權負責香港專業人員報考「內地證券法規科目考試」的工作
04/2004	Recognition of LE by Fellow, Financial Services Institute Programme of Life Office Management Association, Inc. 資格考試獲美國人壽保險管理學會的財富管理規劃師考試認可
07/2004	Recognition of LE by Investment Dealers Association of Canada 資格考試獲加拿大投資經紀協會認可
09/2004	Launched online examination results system 推出網上考試成績查詢系統
03/2005	Launched online registration and enrolment system for Paper-based Examination of LE 推出資格考試筆試模式的網上註冊及報考系統
04/2005	Held the first LE (Paper 1) for Mainland professionals in Shenzhen 在深圳為內地專業人員首次舉辦資格考試（卷一）
10/2009	Launched the first Chinese Gold and Silver Exchange Society Qualification Examination 推出首次金銀業貿易場資格考試
11/2009	Launched Computer-based Examination and its online registration and enrolment system for all 10 LE papers 為所有共 10 張資格考試試卷全面推出電腦應考模式考試及其網上註冊及報考系統
03/2011	Partnered with the Securities and Futures Institute of Taiwan and held the first LE (Paper 1, 7 and 8) in Taiwan 與台灣證券暨期貨市場發展基金會合作，在台灣舉辦首次資格考試（卷一、七及八）
06/2011	Launched two new LE papers: Paper 4 (Regulation of Credit Rating Services) and Paper 10 (Credit Rating Services) 推出兩張資格考試新試卷：卷四（信貸評級服務規例）及卷十（信貸評級服務）
09/2011	Held the first CIWM Final Examination Papers 1, 2 and 3 舉辦首次註冊國際財富經理期終考試（卷一、二及三）
03/2013	Launched Advanced Certificate in International and Domestic Wealth Planning Examination 推出首次國際及本地財富策劃高級證書考試
10/2013	Launched two new LE papers: Paper 15 (Sponsors (Principals)) and Paper 16 (Sponsors (Representatives)) 推出兩張資格考試新試卷：卷十五（保薦人（主要人員））及卷十六（保薦人（代表））
01/2015	Launched CPWP Module 1 Examination 推出首場註冊私人財富管理師單元一考試

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KPMG congratulates HKSI Institute's 20th Anniversary

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Recent Corporate Awards

Best Fund Provider – Greater China Equity

Asian Private Banker, 2017¹

Best Equity Group

Thomson Reuters Lipper, 2016²

Fund House of the Year – Hong Kong

AsianInvestor, 2016³

Asset Management Company of the Year (Hong Kong) – Highly Commended Fund House

The Asset, 2016⁴

Fund Manager of the Year – Long-only Fixed Income (Highly Commended) Mr. Gordon Ip

The Asset, 2017⁴

Top Investment House in Asian G3 Bonds – Ranked 2nd in the Hedge Fund Category

Asset Benchmark Research, 2016⁵

Hong Kong (852) 2143 0688 | Singapore (65) 6718 0380 | www.valuepartners-group.com

1. Asian Private Banker Asset Management Awards for Excellence 2017 – “Best Fund Provider – Greater China Equity”, reflecting performance as at December 2016. 2. The Lipper Fund Awards Hong Kong 2016, reflecting performance over the 3-year period as at December 2015. 3. AsianInvestor Asset Management Awards 2016 – “Fund House of the Year - Hong Kong”, reflecting performance as at December 2015. 4. The Asset Triple A, Asset Servicing, Investor and Fund Management Awards 2016 – “Asset Management Company of the Year (Hong Kong) – Highly Commended Fund House” and The Asset Triple A, Asset Servicing, Investor and Fund Management Awards 2017 – “Fund Manager of the Year – Long-only Fixed Income (Highly Commended)” for Mr. Gordon Ip, reflecting performance as at December 2015 and 2016 respectively. Gordon Ip is Value Partners’ Chief Investment Officer, Fixed Income. 5. Announced in October 2016 by The Asset Benchmark Research – “Top Investment Houses (Hedge Funds)”, were selected by a poll covering global institutional investors that are active in the Asian G3 bond markets.

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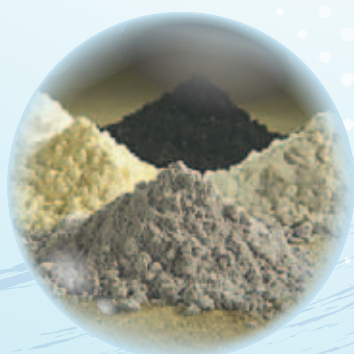
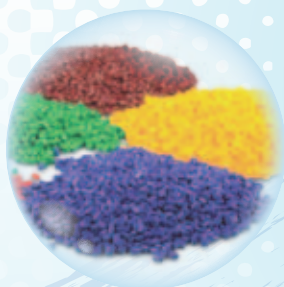


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Source: 1. The top 400 asset managers, Investment & Pensions Europe, published June 2017. HSBC Global Asset Management is ranked as 44th. 2. HSBC Global Asset Management as at 30 June 2017.



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Senior Fellow, HKSI Institute



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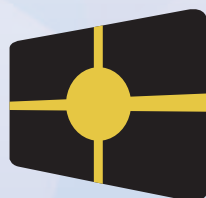
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