

## IMPORTANT NOTICE

### Licensing Examination for Securities and Futures Intermediaries (LE)

LE candidates are required to follow strictly the new Clause 16, revised Clauses 14, 15 and 26 under the section "General Rules" of the LE examination regulations that come into effect from 13 November 2008. With effect from 13 November 2008, candidates who fail to follow and/or breach Clause 14 under the section "General Rules" of the LE examination regulations will be graded "**ABSENT**".

**Clause 14:** Candidates must use **HB pencils** to fill in all their personal particulars and the examination details on the question booklets/answer sheets. **ONLY CORRECT personal particulars and examination details** marked in the specified areas on the question booklets/answer sheets with **HB pencils** will be used to identify candidates. Personal particulars and examination details marked outside the specified areas on the question booklets/answer sheets will not be used for identification purpose. Candidates will be graded "**ABSENT**" if their personal particulars and/or the examination details provided cannot be read by the marking machine to identify the candidates, regardless of whether or not the candidates have proved their identities to the invigilators and/or their attendance have been recorded. Wrong personal particulars and/or examination details filled in must be completely removed with a clean eraser to avoid inaccurate reading by the marking machine.

**Clause 15:** Candidates must use **HB pencils** to answer the multiple-choice questions on the answer sheets. **ONLY** correct answers marked with **HB pencils** within the specified boxes on the answer sheet will be counted towards a candidate's score. **NO** marks will be given to any question in which the answers provided cannot be read by the marking machine, and/or two or more answers are marked on the answer sheet for a single question. Any answers marked in the question booklets or outside the specified boxes on the answer sheet will **NOT** be counted. Wrong markings and/or unnecessary markings on the answer sheet must be completely removed with a clean eraser to avoid inaccurate reading by the marking machine.

**Clause 16:** By taking the examination, candidates acknowledge that reading of the marking machine shall be final, conclusive and binding upon the candidates. Candidates shall waive any claims against HKSI regarding the function of the marking machine or accuracy of its reading and agree to be bound by the reading delivered by the marking machine.

**Clause 26:** Candidates (a) who are not able to attend the examination or report to the correct examination hall/room or are not admitted to the examination hall/room under Clause 3 in this section; or (b) whose personal particulars and/or examination details provided on answer sheets cannot be read by the marking machine to identify them; or (c) who fail to produce the original and valid (non-expired) identification documents for verification within 15 minutes after the scheduled starting time of the examination and/or whose identity cannot be verified will be graded ABSENT under Clause 3 or Clause 14 in this section or Clause 1 in the section "Proof of Identity" (as the case may be). Candidates who are graded ABSENT or disqualified as a result of breach of any provision(s) herein will **NOT** be rescheduled to another examination session and the fees paid are not transferable and not refundable.

LE candidates are also reminded to observe other LE examination regulations. The latest version of the LE examination regulations can be downloaded from the HKSI website.  
[http://www.hksi.org/eng/exam/le\\_regu.html](http://www.hksi.org/eng/exam/le_regu.html)