

6 October 2021

Dear Member

**HKSI Institute's Board Director Nomination and Election
Nomination Period between 9:00am 6 October and 6:00pm 29 October 2021**

We write to inform you that there will be SIX Board Director vacancies to be filled by election at the 24th Annual General Meeting ("2021 AGM") on Thursday, 9 December 2021.

Pursuant to Article 21.1 of the Articles of Association of the Hong Kong Securities and Investment Institute ("AA"), three Directors below shall retire from office at the 2021 AGM. Among them, one Director has served the Board for 6 years and are not eligible for re-election, while the other two Directors have served the Board for their first 3-year and are eligible for re-election according to Article 21.10:

<u>Name</u>	<u>Business Sector Represented</u>	<u>Eligible for Re-election</u>
Mr CHAN Hung Ngam, Younker	Futures Industry	Yes
Ms LEE Yim Lan, Phyllis	Other	Yes
Mr Philip Andrew TYE	Fund Management Industry	No

Pursuant to Article 18.1, at least one of the vacancies shall be filled from the Futures Industry.

As stipulated in Article 21.3(b) of the AA, all Senior Fellows, Fellows and Ordinary Members are eligible to run for Board Directorship. To qualify as a candidate for election, interested Members have to be proposed by at least twenty-five (25) qualified Voting Members (Senior Fellows, Fellows, Ordinary Members or Authorised Representatives of Corporate Members).

For members who are keen to run for Board Directorship, please visit our website, **www.hksi.org**, under the section "**About us>Governance>General Meeting**" for the Nomination Guidelines and Form. The nomination deadline for candidacy for election at the 2021 AGM is **Friday, 29 October 2021, 6:00pm**.

Voting Members have the option to nominate their preferred Member(s) as Board Director(s) candidate via our dedicated electronic platform ("ePlatform") and/or via paper mode. A separate email with a password will be sent to Voting Members' preferred email address on 6 October 2021 for your access to the ePlatform.

Should you have further enquiries, please email us at agm@hksi.org.

The formal notice of the 2021 AGM will be sent to you in due course.

Yours faithfully

Board Chairman