

Please read the "ACIIA / HKSI CIIA FINAL EXAMINATION - Registration and Enrolment Guidelines" (the "Guidelines") carefully before completing this form. Please complete legibly the relevant parts in **BLOCK LETTERS** and in **BLACK** or **BLUE INK**.

All Information provided in this form will be used by the HKSI for purposes relating to the performance of HKSI's, SCOPE of the City University of Hong Kong's (if applicable), and ACIIA's administration functions, including the administration of their courses/examinations. The provision of personal data by means of this form is voluntary. Please read "Appendix A - Notice Relating to the Personal Data (Privacy) Ordinance" of the Guidelines.

FOR OFFICE USE ONLY

Receipt No. : _____

Candidate No. : _____

Term Enrolled: _____ (A / R / P)

Please refer to section VI "Entry Requirements" of the Guidelines before completing this form. Please select **ONLY** one of the routes below for registration as a CIIA Registered Candidate "CIIA Candidate" by putting a "✓" in the appropriate .

1. The DPE / PDFM Route

- I have completed all 3 Papers of the HKSI Diploma Programme Examination, or all 7 Modules of the HKSI Professional Diploma in Financial Markets. (Date of completion [mm/yyyy]: _____). Please complete Parts 1, 4 and 5.
- I have completed Papers 1 and 3 of the HKSI Diploma Programme Examination, or Modules 1, 2, 3, 6 and 7 of the HKSI Professional Diploma in Financial Markets. Please complete Parts 1, 4 and 5.

2. The EQC Route

- I am currently an **Ordinary Member (MHKSI) / Fellow (FHKSI) / Honorary Fellow (FHKSI (Hon))** of the HKSI. Please complete Parts 1 to 5.
- I wish to submit the education/professional/employment qualifications listed in Part 2 below for the purposes of registration. Please complete Parts 1 to 5.

PART 1: Personal Particulars (* Please delete where inappropriate.)

HKSI Membership No.						Title	<input type="checkbox"/> Mr. <input type="checkbox"/> Miss <input type="checkbox"/> Mrs. <input type="checkbox"/> Ms.
Surname						中文姓名 (Chinese Name)	
Other Name						Nationality	
HKID Card / Passport No.*						Place of Birth	
Date of Birth (dd / mm / yyyy)		/		/		Daytime Contact Tel No.	
Home Tel. No.						Mobile Phone/Pager No.*	
Fax No.						Email Address	
Home Address							
	<input type="checkbox"/> Hong Kong <input type="checkbox"/> Kowloon <input type="checkbox"/> New Territories <input type="checkbox"/> Overseas						
Company Address							
	<input type="checkbox"/> Hong Kong <input type="checkbox"/> Kowloon <input type="checkbox"/> New Territories <input type="checkbox"/> Overseas						

Please send all correspondence to my: Home Address Company Address

PART 2: Education / Professional Qualification / Employment

Education (Please give details of your *tertiary* education or above only. Please attach copies of certificate(s) and official transcripts, syllabuses or accompanying papers, or other supporting documents.)

School, College or University Attended	Qualification(s) Obtained (Certificate, Diploma, Degree, Master, etc. In the case of a degree, please specify classification and field of study.)	Date of Award (mm/yyyy)

Professional Qualification (Please attach copies of certificate(s), or other supporting documents.)

Awarding Body	Qualification(s) Obtained (Certificate, Diploma, Designation, etc.)	Date of Award (mm/yyyy)

Employment (Please give details of your *recent* employment only.)

Name of Employer and Nature of Business	Title of Position Held	Commencement Date (mm/yyyy)	Nature of Duties

PART 3: Referee

Please give details of one person who is able and willing to comment on your suitability to take the CIIA Final Examination; *preferably this person should have knowledge of your academic and/or professional qualifications or employment experience (as listed above).*

Name		Position	
Organization		Contact Tel. No.	
Address			

PART 4: Payment (Candidate registration fee: HK\$950)

<input type="checkbox"/> CASH / EPS	Please submit this form and pay the fee at the HKSI office.									
<input type="checkbox"/> DEPOSIT FORM Serial No. : _____	Required registration fee(s) in Hong Kong dollars and in cash should be deposited into our Hang Seng Bank account (Account No.: 207-002288-668 / Account Name: Hong Kong Securities Institute). Please write your English full name, the examination code(s) of the examination(s) for which you are enrolling and your daytime contact telephone number on the back of the deposit form.									
<input type="checkbox"/> CREDIT CARD	<input type="checkbox"/> VISA Card	Name of Card Holder : <i>(Card holder must be the applicant)</i>								
	<input type="checkbox"/> Master Card			Expiry Date : mm / yy						
	<input type="checkbox"/> Amex Card									
Please charge payment to credit card	Credit Card No.									
Signature of Card Holder: <i>(The signature must match with the one on your credit card.)</i>		Date: _____								

PART 5: Declaration

I confirm that I understand that if the details I have submitted in this form are inaccurate or incomplete, my registration may not be accepted by the Hong Kong Securities Institute (HKSI) / Association of Certified International Investment Analysts (ACIIA) even though payment of the candidate registration fee has been made; and that I have read, and I accept and understand the "ACIIA / HKSI CIIA FINAL EXAMINATION - Registration and Enrolment Guidelines"; and I understand that all the fees paid are non-transferable and non-refundable except for the partial refund to those applicants who are unsuccessful in registering as a CIIA Candidate.

I hereby apply to register as a candidate of the CIIA Final Examination in Hong Kong and undertake, if registered, that so long as I remain a Registered Candidate of the ACIIA, I shall observe and abide by the rules and regulations prescribed by the ACIIA and the HKSI.

Signature of Applicant _____

Date _____