

ANNUAL HIGHLIGHTS 大事紀要

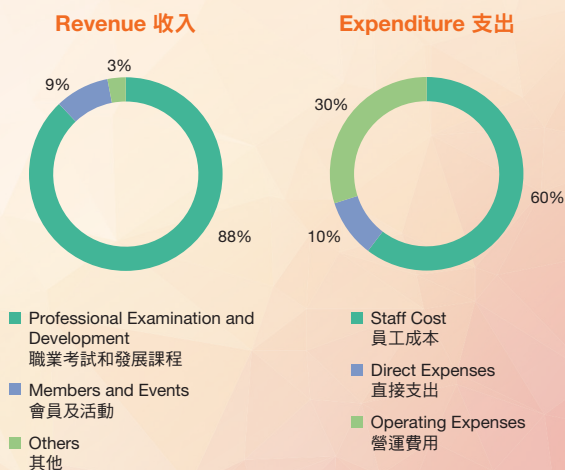
The theme of this year's annual report "Your Aspiration, Our Inspiration" captures the essence of the HKSI Institute spirit. We have our Members and industry stakeholders' interests at heart as always – driving and delivering quality service offerings to support your aspirations.

今年年報以《燃點抱負、並肩同行》為主題，正好點出學會精神的精髓。一路走來，我們總是以會員和業界持份者的權益為先，透過推動和提供優質服務，全力支持大家實現抱負。

Business Review 業務回顧

2019/2020 was a challenging year, punctuated by prolonged civil unrest and the COVID-19 pandemic. The reporting period recorded a deficit of HKD9.3 million, largely due to the decrease in examination-generated income.

回望2019/2020，社會動盪不安，加上新型冠狀病毒肆虐，說這是挑戰重重的一年，實不為過。在報告期裡，學會錄得淨赤字930萬港元，主要是由於考試收入下降。



For details, please refer to the Annual Report 2020.
詳情請參閱《2020年報》。

Qualifications 專業資格

4 practising qualification examinations & 項執業資格考試及
5 professional qualification examinations 項專業資格考試

27,000+

examination enrolments by financial services practitioners and new entrants to acquire and fulfil their industry's competency requirements.

報考人次 (其為現職或有意晉身金融服務業的人士)，使從業員符合行業能力的要求。



The Computer-based Examination (CBE) Centre was renovated in April and the CBE System was upgraded in October 2019

- providing candidates a fresh and comfortable environment
- increasing the seating capacity
- reducing the time of releasing examination results
- incorporating flexible user interface features

繼於2019年4月翻新電腦考試中心，學會再接再厲，在同年10月提升電腦應考模式(CBE)系統

- 為考生提供煥然一新和舒適的應試環境
- 容納更多考生
- 縮短了等候考試成績的時間
- 加入了更多介面功能，讓考生更添便利

Development Programmes 專業發展項目

1,100+

continuous professional training (CPT) programmes offered to enhance practitioners' technical proficiency, market knowledge and address specific learning needs.

持續專業培訓活動以增進從業員的專業能力和市場知識為目標，可配合其獨特學習需要。

> 5,300

eCourse enrolments
人次報讀網上課程 ▲ 85%

24

candidates successfully completed the first full year of the Trust Training Certificate Programme delivered jointly with the Hong Kong Trustees' Association.

名學員成功修畢學會與香港信託人公會合辦的首年信託培訓課程。



Had our first regulatory luncheon with Dr Moses Cheng, Chairman of Insurance Authority.

首次主辦有關保險業監管要求的午餐會，並邀得保險業監管局主席鄭慕智博士主講。

Membership Community 會員社群



2,417 Individual Members
個人會員

140 Corporate Members
機構會員



Organised 舉辦 **18** high-level relationship building events and talent grooming programmes
項高層次的交誼與人才培育活動

>1,400 enrolments
人次參與

Honorary Fellow & Senior Fellows 榮譽資深會員和傑出資深會員



Honorary Fellow Mr. Carlson Tong (centre) and Senior Fellows Ms. Alison Cheung (second from left), Mr. Graham Cottingham (third from left), Mr. Charles Lo (third from right) and Mr. Kevin Liem (second from right) were inducted at the Autumn Dinner for their significant contributions to our professional community. Here, they are flanked by our Chairman Ms. Katherine Ng (right) and Chief Executive Miss Ruth Kung (left).

學會在秋季晚宴中，就「榮譽資深會員」唐家成先生(中)及「傑出資深會員」張潔雯女士(左二)、吳格嵐先生(左三)、勞啟明先生(右三)及林子傑先生(右二)對業界的卓越貢獻作出表彰。右一為學會主席伍潔敏女士及左一為行政總裁孔慶詩小姐。



A 33-strong senior delegation went to Hangzhou in August 2019, with the support from Hangzhou Investment Promotion Bureau and Hangzhou Golong Technology Co Ltd. We visited Alibaba Group, Pre-IPO Industrial Park, Yuhuang Shannan Fund Town and Zhejiang Geely Holdings Group.

一行33人的學會高層代表團於2019年8月到訪杭州。蒙杭州市投資促進局及杭州國朗科技有限公司安排，代表團有幸參觀阿里巴巴集團、Pre-IPO 產業園、玉皇山南基金小鎮及浙江吉利控股集團。

A Corporate Member Survey was conducted in March 2020, enabling us to tailor-made relevant service offerings to meet corporate members' needs.

在2020年3月進行的機構會員意見調查，為我們提供了實用的參考資料，有助學會因應機構會員的需要而提供適切服務。

Outreach 外展



Signed a Memorandum of Understanding with the Chinese Securities Association of Hong Kong to jointly

- promote professional development of the financial services industry
- enhance the training for talent grooming
- organise activities to facilitate cross market professional exchange among practitioners

學會與香港中資證券協會(HKCSA)簽署合作備忘錄，邁向另一里程碑。學會期望透過上述全新的夥伴關係，與HKCSA

- 聯手推動金融服務業的發展
- 加強人才培訓及籌辦活動
- 促進跨市場從業員的專業交流

We continue to organise talent grooming programmes, such as the Meet Our Leaders Series, for young members to learn from industry leaders and expand their professional networks.

學會致力協助年輕會員持續學習，為自己的事業打拚。有關措施包括安排各類培訓計劃；舉辦「與領袖同行」系列活動，讓新生代有機會與業界領袖和同儕聯繫起來，從而拓展人脈。



Meet Our Leaders series with Ms Teresa Ko, Partner and China Chairman, Freshfields Bruckhaus Deringer.

富而德律師事務所合夥人兼中國區主席高育賢女士擔任「與領袖同行」系列活動講者。

提升資產財富管理業人才培訓先導計劃 Pilot Programme to Enhance Talent Training for the Asset and Wealth Management Sector

The Pilot Programme to Enhance Talent Training for the Asset and Wealth Management Sector funded by the Financial Services and the Treasury Bureau (FSTB) of the Hong Kong Special Administrative Region Government has been extended for another three years – and we have been reappointed as the implementation agent, demonstrating FSTB's recognition and trust on us.

香港特別行政區政府財經事務及庫務局決定將「提升資產財富管理業人才培訓先導計劃」延長3年，並再度委任學會為執行夥伴。這足以表達政府肯定學會前期工作成果，並對我們投下信任一票。