

Job Information
from HKSI Corporate Members

Job reference:	107-080304-06
Company name:	HSBC Investments (Hong Kong) Limited
Job categories:	() Banking () Insurance/ MPF/ Pensions () Securities (✓) Asset Management () Investment Banking/ Corporate Finance () Regulators () Other Intermediaries () Others _____
Job title:	Compliance Manager
Job location:	Central, Hong Kong
Annual base salary:	Depends on individual's experience
Other benefits:	We offer competitive and attractive benefits package including medical, retirement benefits, housing loan, insurance, annual leave, training & development and excellent career prospects to our employees
Company description:	HSBC Investments is the core global investment platform of HSBC which provides investment solutions for personal and corporate investors. It meets client needs by leveraging its in-house investment capabilities in active, quantitative and structured investments or those of the best-of-breed managers in the market through its multi-manager capability. HSBC Investments and its affiliated investment companies had funds under distribution of US\$386 billion as at 31 December 2007.
Job description and responsibilities:	Reporting to the Head of Compliance, you will review and monitor the investment guidelines, review investment management agreements and marketing materials, examine anti-money laundering controls, update compliance manual and provide advice to the business.
Job requirements:	You should have a degree with a minimum of 4 years' experience in compliance/legal/ regulatory area relating to securities and asset management business. You should be familiar with SFO, MPF Regulations, SFC Code on Unit Trusts and Mutual Funds and anti-money laundering legislation in Hong Kong, and preferably with sound knowledge of investment products. As an organized, analytical and meticulous team-player, you should have excellent command of spoken and written English and Chinese. Applicants with HKSI Qualifications/ Membership would be an advantage.
Remarks:	HSBC is an equal opportunity employer. We value the diversity of individuals, ideas, perspectives, insights and values, and what they bring to the workplace. Applications are welcome from all qualified candidates. Data held by the Company relating to employment applications will be kept confidential and used only for processing applications. Applicants who are not contacted within four weeks may consider their application unsuccessful. The Company will retain their applications for a maximum period of two years and may refer suitable applicants to other vacancies within the Group.

Contact information for applications or enquires:

Please send details of your qualifications, working experience, current and expected salary, quoting the website source and reference HKSI-CM, by email: inv.hr.recruit@hsbc.com.hk, by fax: 2284 1246, or by mail: Senior Manager, Human Resources, HSBC Investments (Hong Kong) Limited, Level 22, HSBC Main Building, 1 Queens Road Central, Hong Kong.