

Registration Form

HKSI Professional Event
Return by Fax: 2899 2611

Seminar on Integrity at Work

Presented by
Mr Scott Dobbie
Chairman, Securities & Investment Institute, UK
Mr Simon Culhane
Chief Executive Officer, Securities & Investment Institute, UK

Thursday, 1 November 2007

Full Name of Participant(s) BLOCK LETTERS	Company/Firm	Job Title	Membership Number
Dr/Mr/Ms/Mrs			
Dr/Mr/Ms/Mrs			
Dr/Mr/Ms/Mrs			

Please note:

- (1) Individual members **MUST** fill in their membership no. on this form for CPT tracking purposes.
- (2) Participants **MUST** attend the whole seminar including the question & answer session. Otherwise, **No/Zero CPT hours** will be given.
- (3) Confirmation will be forwarded to participants via email only.

Contact Person: _____ Tel: _____

Fax: _____ Email (required): _____

Due to the popularity of this seminar, bookings will only be confirmed with payment, on a first-come-first-served basis. (Priority will be given to members)

Payment by:

Web

Membership Coupon

Cheque (Please make cheque payable to "Hong Kong Securities Institute or 香港證券專業學會")

Visa Master Card

Visa/ Master Card Number: - - -

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Registration Conditions for HKSI Seminars & Regulatory Updates

1. Registrations must be submitted by mail or fax. No telephone reservations will be entertained.
2. Registrations are always accepted on a first-come-first served basis. Three working days prior to the event, the contact person should receive an email confirmation. If the contact person does not hear from the Institute by then, he/she should call event hotline on 3120-6170 for further enquiry.
3. All fees must be settled by cash, cheque or credit card one day prior to the date of the event.
4. Cheques should be made payable to "Hong Kong Securities Institute".
5. Substitutions are available before the event, registrants must notify the Institute of the arrangement by writing. A 100% refund will be given if cancellation notice is received 7 working days before the event date. NO refund will be given for cancellations received within 7 working days before the event date.
6. Hong Kong Securities Institute reserves the right on the following:
 - i) To change or cancel any of its events due to unforeseen circumstances.
 - ii) To ask improperly behaved participants to leave the event. No refund/CPT hour(s) will be considered under this circumstance.

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Professional Events Hotline: 3120 6170

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