

Apply for Ordinary membership Associate membership Affiliate membership / New Progression (existing HKSI membership no. _____)

Personal Information

Name _____ (_____)
Title first name last name Chinese name

HKID no. or Passport no. _____ Date of birth _____ Sex male female

Home address: _____ Office address: _____

Preferred correspondence address home office

Home tel. no. _____ Office tel. no. _____ Office fax no. _____

Email address _____ Name of company: _____

Mobile no. _____ Position held: _____

Professional / Educational Qualification(s) (please attach supporting document)

Month/year	Qualification	Issuing Organisation

Working Field

- Accounting/Legal Asset management Banking Corporate finance
 Fund management Stockbroking/Futures Wealth management Others (please specify _____)

Work Experience

- n/a less than 1 year 1 to 3 years 3 years or above

Employment History (please attach detail curriculum vitae)

Month/year	Company/Organisation	Position held & responsibilities

Applicant's Declaration* (THIS DECLARATION MUST BE SIGNED BY YOU PERSONALLY)

"I hereby apply for admission to membership of the HKSI as an Ordinary/ Associate/ Affiliate Member. I declare that the information I have supplied is complete and correct, and the HKSI is authorised to check and verify the information supplied pertaining to my application. In consideration of my being admitted to membership I agree that I will be bound by the Code of Practice, HKSI Membership Rules, and such rules as may, from time to time, be approved and published by the Board of the Institute."

"(for associate membership) I fully understand that this category serves as an entry membership for new entrants or young practitioners, and associates are required to progress within 3 years after admission; otherwise, they would lose such status as an associate and might apply for re-qualified as an affiliate in which no restriction on progression will be imposed."

Please tick if you have been disciplined in any way, that is cautioned, fined, suspended or awarded any kind of penalty or punishment by any authorities, in the last 5 years. If YES, please provide full details on a separate piece of paper.

Please tick if you do not wish to receive marketing materials from the HKSI.

 Applicant signature & date

* The HKSI must be notified of any other information which is relevant to this application. If any of the information contained in this form changes prior to a decision to admit the applicant being published then the HKSI must be informed immediately. A member is required to notify the Institute immediately of any subsequent changes to the information provided if such changes relate in any way to the applicant's professional conduct or reputation. Withholding information form, misleading or attempting to mislead the HKSI on any point will be deemed an act of misconduct for which an applicant may be liable to disciplinary proceedings under the HKSI Membership Rules.

Please turn to next page for referee and payment information

Referee (Declaration to be signed by the applicant's referee)

The referee could be a member of a professional body, preferably a HKSI member or academic, and should know the applicant at least two years or above

" I support (applicant's name) _____ for this application. I believe that, on the basis of personal knowledge of him/her, he/she is a suitable person to become a member of the HKSI."

" I know the applicant for _____ year(s)."

_____ (_____)
Referee signature & date

Referee name	Tel. no.
Company	Position
Professional title	Issuing organisation

Subscription Fee (in HK\$)

	Joining Fee ⁽¹⁾	Annual Fee	Total
<i>New application</i>			
-Ordinary Membership	\$600	\$1,200	\$1,800 / \$1,590 ⁽²⁾
-Associate Membership	\$600	\$600	\$1,200 / \$1,050 ⁽²⁾
-Affiliate Membership	\$600	\$600	\$1,200 / \$1,050 ⁽²⁾
<i>Progression application ⁽³⁾</i>			
-Ordinary Membership	n/a	\$1,200	\$1,200
-Associate Membership	n/a	\$600	\$600
-Affiliate Membership	n/a	\$600	\$600

⁽¹⁾ The one-off joining fee for HKSI ordinary/associate/affiliate is HK\$600, and can be waived if the applicant is an existing HKSI student member.
⁽²⁾ If your employer is a current HKSI corporate member, you are entitled to a discount of 15% on the joining fee, and 10% on the annual fee.
⁽³⁾ Members can apply for progression when attaining the requisite qualification &/or working experience.

Payment method By cash By credit card By cheque (payable to "Hong Kong Securities Institute")

Please debit \$600 \$1,050 \$1,200 \$1,590 \$1,800 in my credit card
 American Express Visa card Master Card

Name of Cardholder	Expiry Date:
Credit Card Number:	
Signature:	Date:

Questionnaire (Optional)**Why did you join the HKSI? (Check all that apply)**

- Be part of a leading industry association For career development through professional networking
 For personal/professional development via continuous training To contribute to the growth of the industry
 To take advantage of the membership benefits programme Upon colleagues'/ friends' recommendation
 Other (please specify _____)

I know about this membership from

- Career talks/exhibition Employer Friends HKSI activities
 HKSI website Print media Social media Others (please specify _____)

Do you have any friend or colleague to refer? Please provide the contact information.

Name _____ Telephone no. _____
 Email _____ Company & Position _____
 Correspondence address _____

Thank you for completing the questionnaire!

For office use only

application date	application no.	membership no.	remark
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