



HKSI PROFESSIONAL DIPLOMA IN FINANCIAL MARKETS (PDFM) Application Form for PDFM Registration and Enrolment

FOR OFFICE USE ONLY Receipt No.: _____	
Batch No:	H
Student No.:	ST

**Please read the PDFM Programme Handbook carefully before completing this form.
Please write legibly in BLOCK LETTERS and in BLACK or BLUE INK.**

PART 1 : PDFM Registration

Are you a PDFM Registered Student? (Please put a "✓" in the appropriate "□")

Yes (Student No.: ST _____)
Please present or attach a copy of your valid PDFM Registered Student Card.

No, I will register now and pay an Application Fee for PDFM Student Registration of HK\$100.
Please note that: To complete the PDFM, a PDFM Registered Student must pass all seven PDFM Modules on or before his/her PDFM Programme Expiry Date.

PART 2 : PDFM Module Enrolment

Only PDFM Registered Students are eligible to enrol for a PDFM Module.
Have you enrolled in / planned to enrol in any relevant HKU SPACE training course(s) in the **same PDFM Examination Session** (training courses from January to June for examination session in July, training courses from July to December for examination session in January of the following year) of the corresponding PDFM Module Examination which you wish to enrol for?
(Please put a "✓" in the appropriate "□")

Yes, I am attending / plan to attend HKU SPACE training course(s) of Module(s) _____ in _____ (month) _____ (year). (Please select the Training and Examination Mode (TE Mode) of the relevant Module(s) by putting a "✓" in the appropriate "□" and specifying the Module code(s) and course code(s) (available from the HKU SPACE website : <http://hkuspace.hku.hk>) below)

No. (Please select the Examination-only Mode (EO Mode) of the relevant Module(s) by putting a "✓" in the appropriate "□" below)

PDFM Module	Module Code	Study Mode		PDFM Examination Fee (HK\$)
<input type="checkbox"/> Module 1		<input type="checkbox"/> EO Mode	<input type="checkbox"/> TE Mode (Course code: _____)	HK\$500
<input type="checkbox"/> Module 2		<input type="checkbox"/> EO Mode	<input type="checkbox"/> TE Mode (Course code: _____)	HK\$500
<input type="checkbox"/> Module 3		<input type="checkbox"/> EO Mode	<input type="checkbox"/> TE Mode (Course code: _____)	HK\$500
<input type="checkbox"/> Module 4		<input type="checkbox"/> EO Mode	<input type="checkbox"/> TE Mode (Course code: _____)	HK\$750
<input type="checkbox"/> Module 5		<input type="checkbox"/> EO Mode	<input type="checkbox"/> TE Mode (Course code: _____)	HK\$750
<input type="checkbox"/> Module 6		<input type="checkbox"/> EO Mode	<input type="checkbox"/> TE Mode (Course code: _____)	HK\$750
<input type="checkbox"/> Module 7		<input type="checkbox"/> EO Mode	<input type="checkbox"/> TE Mode (Course code: _____)	HK\$750
Total no. of Module(s) selected:		Total PDFM Examination Fee(s):		HK\$

Included in the PDFM Examination Fee is a one-year complimentary student membership package which gives Student Members access to regular information regarding HKSI training and events. I agree to be a Student Member of the Hong Kong Securities Institute (HKSI) for one year and to be bound by the HKSI's Memorandum and Articles of Association and Membership Rules and Regulations (downloadable from the HKSI website www.hksi.org) and such other rules and regulations as may, from time to time, be approved and published by the Board of the Institute.

If you are not a Student Member of the HKSI and do not agree to be a Student Member of the HKSI, please tick the following box:

I do not agree to be a Student Member of the HKSI.

For enquiries regarding Student Membership, please contact the Membership Hotline on (852) 31206170.

PART 3 : Payment Method (Please put a "✓" in the appropriate "□" and delete where inappropriate for the items with *)

Total Fee: HK\$ _____	
<input type="checkbox"/> CASH / EPS *	Please submit this form and pay the fee(s) at the HKSI office. Please do not send cash by post.
<input type="checkbox"/> BANK DEPOSIT Bank Deposit Form No.: _____	Required fee(s) in cash (Hong Kong Dollars only) should be deposited into our Hang Seng Bank account (Account No.: 207-002288-668 / Account Name: Hong Kong Securities Institute). Please write your English full name, the Module code(s) of the PDFM Module(s) for which you are enrolling (if applicable) and your daytime contact telephone number on the back of the bank deposit form.
<input type="checkbox"/> CREDIT CARD	<input type="checkbox"/> VISA Card
	<input type="checkbox"/> MasterCard
	<input type="checkbox"/> American Express Card
Name of Card Holder: _____ (Card holder must be the applicant)	
Expiry Date: _____ mm / yy	
Please charge payment to credit card	Credit Card No.: _____
Signature of Card Holder: _____ (The signature must match with that on your credit card)	
Date: _____	



HKSI PROFESSIONAL DIPLOMA IN FINANCIAL MARKETS (PDFM)

FOR OFFICE USE ONLY	Receipt No.: _____
---------------------	--------------------

ACKNOWLEDGEMENT SLIP

Received the application from _____

for the following:

PDFM Registration:

- Applicant is a PDFM Registered Student. (Student No.: ST _____)
- Applicant is not a PDFM Registered Student. Applicant would like to register now and pay an Application Fee for PDFM Student Registration of HK\$100.

PDFM Module Enrolment:

Applicant wishes to enrol for the PDFM Module(s) specified below:

PDFM Module	Module Code	Study Mode		PDFM Examination Fee (HK\$)
<input type="checkbox"/> Module 1		<input type="checkbox"/> EO Mode	<input type="checkbox"/> TE Mode (Course code: _____)	HK\$500
<input type="checkbox"/> Module 2		<input type="checkbox"/> EO Mode	<input type="checkbox"/> TE Mode (Course code: _____)	HK\$500
<input type="checkbox"/> Module 3		<input type="checkbox"/> EO Mode	<input type="checkbox"/> TE Mode (Course code: _____)	HK\$500
<input type="checkbox"/> Module 4		<input type="checkbox"/> EO Mode	<input type="checkbox"/> TE Mode (Course code: _____)	HK\$750
<input type="checkbox"/> Module 5		<input type="checkbox"/> EO Mode	<input type="checkbox"/> TE Mode (Course code: _____)	HK\$750
<input type="checkbox"/> Module 6		<input type="checkbox"/> EO Mode	<input type="checkbox"/> TE Mode (Course code: _____)	HK\$750
<input type="checkbox"/> Module 7		<input type="checkbox"/> EO Mode	<input type="checkbox"/> TE Mode (Course code: _____)	HK\$750
Total no. of Module(s) selected:		Total PDFM Examination Fee(s):		HK\$

Included in the PDFM Examination Fee is a one-year complimentary student membership package which gives Student Members access to regular information regarding HKSI training and events. Applicant agrees to be a Student Member of the Hong Kong Securities Institute (HKSI) for one year and to be bound by the HKSI's Memorandum and Articles of Association and Membership Rules and Regulations (downloadable from the HKSI website www.hksi.org) and such other rules and regulations as may, from time to time, be approved and published by the Board of the Institute.

- Applicant is not and does not agree to be a Student Member of the HKSI.

For enquiries regarding Student Membership, please contact the Membership Hotline on (852) 31206170.

Payment Details:

Total Fee: HK\$	
<input type="checkbox"/> CASH / EPS	Please submit this form and pay the fee(s) at the HKSI office. Please do not send cash by post.
<input type="checkbox"/> BANK DEPOSIT Bank Deposit Form No.: _____	Required fee(s) in cash (Hong Kong Dollars only) should be deposited into our Hang Seng Bank account (Account No.: 207-002288-668 / Account Name: Hong Kong Securities Institute). Please write your English full name, the Module code(s) of the PDFM Module(s) for which you are enrolling (if applicable) and your daytime contact telephone number on the back of the bank deposit form.
<input type="checkbox"/> CREDIT CARD	<input type="checkbox"/> VISA Card <input type="checkbox"/> Master Card <input type="checkbox"/> American Express Card

Please indicate the address to which the Acknowledgement Slip should be mailed:

Name: _____

Address: _____

_____ Company Chop _____ Date

For Office Use Only

Handled by: _____ Data Input by (Date): _____ (_____)