

## ANNUAL HIGHLIGHTS 大事紀要

For details, please refer to the Annual Report 2021. 詳情請參閱《2021年報》。

### Membership Community 會員社群



**2,355** Individual Members  
個人會員



**143** Corporate Members  
機構會員

### Member Focused 增進會員權益



Expanded the eligibility for lifetime membership and fellowship progression to better recognise the contributions from long-serving Individual Members, and updated the Membership Rules  
擴闊了個人會員申請成為終身會員及晉升資深會員的資格，以表揚長期會員的貢獻，並且更新《會員守則》



Introduced LE Rebate Scheme to Corporate Members in July 2020 – more than 70% of Corporate Members enjoyed this new benefit  
2020年7月向機構會員推出資格考試費用回贈計劃 — 逾70%機構會員曾享用是項嶄新優惠



Offered a welcome gift of HKD150 eCredit to new Student Members from August 2020 onwards, to support their professional development  
2020年8月起，新加入的學生會員均可獲贈港幣150元eCredit，有助他們的專業發展



Member Ambassadors received HKD100 eCredit for each successful Individual Member application, and received HKD300 eCredit for each Corporate Member admission under the new scheme. 37 new Individual Members and 9 Corporate Members joined our professional community under the Member Ambassador Programme.  
會員大使每成功推薦一位個人會員，獲港幣100元eCredit的獎賞，在新機制下，每成功推薦一家機構入會，可獲贈港幣300元eCredit。在「會員大使計劃」下，37位人士及9家機構加入我們這個專業社群。

### Outreach 外展



Scholarship Programme applications increased by 26% compared to the 2020 cohort, with 59 new Student Members recruited

「獎學金計劃」在年內的申請，較2020年增長26%，並有59人通過這途徑加入成為學生會員

Tailor-made a new programme – the Licensing Examination for Securities and Futures Intermediaries Practising Qualification Programme for Hong Kong Baptist University, to help their full-time undergraduate and postgraduate students attain qualifications and prepare to enter the financial services industry

特別為香港浸會大學設計了全新的「證券及期貨從業員資格考試課程」，協助該校的全日制本科生及研究生完成相關資格，積極準備投身金融服務業

Collaborated with Cyberport on webinar and demonstration sessions, as well as networking sessions for our Members and Cyberport start-ups to exchange views on financial technology

與數碼港合辦了網上研討會及網上示範，亦邀請會員及數碼港的初創企業出席聚會，交流對金融科技的見解

### Serving the Community 服務社群

In May 2020, we were appointed as the implementation agent of the Anti-epidemic Fund subsidy scheme for small and medium-sized intermediaries and licensed individuals of the Securities and Futures Commission (SFC). We handled applications and payments of subsidies to eligible individuals and corporations.

2020年5月，我們獲委託為防疫抗疫基金轄下證券業資助計劃的執行代理，向合資格的中、小型證券中介機構與證券及期貨事務監察委員會（證監會）持牌人發放補貼，處理個人及機構申請。

### Applications Processed 處理的申請

Individual Applications 個人申請	<b>30,456</b>	Corporate Applications 機構申請	<b>750</b>
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2020

### Honorary Fellow 「榮譽資深會員」



Dr John Greenwood  
祈連活博士

### Senior Fellows 「傑出資深會員」



Mr Oliver Goh  
Oliver Goh先生



Dr Kent Lai  
黎雲龍博士



Mr Barry Tong  
湯廳先生

## Qualifications 專業資格

**4** practising qualification examinations  
項執業資格考試

**5** professional qualification examinations  
項專業資格考試

**25,500+**

examination enrolments  
報考人次

**930**

examination sessions  
考試場數

Signed collaboration agreement with the Macau Institute of Financial Services in January 2021 to offer the Licensing Examination for Securities and Futures Intermediaries in Macau

於2021年1月與澳門金融學會簽訂協議，合作於當地舉辦證券及期貨從業員資格考試

Dedicated Service: Offered special examination arrangements via overseas professional bodies or remote online examination for overseas candidates

專屬服務：透過海外專業團體或遙距考試服務為海外考生提供特別考試安排

## Development Programmes 專業發展項目

### Regulatory Webinar Series 網上研討會監管系列



Mr Ashley Alder, Chief Executive Officer of SFC (right) with Mr Philip Tye, Board Director of HKSI Institute  
證監會行政總裁歐達禮先生(右)與學會董事Philip Tye先生



Ms Alice Law, then Deputy Chairman and Managing Director of Mandatory Provident Fund Schemes Authority (left) with Ms Lau Ka-shi, Education & Development Committee Member of HKSI Institute

強制性公積金計劃管理局時任副主席及行政總監羅盛梅女士(左)與學會持續培訓委員會委員劉嘉時女士



Mr Charles Li, then Chief Executive of Hong Kong Exchanges and Clearing Limited (left) with Mr Colin Shaftesley, Board Director of HKSI Institute

香港交易及結算有限公司時任行政總裁李小加先生(左)與學會董事石鈞年先生

**>140**

public CPT courses, 96% were in webinar format  
CPT公開課程，其中96%屬網上研討會

demand in corporate training. Income contributed to 24% (LY:16%)  
機構培訓服務需求增加，收入佔24% (去年: 16%)

eCourse subscription which represented 76% of CPT public training enrolments (LY: 44%)  
網上課程佔報讀CPT公開課程76% (去年: 44%)



### 提升資產財富管理業人才培訓先導計劃 Pilot Programme to Enhance Talent Training for the Asset and Wealth Management Sector

The programme has been extended for another three years until 2023 with expanded scope and eligibility of the two key initiatives – Financial Incentive Scheme for Professional Training (FIS) and Summer Internship Programme (SIP).

此計劃延長了三年，直至2023年，並拓展了計劃範圍和資格兩項主要舉措——「專業培訓資助計劃」和「暑期實習計劃」。

Amid the COVID-19 pandemic, FIS applications recorded a similar level as of 2019/2020.

在新冠肺炎疫情期間，「專業培訓資助計劃」申請宗數與2019/2020年度達相若水平。

SIP 2021 achieved a record high of both the internship positions offered and the total number of Participating Employers (PEs).

2021年「暑期實習計劃」在提供實習職位和參與僱主數目上均達紀錄高位。

Number of PEs  
參與僱主數目 **▲ 144%**

Internship Positions Offered  
實習職位數目 **▲ 230%**

**ENRICHING PROFESSIONAL DEVELOPMENT 豐裕專業成就**

Education · Qualification · Networking · Community 培訓 · 資格 · 人脈 · 社群