



For Office Use Only	
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**ADVANCED CERTIFICATE IN INTERNATIONAL AND
DOMESTIC WEALTH PLANNING (ACIDWP) EXAMINATION
ENROLMENT FORM
June 2017 Examination Session**

IMPORTANT NOTE

Please read the “**ACIDWP Examination – Examination Handbook**” (the “Examination Handbook”) carefully before completing this form. The Examination Handbook is downloadable from the HKSI Institute website (www.hksi.org). Please complete legibly the relevant parts in **BLOCK LETTERS** and in **BLACK** or **BLUE INK** and put a “✓” in the appropriate “□”.

PART 1: Personal Particulars

Part 1A (COMPULSORY)

(As stated on your HK Identity Card / Passport)

Surname in English	First Name in English
中文姓名(Name in Chinese)	Mobile Number
HK Identity Card/Passport Number You are required to present the original copy of the identification document(s) specified above during the examination	
Email Address	Please provide your PERSONAL email address for receiving study materials and important communications from the HKSI Institute

Part 1B (Only applicable to NEW applicants or EXISTING ACIDWP Candidates who have an update for their personal information)

Date of Birth	DD	MM	YY	Sex	<input type="checkbox"/> Male	<input type="checkbox"/> Female
Title	<input type="checkbox"/> Mr	<input type="checkbox"/> Mrs	<input type="checkbox"/> Ms	<input type="checkbox"/> Miss	<input type="checkbox"/> Dr.	
Correspondence Address						
Daytime Contact Telephone Number				Fax Number		
Name of Company				Position Held		

PART 2: Other Information (For statistical analysis and promotion)

i) **Education :**
₁ Secondary or below ₂ Post-secondary ₃ Degree Graduate ₄ Master or above

ii) **Main Working Field :**
₁ Securities Dealing and/or Advising ₂ Futures and Derivatives Dealing and/or Advising ₂₃ Credit Rating Services
₄ Leveraged Foreign Exchange Trading ₅ Corporate Finance ₃ Asset Management
₂₄ Private Wealth Management ₆ Banking ₇ Accounting
₈ Legal Services ₉ Insurance ₂₅ Training and Education
₁₀ Student ₁₁ Others (Please specify _____) ₁₅ Unemployed

iii) **Work Experience :**
₇ < 1 year ₈ 1 – 4 years ₉ 5 – 10 years ₁₀ 11 – 15 years ₁₁ > 15 years

iv) **Firm Size :**
₅ < 100 employees ₆ 100 – 299 employees ₇ 300 – 499 employees ₈ 500+ employees

v) **Mode of Study :**
₁ Self-study ₄ Others (Please specify _____)
₂ Preparatory Courses (Please specify the name of institution and course name _____)
₃ Revision Workshops (Please specify the name of institution and course name _____)

vi) **I know about this examination from :**
₁ HKSI Institute Website ₈ HKSI Institute Office ₉ HKSI Institute Evening Course
₁₀ HKSI Institute Professional Event ₂ Newspaper (Please specify _____)
₁₁ Regulators ₃ Employer ₄ Friends ₅ Others (Please specify _____)

PART 3: Examination Information

I would like to enrol in the ACIDWP Examination on 30 June 2017 (Friday).	Examination Fee
<input type="checkbox"/> First Attempt	HK\$14,350
<input type="checkbox"/> Re-attempt ^(Note 1)	HK\$3,640

Note 1: Also applies to those who previously enrolled for the Certified International Wealth Manager (CIWM) Final Examination Paper 3

PART 4: Payment

EPS
 Company Cheque Please make a crossed cheque payable to the "Hong Kong Securities and Investment Institute". Post-dated cheques will not be accepted. Please write "ACIDWP Examination", your full name and your daytime contact telephone number on the back of the crossed cheque.

American Express Card - - Expiry Date
 VISA/Master Card - - mm yy

Please charge payment to credit card.

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Approval Code

Cardholder's Name _____ Cardholder Signature _____
(Card holder must be the applicant) (The signature must match with the one on your credit card)

Part 5: Employment/Education/Professional Qualification (Only applicable and COMPULSORY to NEW applicants)

Please put a "✓" in the appropriate "☐" and proceed to Part 6 if you have previously enrolled for the CIWM Final Examination.

I consent the HKSI Institute to use all the supporting documents (including but not limited to the photocopy of each of my certificate(s), official transcript(s), syllabus(es) and other supporting document(s) certifying the educational background, professional qualification(s) and employment records) that I previously provided to the HKSI Institute for the enrolment of the CIWM Examination for the purpose of assessing the eligibility for enrolment in the ACIDWP Examination.

Please confirm your completion of the following PDFM Modules by putting a "✓" in the appropriate "☐".

Modules 1, 2, 3, 6 and 7 of the PDFM (Please attach a photocopy of each of your Notification of Result(s), Certificate(s), or Statement of Result(s))

Employment

Please provide details of employment and attach the relevant supporting document(s):

Name of Employer and Nature of Business	Position Held	Employment Period (dd/mm/yyyy)	Nature of Duties

Education

Please provide details of qualification(s) relevant to the entry requirements of the ACIDWP Examination only and attach a photocopy of each of your certificate(s), official transcript(s), syllabus(es) and other supporting document(s):

College or University Attended	Qualification(s) Obtained (Degree, Master, etc., Please specify classification and field of study)	Date of Award (mm/yyyy)

Professional Qualification

Please provide details of qualification(s) relevant to the entry requirements of the ACIDWP Examination only and attach a photocopy of each of your certificate(s) and other supporting document(s):

Awarding Body	Qualification(s) Obtained (Certificate, Diploma, Designation, etc)	Date of Award (mm/yyyy)

PART 6: Declaration

I confirm, acknowledge, accept and understand that:

- 1) if the details I have submitted in this form are inaccurate or incomplete, my enrolment may not be accepted by the Hong Kong Securities and Investment Institute (HKSI Institute) even though payment of the examination fee(s) has been made;
- 2) once I have submitted the ACIDWP Examination Enrolment Form to the HKSI Institute, I am not allowed to alternate and/or cancel my examination. All fee(s) paid are non-transferable and non-refundable (excluding the partial refund of fee(s) to applicants who are not eligible to enrol for the ACIDWP Examination). If I defer my examination under HKSI Institute's examination deferral policy, I will be charged an administration fee;
- 3) the Advanced Certificate in International and Domestic Wealth Planning (ACIDWP) Examination – Examination Handbook, including the Examination Regulations of the ACIDWP Examination;
- 4) I am not allowed to take photograph of, record, copy, distribute, publish, modify, create derivative works from or otherwise use in any manner or media, the information, materials and questions contained in the question booklet. All information, materials and questions contained in the question booklet are the proprietary information of the HKSI Institute and all copyright and/or any intellectual property rights therein belong exclusively to the HKSI Institute. I acknowledge the HKSI Institute's intellectual property rights (including copyright) in all such information, materials and questions contained in the question booklet; and
- 5) the HKSI Institute reserves the right to take appropriate actions against me to enforce its intellectual property rights and hold me liable for all losses, damages and/or costs incidental thereto.

I hereby apply to enrol for the ACIDWP Examination and undertake that I shall observe and abide by the rules and regulations of the ACIDWP Examination.

I do not wish to receive marketing materials from the HKSI Institute.

Signature of Applicant: _____ Date _____