

NOTICE OF THE 19th ANNUAL GENERAL MEETING

NOTICE IS HEREBY GIVEN that the 19th Annual General Meeting of the Hong Kong Securities and Investment Institute (“HKSI Institute”) will be held at the HKSI Institute, Room 510, 5/F, Wing On Centre, 111 Connaught Road Central, Hong Kong on Thursday, 8 December 2016 at 5:30 p.m. with following agenda:

1. The address of the Chairman of the Board.
2. To receive and consider the Report of the Directors and the Audited Accounts of the HKSI Institute for the year ended 31 March 2016 ¹.
3. To re-appoint KPMG as the Honorary Auditor.
4. To elect five Directors of the Board whose term of appointment shall continue until such director required to be retired in accordance with the Articles of Association of the HKSI Institute.

By Order of the Board



Alex MAK
Company Secretary

11 November 2016

Notes:

1. Please refer to the enclosed Annual Report 2016 or can be downloaded from our website under the section at “About us>Annual Report>2016 Annual Report” (P.95-97 for Report of the Directors and P.98-135 for 2015/2016 Audited Accounts).