



Registration Form

HKSI Professional Event
Return by Fax: 2899 2611

Seminar on Hong Kong - Shanghai - As the Financial Centres of China

Presented by
Mr David Lui FHKSI

Wednesday, 19 August 2009

Full Name of Participant(s) BLOCK LETTERS	Company/Firm	Job Title	Membership Number
Dr/Mr/Ms/Mrs			
Dr/Mr/Ms/Mrs			
Dr/Mr/Ms/Mrs			

Please note:

- Individual members **MUST** fill in their membership no. on this form for CPT tracking purposes.
- Participants **MUST** attend the whole seminar including the question & answer session. Otherwise, **No/Zero CPT hours** will be given.
- CPT Attendance Records will only be issued to participants who have completed the event and settled the payment on or before the event date.
- Confirmation will be forwarded to participants via email only.
- Please fill in the bad weather contact no. for special arrangement purposes if bad weather signal is on.

Contact Person: _____

Tel: _____ Bad Weather Contact no. (Mobile no. preferred): _____

Fax: _____ Email (required): _____

The information collected above may be used for sending marketing materials from the HKSI.

Please tick this box if you do not wish to receive.

Due to the popularity of this seminar, bookings will only be confirmed with payment, on a first-come-first-served basis. (Priority will be given to members)

Payment by:

Web

Membership Coupon

Cheque (Please make cheque payable to "Hong Kong Securities Institute" or "香港證券專業學會")

Amex Card

VISA

MasterCard

Amex Card / VISA / MasterCard Number:

Cardholder's Name: _____

Total Payment: _____ Expiry Date: _____

Signed: _____ Date: _____

Registration Conditions for HKSI Seminars & Regulatory Updates

- Registrations must be submitted by mail or fax. No telephone reservations will be entertained.
- Registrations are always accepted on a first-come-first served basis. Three working days prior to the event, the contact person should receive an email confirmation. If the contact person does not hear from the Institute by then, he/she should call event hotline on 3120-6170 for further enquiry.
- All fees must be settled by cash, cheque or credit card one day prior to the date of the event.
- For registrations at the event date or on the spot, payment should be settled by cash or EPS at the counter before the event begins.
- Cheques should be made payable to "Hong Kong Securities Institute".
- Substitutions are available before the event, registrants must notify the Institute of the arrangement by writing. A 100% refund will be given if cancellation notice is received 7 working days before the event date. NO refund will be given for cancellations received within 7 working days before the event date.
- Hong Kong Securities Institute reserves the right on the following:
 - To change or cancel any of its events due to unforeseen circumstances.
 - To ask improperly behaved participants to leave the event. No refund/CPT hour(s) will be considered under this circumstance.

Address: Room 2403-08, 24th Floor, Wing On Centre, 111 Connaught Road Central, Hong Kong

Professional Events Hotline: 3120 6170

For details, please visit our website at www.hksi.org

Exclusion from Liability

The views expressed in the CPT programmes of the Hong Kong Securities Institute remain those of the presenter(s) and are not necessarily shared by the Board of the Institute. Materials provided as part of the CPT programmes are for purpose of individual's continuing professional training. Both the views expressed and the materials handed out in or in relation to the CPT programmes should not be used as a substitute for professional advice. The CPT programmes are presented without responsibility on the part of the speaker(s) or the Hong Kong Securities Institute for loss occasioned in any person acting or refraining from action as a result of any view or statement expressed therein.