

For Office Use Only 學會專用

Date: _____
Application No.: _____
Amount: _____
Ind No.: _____
Handle By: _____

STATEMENT OF RESULTS – APPLICATION FORM

考試成績證明書申請表

Please complete this form legibly in **BLOCK LETTERS** and put a "✓" in the appropriate "□". Kindly return this form to the Hong Kong Securities and Investment Institute (HKSI Institute) by post or in person during opening hours. Applicants will normally receive the Statement of Results within 14 working days after the HKSI Institute has received the form.

請以正楷清楚填寫此表格，並在適當“□”內填上“✓”號。請於香港證券及投資學會(學會)服務時間內親臨接待處遞交，申請人亦可將已填妥之表格以郵寄方式交回學會。申請人一般會於學會收到表格後14個工作天內收到成績證明書。

PART 1: Personal Particulars 第一部份：個人資料

Part 1A 第一(甲)部份 (COMPULSORY 必須填寫)

(As stated on your HK Identity Card / Passport 必須與香港身份證/護照上相同)

Surname in English 姓氏 (英文)

First Name in English 名字 (英文)

Surname in Chinese 姓氏 (中文)

First Name in Chinese 名字 (中文)

HK Identity Card/ Passport Number 香港身份證/護照號碼

HKSI Institute Membership No. (if applicable) 香港證券及投資學會會員編號(如適用)

PART 2: Examination Information 第二部份：考試資料

| Name of Examination 考試名稱 | Lost or Damaged Document(s) 遺失或損毀文件 (Notification of Results 考試成績單 ("Result") / HKSI Institute Certificate 學會證書 ("Cert")) | Examination Paper/Module 考試試卷/單元 | Date of Examination 考試日期 |
|--|--|---|-----------------------------|
| <input type="checkbox"/> Licensing Examination for Securities and Futures Intermediaries 證券及期貨從業員資格考試 | <input type="checkbox"/> Result <input type="checkbox"/> Cert | <input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/> 4 <input type="checkbox"/> 5 <input type="checkbox"/> 6 <input type="checkbox"/> 7 <input type="checkbox"/> 8 <input type="checkbox"/> 9 <input type="checkbox"/> 10 <input type="checkbox"/> 11 <input type="checkbox"/> 12 <input type="checkbox"/> 15 <input type="checkbox"/> 16 | |
| <input type="checkbox"/> Advanced Certificate in International and Domestic Wealth Planning 國際及本地財富策劃高級證書 | | | |
| <input type="checkbox"/> CIIA® Final Examination 國際註冊投資分析師期終資格考試 | <input type="checkbox"/> Result | <input type="checkbox"/> 1 <input type="checkbox"/> 2 | |
| <input type="checkbox"/> CIWM® Final Examination 註冊國際財富經理期終考試 | <input type="checkbox"/> Result | <input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 | |
| <input type="checkbox"/> Certified Private Wealth Professional Module 1 Examination 註冊私人財富管理師單元一考試 | <input type="checkbox"/> Result | <input type="checkbox"/> 1 <input type="checkbox"/> 2 | |
| <input type="checkbox"/> MPF Intermediaries Examination 強積金中介人考試 | | | |
| <input type="checkbox"/> The Chinese Gold and Silver Exchange Society Qualification Examination 金銀業貿易場資格考試 | | <input type="checkbox"/> 1 <input type="checkbox"/> 2 | |
| <input type="checkbox"/> Others (please specify) 其他(請註明): _____ | <input type="checkbox"/> Result <input type="checkbox"/> Cert | | |

PART 3: Collection Method 第三部份：領取方式

- In person/authorise a representative* to collect at HKSI Institute counter
親臨或授權他人*於學會接待處領取 (Contact Telephone Number 聯絡電話號碼: _____)
- By post to my correspondence address registered with the HKSI Institute 以郵寄方式寄往本人於學會登記之聯絡地址
- By post to the following mailing address 以郵寄方式寄往以下郵寄地址: _____

* The authorised person is requested to provide an Authorisation Letter together with the copy of the HK identity card or passport of the applicant to HKSI Institute at the time of collection.

被授權人士代領成績證明書時必須給予學會職員授權書及申請人的香港身份證/護照副本以之證明。

PART 4: Payment 第四部份：付款方式

Total administration fee 行政費用總數 (Note 註)

Total number of copies 申請份數： x HK\$港幣200 = HK\$港幣

EPS 易辦事

American Express Card 美國運通信用卡 - -

VISA/Master Card VISA/萬事達卡 - - -

Expiry Date有效期至

mm月 yy年

Please charge payment to credit card. 從信用卡繳付以上款項

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Approval Code

Cardholder's Name 持卡人姓名： _____ Cardholder Signature 持卡人簽署： _____

(Card holder must be the applicant 持卡人必須為報考人士)

(The signature must match with the one on your credit card 簽名必須與信用卡上相同)

Notes 註：

- The HKSI Institute charges an administration fee of HK\$200 (per copy) for issuing the Statement of Results. The Statement of Results will be issued on per examination paper / session basis and will only include the examination results printed on the Notification of Results / HKSI Institute Certificates previously issued by the HKSI Institute to the candidate for the examination paper / session specified on the form.
學會會就每份成績證明書向申請人收取港幣200元的行政費用。成績證明書會按每個考卷 / 試期發出，而每份成績證明書上只會顯示學會已就申請人在表格上所填寫之考卷 / 試期所發出的考試成績單 / 學會證書上所列載的考試成績。
- Applicants can print their Official Receipt via the HKSI Institute Online Portal (<https://login.hksi.org/login>) by going into the "Receipt" section after logging into the system. Applicants may request for a hard copy of an Official Receipt by submitting a written request to the HKSI Institute. The HKSI Institute charges an administration fee of HK\$100 per copy.
申請人可登入學會電子服務網站(<https://login.hksi.org/login>)，並在「收據」欄內列印收據。申請人可向學會遞交書面申請，要求學會發出正式收據的列印本。學會會就每張收據向申請人收取港幣100元的行政費用。

PART 5: Application's Declaration 第五部份：申請人聲明

I confirm and understand that if the information provided in this form is inaccurate or incomplete, my application may not be accepted by the Hong Kong Securities and Investment Institute (HKSI Institute) even though the administration fee(s) has been paid and such fees paid are non-transferable and non-refundable.

本人確實明白倘若本人在此表格上所填報的資料不正確或不完整，本人在繳付行政費用後仍有可能被香港證券及投資學會拒絕本人的申請。本人明白所有已付費用概不得轉讓或退還。

Signature of Applicant 申請人簽署： _____ Date 日期： _____

Change of Personal Particulars 更改個人資料

(Please ✓ where appropriate 請於適當空格內填上 ✓)

Correspondence Address 聯絡地址： Same as above mailing address 與上述的郵寄地址相同

Mobile Number 流動電話號碼：

Daytime Contact Number 日間聯絡電話號碼：

E-Mail Address 電郵地址：

Fax Number 傳真號碼：

Name of Company 工作機構名稱：

Position Held 職位名稱：

Please note that the applicant's personal record will be updated with the new information approximately 2 WORKING DAYS after the HKSI Institute has received this form from the applicant. Applicant may review his/her updated personal information in the HKSI Institute Online Portal (<https://login.hksi.org/login>).

請注意：申請人之個人紀錄將會於學會收到此表格後約兩個工作天被更改。申請人可登入學會電子服務網站 (<https://login.hksi.org/login>) 中查閱其的個人資料。

Applicant's Declaration 申請人聲明

I hereby declare and confirm that I have read and fully understood the Examination Handbook(s) of the relevant examination(s), in particular those sections relating to the use of personal data by the HKSI Institute. I hereby agree and allow the HKSI Institute to use the personal data provided herein in the same manner as described in the relevant Examination Handbook(s). 本人確實已閱讀相關考試的考試手冊，並明白當中所載(包括有關個人資料的使用)之內容。本人同意及准許香港證券及投資學會可遵照相關考試手冊所列明的處理方式，使用本表格內所提供的所有資料。

Signature of Applicant 申請人簽署： _____ Date 日期： _____