Computer-based Examination System
電腦應考模式系統

Please select your desired language for the system interface
請選擇適合閣下之系統顯示語言

ENGLISH   繁體

Click on the "ENGLISH" button to use English as the system interface or click the "繁體" button to use Traditional Chinese as the system interface. However, the language version of all displays cannot be changed once the login has been executed. This function will not affect the examination questions, which can be in either language or both throughout the examination.

Computer-based Examination System

HKID/Passport No.: [   ]

HKID/Passport No. -- If your identification document number is A123-456789(0), type A1234567890.

LOGIN

```
Q W E R T Y U I O P   7 8 9
  A S D F G H J K L    4 5 6
    Z X C V B N M      1 2 3
BACKSPACE  CLEAR  ENTER   0
```

Use the virtual keyboard to enter the "HKID/Passport No.".

(Note: No need to input any symbol such as dash, hyphen, slash, bracket, etc.)

HKID/Passport No.: H001009

HKID/Passport No. -- If your identification document number is A123-456789(0), type A1234567890.

LOGIN

Q	W	E	R	T	Y	U	I	O	P	7	8	9
A	S	D	F	G	H	J	K	L		4	5	6
Z	X	C	V	B	N	M				1	2	3
BACKSPACE		CLEAR		ENTER						0		

To clear the wrong input, click on the "BACKSPACE" or "CLEAR" button on the virtual keyboard.

Computer-based Examination System

HKID/Passport No.: H001009

HKID/Passport No. -- If your identification document number is A123-456789(0), type A1234567890.

LOGIN

Q	W	E	R	T	Y	U	I	O	P		7	8	9
A	S	D	F	G	H	J	K	L			4	5	6
Z	X	C	V	B	N	M					1	2	3
BACKSPACE	CLEAR	ENTER										0	

Click on the "Login" button to login into the CBE system.

Licensing Examination for Securities and Futures Intermediaries

Candidate Name : Chan Tai Man
Exam Code : T1210076622
Exam Date : 2012-10-22
Start Time : 13:46
End Time : 15:16

Please check the information carefully.
If there are any errors, please contact the invigilator immediately for assistance.

Enter

Click on the "Enter" button after checking the correctness of the examination information displayed to proceed to the next page or contact the invigilator immediately if the information is incorrect.

IMPORTANT NOTICE

Rules

- All information, materials and questions are the proprietary information of the HKSI Institute and all copyright therein belongs exclusively to the HKSI Institute. By taking this examination, candidates are deemed to acknowledge the HKSI Institute's intellectual property rights (including copyright) in all such information, materials and questions. Candidates are not allowed to copy, distribute, publish, modify, create derivative works from or otherwise use this information, materials and questions in any manner or media. Candidates who commit such acts without the HKSI Institute's authority may be disqualified from the examination. Further, the HKSI Institute reserves the right to take appropriate action against such candidates to enforce its intellectual property rights.

- Only the HKID card/passport, stationery (pencils, erasers, rulers, pencil sharpeners and authorised electronic calculators that do not use **DOT-MATRIX** technology in the main display) and draft paper provided by the HKSI Institute are allowed to be placed on candidates' desks. All their personal belongings and other materials must be placed under their chairs.

- Candidates are not allowed at any time inside the Computer-Based Examination (CBE) centre to use any communication devices and devices which produce sound of any kind, and which may interfere with our computer equipments in the CBE centre. The devices are mobile phones, Personal Digital Assistants, Bluetooth headset, pagers, alarm watches, etc. They must be switched off completely. This means that their alarm function and their silent mode function must be switched off as well. They must be put in candidates' bags or under their chairs.

- Candidates must not litter, drink, eat (including chew gum), smoke or take photographs with any devices anywhere in the CBE centre.

- Candidates are only allowed to leave the examination room after the examination has been in progress for **MORE THAN 20 MINUTES** with the approval of an invigilator. In that case, they should wait for the issuance of their Unofficial Results Slips at the reception area of the CBE centre.

Failure of candidates to follow and/or candidates' breach of the above rules and/or any rules listed in our Examination Regulations may result in their DISQUALIFICATION from the CBE. Candidates who are suspected of breaching any examination regulations **will NOT receive the Unofficial Results Slip immediately after the examination.** The HKSI Institute will also not release the examination results to the candidates concerned unless the whole process in handling alleged misconduct cases is completed and the candidates involved are not disqualified.

- Candidates must be careful when clicking on the "Confirm Submission of Exam" button. This is because the examination will be ended and the candidates cannot review their examination

I have read the contents of the page and accept the requirements thereof

Read through the Important Notice and click on the
"I have read the contents of the page and accept the requirements thereof"
button when finished.

Licensing Examination for Securities and Futures Intermediaries

You are strongly recommended to take this mock examination before you proceed to the real examination.

This mock examination allows you to familiarize yourself with the HKSI Computer-based Examination System.

You will NOT be marked on the questions of the mock examination.

Start Mock Examination   Start Real Examination

完成   網際網路 | 受保護模式: 關閉   100%

Click on the "Start Real Examination" button to start the real examination.

(Note: if you log in before the scheduled starting time of the examination and would like to take the mock examination, click on the "Start Mock Examination" button instead).

The real examination starts.

On the time window, the "Current Time" is the current time of the Computer-based Examination system. "End Time" is the time the examination would end, and the "Remaining Time" is the time remaining before the examination reaches the examination "End Time".

To remove one of the pre-selected languages of the examination questions, click on the respective "☑" select button adjacent to "ENGLISH" or "繁體" on the Question Language(s) window.

To answer a question, click on the "⊚" button adjacent to the option answers A, B, C or D to indicate the answer that you have chosen.

Hong Kong Securities and Investment Institute
香港證券及投資學會

Exam Name: Licensing Examination for Securities and Futures Intermediaries
Exam Code: T1210076622
Candidate Name: Chan Tai Man

Question Number 2

Testing 24

A:	Option 24A
B:	Option 24B*
C:	Option 24C
D:	Option 24D

測試24

A:	選擇24A
B:	選擇24B*
C:	選擇24C
D:	選擇24D

Current time: 14:07:20
End Time: 15:16:35
Remaining Time: 01:09:15

Question Language(s)
☑ ENGLISH ☑ 繁體

Question Number 2

Please choose one option
● A ● B ● C ● D

☐ Bookmark this question for re-checking

Previous Question   Next Question

Submit All Answers

完成

網際網路 | 受保護模式: 關閉   100%

To go to the next question, click on the "Next Question" button.

Exam Name: Licensing Examination for Securities and Futures Intermediaries
Exam Code: T1210076622
Candidate Name: Chan Tai Man

1 A	2	3
4	5	6
7	8	9
10	11	12
13	14	15
16	17	18
19	20	21
22	23	24
25	26	27
28	29	30
31	32	33
34	35	36
37	38	39
40	41	42
43	44	45
46	47	48
49	50	51
52	53	54
55	56	57
58	59	60

Question Number 2

Testing 24

A: Option 24A
B: Option 24B*
C: Option 24C
D: Option 24D

測試24

A: 選擇24A
B: 選擇24B*
C: 選擇24C
D: 選擇24D

Current time: 14:07:20
End Time: 15:16:35
Remaining Time: 01:09:15

Question Language(s)
☑ ENGLISH ☑ 繁體

Question Number 2

Please choose one option
○ A ○ B ○ C ○ D

☐ Bookmark this question for re-checking

Previous Question Next Question

Submit All Answers

完成   網際網路 | 受保護模式: 關閉   100%

To return to the previous question, click on the "Previous Question" button.

Hong Kong Securities and Investment Institute
香港證券及投資學會
HKSI

Exam Name: Licensing Examination for Securities and Futures Intermediaries
Exam Code: T1210076622
Candidate Name: Chan Tai Man

1 A	2 B *	3 C
4 C	5 B	6 A
7 B	8 C	9 A
10 C	11 A	12 B
13 C	14 C	15 B
16 C	17 A	18 A
19 C	20 A	21 C
22 B	23 C	24 A
25 B	26 C	27 A
28 C	29 A	30 A
31 B	32 B	33 B
34 C	35 B	36
37	38 *	39 *
40 B *	41 B *	42 B
43 B	44 C	45 A
46 B	47 C	48 A
49 B	50 C	51 B
52 A	53 C	54 B
55 A	56 A	57 A
58 A	59 A	60 C

Question Number 60

Testing 53

A:	Option 53A
B:	Option 53B
C:	Option 53C*
D:	Option 53D

測試53

A:	選擇53A
B:	選擇53B
C:	選擇53C*
D:	選擇53D

Current time: 14:16:00
End Time: 15:16:35
Remaining Time: 01:00:35

Question Language(s)
☑ ENGLISH ☑ 繁體

Question Number 60

Please choose one option
● A ● B ○ C ● D

☐ Bookmark this question for re-checking

Previous Question | Next Question

Submit All Answers

網際網路 | 受保護模式: 關閉   100%

Candidate may also click on the clickable question/answer button on the left-hand side of the screen to go to the respective question/answer.

Candidate may bookmark a question (to return to the question for review or re-checking) by clicking on the "□" button adjacent to "Bookmark this question for re-checking".

Exam Name: Licensing Examination for Securities and Futures Intermediaries
Exam Code: T1210076622
Candidate Name: Chan Tai Man

Question Number 2

Testing 24

A: Option 24A
B: Option 24B*
C: Option 24C
D: Option 24D

測試24

A: 選擇24A
B: 選擇24B*
C: 選擇24C
D: 選擇24D

Current time: 14:11:43
End Time: 15:16:35
Remaining Time: 01:04:52

Question Language(s)
☑ ENGLISH ☑ 繁體

Question Number 2

Please choose one option
● A ● B ● C ● D

☑ Bookmark this question for re-checking

Previous Question | Next Question

Submit All Answers

完成 網際網路 | 受保護模式: 關閉 100%

Once a question is bookmarked, an asterisk sign "*" would be displayed adjacent to the selected question number shown in one of the clickable question/answer buttons on the left-hand side of the screen. Candidate may click on the bookmarked clickable question/answer button to return to the question.

Complete And Submit The Real Examination
BEFORE Examination End Time

After the Computer-based Examination has been progressed for more than 20 minutes, the candidate may submit the examination answers and leave the examination centre with the approval of an invigilator. Before submitting the answers, the candidate can check if all the questions have been answered by looking at the clickable question/answer buttons on the left-hand side of the screen.   Question numbers with a letter (A, B, C or D) are those questions that have been answered.

Exam Name: Licensing Examination for Securities and Futures Intermediaries
Exam Code: T1210076622
Candidate Name: Chan Tai Man

Question Number 60

Testing 53

A:	Option 53A
B:	Option 53B
C:	Option 53C*
D:	Option 53D

測試53

A:	選擇53A
B:	選擇53B
C:	選擇53C*
D:	選擇53D

1 A	2 B *	3 C
4 D	5 B	6 A
7 B	8 C	9 A
10 B	11 C	12 B
13 C	14 B	15 A
16 B	17 B	18 A
19 A	20 B	21 C
22 B	23 A	24 B *
25 C	26 A *	27 C
28 D	29 B	30 A
31 A	32 B	33 C
34 B	35 C	36 B
37 D	38 B	39 A
40 B	41 C	42 B
43 A	44 C	45 B
46 A	47 D	48 D
49 A	50 C	51 B
52 B	53 C	54 B
55 C	56 D	57 B
58 C	59 D	60 A

Current time: 14:11:43
End Time: 15:16:35
Remaining Time: 01:04:52

Question Language(s)
☑ ENGLISH ☑ 繁體

Question Number 60

Please choose one option
○ A ● B ○ C ○ D

☐ Bookmark this question for re-checking

Previous Question | Next Question

Submit All Answers

完成   網際網路 | 受保護模式: 關閉   100%

Complete And Submit The Real Examination BEFORE Examination End Time

On the last question page, the "Next Question" button is disabled. To begin the process of ending the examination, click on the "Submit All Answers" button.

Licensing Examination for Securities and Futures Intermediaries

Current time : 11:26:08
Remaining Time : 01:30:33
Total No. of Questions : 60
No. of Completed Questions : 60
No. of Remaining Questions : 0

Upon clicking "Confirm Submission of Exam", no further amendments will be accepted.

Confirm Submission of Exam   Return to Exam

完成   網際網路 | 受保護模式: 關閉   100%

Complete And Submit The Real Examination
BEFORE Examination End Time

The completion status of the examination for the respective candidate is displayed: "Current Time", "Remaining Time", "Total No. of Questions", "No. of Completed Questions" and "No. of Remaining Questions".

To return to the examination, click on the "Return to Exam" button.

Licensing Examination for Securities and Futures Intermediaries

Current time : 11:26:08
Remaining Time : 01:30:33
Total No. of Questions : 60
No. of Completed Questions : 60
No. of Remaining Questions : 0

Upon clicking "Confirm Submission of Exam", no further amendments will be accepted.

| Confirm Submission of Exam | Return to Exam |

完成 網際網路 | 受保護模式: 關閉 100%

**Complete And Submit The Real Examination
BEFORE Examination End Time**

To end the examination, click on the "Confirm Submission of Exam" button. Upon clicking the "Confirm Submission of Exam" button, NO further amendments will be accepted.

Please await further instructions from the invigilator.
When you leave, make sure that you take all your personal belongings and identification documents with you.

Exit

完成 ● 網際網路 | 受保護模式: 關閉 ⊕ 100%

**Complete And Submit The Real Examination
BEFORE Examination End Time**

Read and follow the instructions on the screen.   Click on the "Exit" button to return to the Computer-based Examination login page.

Time is up.
The Examination is finished.
All your answers, ticked or unticked, have been submitted.

Next

完成   網際網路 | 受保護模式: 關閉   100%

Real Examination Ended By Examination End Time

The invigilator will announce that the examination time is up and the examination has been ended automatically by the examination end time. Click on the "Next" button to proceed to the next page when the time up page is displayed.

Licensing Examination for Securities and Futures Intermediaries

Please await further instructions from the invigilator.
When you leave, make sure that you take all your personal belongings and identification documents with you.

Exit

Real Examination Ended By Examination End Time

Read and follow the instructions on the screen.   Click on the "Exit" button to return to the Computer-based Examination login page.